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# FINAL CITY COUNCIL

### CITY OF WICHITA KANSAS

City Council Meeting 9:30 a.m. July 24, 2012

First Floor Board Room 455 North Main

#### **OPENING OF REGULAR MEETING**

- -- Call to Order
- -- Approve the minutes of the regular meeting on July 17, 2012

### II. CONSENT AGENDAS (ITEMS 1 THROUGH 19)

NOTICE: Items listed under the "Consent Agendas" will be enacted by one motion with no separate discussion. If discussion on an item is desired, the item will be removed from the "Consent Agendas" and considered separately

(The Council will be considering the City Council Consent Agenda as well as the Planning, Housing, and Airport Consent Agendas. Please see "ATTACHMENT 1 – CONSENT AGENDA ITEMS" for a listing of all Consent Agenda Items.)

### **COUNCIL AGENDA**

### VIII. COUNCIL MEMBER AGENDA

None

### **IX. COUNCIL MEMBER APPOINTMENTS**

1. <u>II-1. Board Appointments.</u>

RECOMMENDED ACTION: Approve the Appointments.

Adjournment

\*\*\*Workshop to follow\*\*\*

#### (ATTACHMENT 1 – CONSENT AGENDA (ITEMS 1 THROUGH 19)

#### II. CITY COUNCIL CONSENT AGENDA ITEMS

1. Report of Board of Bids and Contracts dated July 23, 2012

RECOMMENDED ACTION: Receive and file report; approve Contracts;

authorize necessary signatures.

2. Applications for Licenses to Retail Cereal Malt Beverages:

Renewal2012(Consumption on Premises)Hahn Van BuiSaigon Restaurant Inc.\*\*1103 North BroadwayMark RyanTwo Brothers BBQ\*\*300 South Greenwich Road

RECOMMENDED ACTION: Approve licenses subject to Staff review and approval.

3. <u>Preliminary Estimates - None</u>

RECOMMENDED ACTION: Receive and file.

- 4. Deeds and Easements:
  - a. Deeds and Easements.

RECOMMENDED ACTION: Accept documents.

- 5. Consideration of Street Closures/Uses.
  - a. Community Events Black Top Nationals. (District I)
  - b. Community Events Delano Dash Pull Against Cancer. (District IV)

RECOMMENDED ACTION: Approve the request subject to; (1) Hiring off-duty certified law enforcement

officers as required; (2) Obtaining barricades to close the streets in accordance with requirements of Police, Fire and Public Works Department; and (3) Certificate of Liability Insurance on file with the Community Events

Coordinator.

6. Minutes of Advisory Boards/Commissions

Wichita Board of Appeals of Plumbers and Gas Fitters, May 2, 2012

Police and Fire Retirement System, May 23, 2012

Wichita Public Library, June 19, 2012

Joint Investment Committee, June 7, 2012

RECOMMENDED ACTION: Receive and file.

<sup>\*\*</sup>General/Restaurant 50% or more gross revenue from sale of food.

7. <u>HOME Program Funding for Program Administration.</u>

RECOMMENDED ACTION: Approve funding for HOME program administration.

8. <u>HOME CHDO Operating Support Funding.</u> (Districts I, III, IV, V, VI)

RECOMMENDED ACTION: Approve the recommended allocations and the funding agreements and authorize the necessary signatures.

9. <u>Notice of Intent to Use Debt Financing Amendment - Airfield Electrical System Replacement - Mid-Continent Airport.</u>

RECOMMENDED ACTION: Adopt the amended resolution and authorize the necessary signatures.

10. Report on Claims for June, 2012.

RECOMMENDED ACTION: Receive and file.

11. Century II Capital Improvement Projects - Budget/Bonding Authority.

RECOMMENDED ACTION: Approve the amendments to the resolutions and authorize the necessary signatures.

- 12. Second Reading Ordinances: (First Read July 17, 2012)
  - a. Second Reading Ordinances.

RECOMMENDED ACTION: Adopt the Ordinances.

### II. CONSENT PLANNING AGENDA ITEMS

NOTICE: Public hearing on planning items is conducted by the MAPC under provisions of State law. Adopted policy is that additional hearing on zoning applications will not be conducted by the City Council unless a statement alleging (1) unfair hearing before the MAPC, or (2) alleging new facts or evidence has been filed with the City Clerk by 5p.m. on the Wednesday preceding this meeting. The Council will determine from the written statement whether to return the matter to the MAPC for rehearing.

13. \*DED2012-00008 Dedication of Street Right-of-Way and DED2012-00010 Dedication of Access Control located on the East side of Broadway, ¼ mile north of Pawnee. (District III)

RECOMMENDED ACTION: Accept the Dedications.

14. \*VAC2011-00029 - Request to vacate portions of multiple platted utility easements; generally located east of Tyler Road, on the northeast side McCormick Avenue - Kellogg Drive intersection. (District V)

RECOMMENDED ACTION: Approve the Vacation Order and authorize the necessary signatures.

15. \*VAC2012-00007- Request to vacate portions of platted setbacks; generally located south of 21st Street North, on the northeast corner of Rockhill Lane and Woodlawn Boulevard. (District II)

RECOMMENDED ACTION: Approve the Vacation Order and authorize the necessary signatures.

16. \*VAC2012-00017 - Request to vacate a restrictive covenant for a private drainage system; generally located north of 37th Street North, east of Rock Road, at 8131/8135 E. Mulberry. (District II)

RECOMMENDED ACTION: Approve the Vacation Order and authorize the necessary signatures.

### **II. CONSENT HOUSING AGENDA ITEMS**

NOTICE: The City Council is meeting as the governing body of the Housing Authority for consideration and action on the items on this Agenda, pursuant to State law, HUD, and City ordinance. The meeting of the Authority is deemed called to order at the start of this Agenda and adjourned at the conclusion.

Fern Griffith, Housing Member is also seated with the City Council.

### II. CONSENT AIRPORT AGENDA ITEMS

NOTICE: The City Council is meeting as the governing body of the Airport Authority for consideration and action on items on this Agenda, pursuant to State law and City ordinance. The meeting of the Authority is deemed called to order at the start of this Agenda and adjourned at the conclusion.

17. \*Federal Aviation Administration Grant Application - Air Capital Terminal 3 Program.

RECOMMENDED ACTION: Approve the application and acceptance of grant funds and authorize the Director of Airports to sign all necessary documents related to the grant.

18. \*Airfield Electrical System Replacement - Budget Adjustment and Grant Application - Wichita Mid-Continent Airport.

RECOMMENDED ACTION: Approve the budget adjustment and the application and acceptance of grant funds

and authorize the Director of Airports to sign all necessary documents related to

the grant.

19. Wichita Airport Authority Board of Bids dated July 23, 2012.

RECOMMENDED ACTION: Receive and file.

# **DEEDS AND EASEMENTS – JULY 24, 2012**

a. Storm Water Drainage and Detention Basin Improvements Easement dated Julu 6, 2012 from Garden Walk Owners Association for a pond lying within Reserve C, Krug South Addition, an addition to Wichita, Sedgwick County, Kansas, (OCA #751511) No cost to City.

**TO:** Mayor and City Council

SUBJECT: Community Events – Black Top Nationals 2012 (District I)

**INITIATED BY:** Division of Arts & Cultural Services

**AGENDA:** Consent

**Recommendation:** Approve the request for temporary street closures.

**Background:** In accordance with the Community Events procedure the event promoter Carl Raines, Black Top Nationals is coordinating with City of Wichita staff, subject to final approval by the City Council.

**Analysis:** The following street closure request has been submitted:

### Black Top Nationals 2012 August 24, 2012 11:00 am - August 26, 2012 11:30 pm

- Douglas Avenue, Main Street to Water Street
- Douglas Avenue, Water Street to Waco Street
- Douglas Avenue, Waco Street to McLean Boulevard
- McLean Boulevard, Maple Street to 2<sup>nd</sup> Street
- Tlainepantla Drive, Century II Drive to Cancun Drive
- Cancun Drive, Century II Drive to English Street
- Waco Street, 2<sup>nd</sup> Street to Douglas Avenue, access to Drury Plaza Hotel Broadview, Century II and Hyatt Regency Wichita at all times.
- Waterman Street, Main Street to Water Street, east bound Waterman access to Hyatt Regency Wichita hotel and parking garage at all times.
- Water Street, Waterman Street to Dewey Street

### Black Top Nationals 2012 August 25, 2012 5:00 pm to 8:00 pm

- Douglas Avenue, Main Street to McLean Boulevard
- Waterman Street, Main Street to McLean Boulevard, access to Hyatt Regency Wichita at all times.

The event promoter will arrange to remove the barricades as necessary to allow emergency vehicle access during the entire designated time period. The barricades will be removed immediately upon completion of the event.

**Financial Consideration:** The event promoter is responsible for all costs associated with special events.

**Goal Impact:** Enhance the Quality of Life for citizens through special events and activities.

**<u>Legal Consideration</u>**: There are no legal considerations.

**Recommendation/Actions:** It is recommended that the City Council approve the request subject to; (1) Hiring off-duty certified law enforcement officers as required; (2) Obtaining barricades to close the streets in accordance with requirements of Police, Fire and Public Works Department; and (3) Securing a Certificate of Liability Insurance on file with the Community Event Coordinator.

**TO:** Mayor and City Council

**SUBJECT:** Community Events – Delano Dash Pull Against Cancer (District IV)

**INITIATED BY:** Division of Arts & Cultural Services

**AGENDA:** Consent

**Recommendation:** Approve the request for temporary street closure.

<u>Background</u>: In accordance with the Community Events procedure the event promoter Richard Janne, Guardians of the Ribbon, Inc. is coordinating with City of Wichita staff, subject to final approval by the City Council.

**Analysis:** The following street closure request has been submitted:

### Delano Dash Pull Against Cancer August 7, 2012 5:30 pm – 9:30 pm

Douglas Avenue, McLean Boulevard to Sycamore Street

The event promoter will arrange to remove the barricades as necessary to allow emergency vehicle access during the entire designated time period. The barricades will be removed immediately upon completion of the event.

<u>Financial Consideration</u>: The event promoter is responsible for all costs associated with special events.

**Goal Impact:** Enhance the Quality of Life for citizens through special events and activities.

**<u>Legal Consideration</u>**: There are no legal considerations.

**Recommendation/Actions:** It is recommended that the City Council approve the request subject to; (1) Hiring off-duty certified law enforcement officers as required; (2) Obtaining barricades to close the streets in accordance with requirements of Police, Fire and Public Works Department; and (3) Securing a Certificate of Liability Insurance on file with the Community Event Coordinator.

**TO:** Mayor and City Council

**SUBJECT:** HOME Program Funding for Program Administration

**INITIATED BY:** Housing and Community Services Department

**AGENDA:** Consent

**Recommendation**: Approve funding for HOME program administration.

**Background:** On May 1, 2012, the City Council approved final allocations under the 2012-2013 fourth program year action plan funding process, which included a total of \$122,345 for HOME Investment Partnerships (HOME) program administration costs. HOME funds are provided by the U.S. Department of Housing and Urban Development (HUD).

<u>Analysis:</u> The HOME program is operated without financial assistance from the City's General Fund. Federal regulations allow a maximum of 10% of the annual allocation and 10% of program income, to be designated for program administration. Historically the HOME program has had to access program administration funds from program income, to cover all costs. Program income is received from loan repayments from homebuyers who utilize the HOME program for down payment and closing costs and repayments from Community Housing Development Organizations (CHDOs) that receive housing development subsidy loans.

<u>Financial Considerations</u>: Program administration includes staff salaries/benefits for 1.55 full time equivalent positions, the City's indirect administration charges, information technology, building rent and office supplies. Administrative costs for 2012-2013 are estimated at \$133,505. The City's HOME funding allocation was reduced for 2012-2012 and 10% of the reduced amount is \$122,345. Funding in the amount of \$15,608 is available for HOME program administration from 2010 (\$9,364) and 2011 (\$6,244) program income receipts. The recommended transfer of \$15,608 will supplement the annual allocation to cover anticipated expenses.

**Goal Impact:** Program staff oversees HOME-funded projects which contribute to the goal of Economic Vitality and Affordable Living.

<u>Legal Considerations:</u> The Law Department has reviewed the HOME Regulation at 24 C.F.R. 92.201, and concurs that it allows allocation of up to 10% of the program income to administrative costs.

**Recommendations/Actions:** It is recommended that the City Council approve funding for HOME program administration.

Attachments: None.

**TO:** Mayor and City Council

**SUBJECT:** HOME CHDO Operating Support Funding (Districts I, III, IV, V, VI)

**INITIATED BY:** Housing and Community Services Department

**AGENDA:** Consent

**<u>Recommendation</u>**: Approve the recommended allocations and the funding agreements and authorize the necessary signatures.

**Background:** On May 1, 2012, the City Council approved final allocations under the 2012-2013 fourth program year action plan which included \$50,000 of HOME Investment Partnerships Program (HOME) funds for operational support for City-designated Community Housing Development Organizations (CHDOs).

<u>Analysis:</u> HOME funds are provided by the U.S. Department of Housing and Urban Development (HUD) and HUD regulations allow up to five percent of HOME allocations to be used for general operating assistance to CHDOs that are receiving set-aside funds for a housing development activity. Operating expenses are defined as reasonable and necessary costs for the operation of the CHDO, and may include salaries, wages, and other employee compensation and benefits. Expenses for education, training, travel, rent, utilities, communications costs, taxes, insurance equipment, materials and supplies are also eligible. Under the City's program, a maximum grant amount of \$25,000 is available to any one CHDO.

Housing and Community Services staff has evaluated funding applications prepared by the CHDOs and recommendation allocations to Mennonite Housing Rehabilitation Services and Power CDC, based on anticipated housing production using 2012 HOME Program funding.

<u>Financial Considerations:</u> Funding for these allocations will come from the 2012-2013 HOME Grant, as previously allocated by the City Council. Funding allocations are based on anticipated housing production utilizing 2012 HOME Program CHDO set-aside funding of \$50,000.

Mennonite Housing Rehabilitation Services (MHRS) is recommended to receive \$25,000. The funding will partially fund the MHRS project coordinator's salary, to oversee the following programs which are HOME-funded: 2012 Local Investment Area (LIA) CHDO set-aside Neighborhood Homes projects, Boarded-up House projects, and single-family development projects funded under the Housing Development Loan Program. MHRS activity in 2012-2013 should produce four units of housing with CHDO set-aside funding.

**Power CDC is recommended to receive \$25,000.** The funding will partially fund the salary and benefits for the Executive Director, to oversee the following programs which are HOME-funded: 2012 Northeast LIA CHDO set-aside single-family housing projects, Boarded-up House projects, and single-family development projects funded under the Housing Development Loan Program. Power CDC activity should produce four units of housing with CHDO set-aside funding.

<u>Goal Impact:</u> The proposed allocations will assist City CHDOs in contributing to the City Council goals of Economic Vitality and Affordable Living, and Dynamic Core Area and Vibrant Neighborhoods.

**<u>Legal Considerations:</u>** Funding agreements have been approved as to form by the Law Department.

**Recommendations/Actions:** It is recommended that the City Council approve the recommended allocations and the funding agreements and authorize the necessary signatures.

**<u>Attachments:</u>** Funding agreements.

# GRANT AGREEMENT Between

# THE CITY OF WICHITA HOUSING SERVICES DEPARTMENT

Α

PARTICIPATING JURISDICTION

And

Mennonite Housing Rehabilitation Services, Inc. (The Agency)

A

COMMUNITY HOUSING DEVELOPMENT ORGANIZATION

Operating Support Funding 2012-2013

HOME Investment Partnerships Program

Housing and Community Services Department City of Wichita 332 N. Riverview Wichita, KS 67203 Phone (316) 268-4688 Fax (316) 268-4219

No.		

### **AGREEMENT**

THIS CONTRACT, dated to be effective the 24<sup>th</sup> day of July, 2012, by and between the City of Wichita, Kansas (hereinafter referred to as the City) and Mennonite Housing Rehabilitation Services, Inc. (hereinafter referred to as the "Agency").

#### WITNESSETH THAT:

WHEREAS, the City is entitled to receive a HOME Investment Partnerships Program Grant (hereinafter referred to as HOME), from the U.S. Department of Housing and Urban Development (hereinafter referred to as the "Department").

WHEREAS, the Agency is desirous of participating in activities eligible under HOME, and further agrees that the beneficiaries of its activities under the program and this agreement are, or will be, individuals or families who meet the income eligibility guidelines of Title 24 CFR Part 92.216/217 as applicable; and

WHEREAS, the purpose of the assistance to be provided under this agreement is specifically authorized by Title 24 CFR Section 92.208; and

WHEREAS, the City deems the activities to be provided by the Agency as consistent with, and supportive of the HOME Investment Partnership Program, and the Agency requires the financial assistance of the City to initiate its activities; and

WHEREAS, the cooperation of the City and the Agency is essential for the successful implementation of an Affordable Housing Program;

NOW, THEREFORE, the contracting parties do mutually agree as follows:

SECTION 1. SCOPE OF SERVICES. The Agency must follow the Performance Criteria and Program Description as outlined in Exhibit B. Any programmatic change substantially altering the contract's original intent or financial change in contract amount or line items in the approved budget that is greater than \$10,000 shall require a written contract amendment. The amendment shall be approved by the City Council and shall also be approved and signed by all parties to the original contract.

SECTION 2. <u>TIME OF PERFORMANCE</u>. The services of the Agency are to begin July 24, 2012, and end no later than December 31, 2013 and shall be undertaken to accomplish the purposes of this contract.

### SECTION 3. RECORDS, REPORTS AND INSPECTION.

- A. <u>Establishment and Maintenance of Records</u>. The Agency shall establish and maintain records as prescribed by the Department, and/or the City, with respect to all matters covered by this contract. Except as otherwise authorized by the Department and/or the City, the Agency shall (Per 24 CFR 92.508) **retain such records for a period of five years following the date final payment is received under this contract.**
- B. <u>Documentation of Costs</u>. All costs shall be supported by properly executed payrolls, time records, invoices, contracts or vouchers, or other official documentation evidencing in proper detail the nature and propriety of the charges. All checks, payrolls, invoices, contracts, vouchers, orders or other accounting documents pertaining in whole or in part to this contract shall be clearly identified and readily accessible.
- C. Reports and information. The Agency, at such times and in such forms as the City or its designated and authorized representative(s) may require, shall furnish to the City or its designated and authorized representative(s) such statements, records, reports, data and information as the City may request pertaining to matters covered by this contract.
- D. <u>Audits and Inspections</u>. The Agency shall at any time and as often as the Housing and Community Services Department, or the City or the Comptroller General, or the Department of Housing and Urban Development, (HUD) or the HUD Inspector General of the United States may deem necessary, make available all its records and data for the purpose of making audits, reviews, examinations, excerpts and transcriptions.

SECTION 4. <u>CONFLICT OF INTEREST</u>. No owner, Agency or sponsor of a project assisted with HOME funds (or officer, employee, agent, elected or appointed official or consultant of the owner, Agency or sponsor) whether private, for profit or non-profit (including a Community Housing Development Organization (CHDO) when acting as an owner, Agency or sponsor) may occupy a HOME-assisted affordable unit in a project. This provision does not apply to an individual who receives HOME funds to acquire or rehabilitate his or her principal residence or to an employee or agent of the owner or Agency of a rental housing project who occupies a housing unit as the project manager or maintenance worker. (24 CFR 92.356 (f)(1)).

EXCEPTIONS: An exception may be granted in accordance and in compliance with 24 CFR 92.356 (f)(2)(I) through (V), and with the City's prior approval.

### SECTION 5. DISCRIMINATION.

A. <u>Discrimination Prohibited</u>. No recipient or proposed recipient of any funds, services or other assistance under the provisions of this contract or any program related to this contract, shall be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity funded in whole or in part with the funds made available through this contract on the grounds of race, color, national origin, ancestry, religion, disability, sex or age. (Reference Title VI of the Civil Rights Act of 1964 (Pub. L. 88-352)). For purposes of this section, "program or

activity" is defined as any function conducted by an identifiable administrative unit of the Agency receiving funds pursuant to this contract.

- B. The Agency further agrees to implement and comply with the "Revised Non-Discrimination and Equal Employment Opportunity Statement for contracts or agreements" as provided in <a href="Exhibit A">Exhibit A</a> attached hereto.
- C. The Agency will not discriminate against any employee or applicant for employment because of race, color, national origin, sex, or religion, in accordance with Executive Order 11246 Equal Employment Opportunity, as amended and its implementing regulations at 41 CFR Part 60. If the Agency has fifteen or more employees, the Agency is prohibited from discriminating against any employee or applicant with a disability, in accordance with Title I of the Americans with Disabilities Act of 1990 (ADA). Nondiscrimination notices should be included in all job postings and posted in a visible place in the Agency's office.

# SECTION 6. <u>EMPLOYMENT OPPORTUNITIES FOR BUSINESSES AND LOWER</u> INCOME PERSONS IN CONNECTION WITH ASSISTED PROJECTS.

A. <u>GENERAL</u>. Section 3 of the Housing and Urban Development Act of 1968, 12 U.S.C. 1701 u., and Sec. 7 (d), Department of HUD Act, 42 U.S.C. 3535 (d) is applicable to all projects assisted by any Department program in which loans, grants, subsidies or other financial assistance, including HOME Investment Partnerships Program under the Act are provided in aid of housing, urban planning, development, redevelopment or renewal, public or community facilities, and new community developments.

### B. Assurance of Compliance.

- 1. The work to be performed under this contract is on a project assisted under a program providing direct Federal financial assistance from the Department of Housing and Urban Development and is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701 u (section 3). The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
- 2. The parties to this contract will comply with the provisions of said Section 3 and the regulations issued pursuant thereto by the Secretary of Housing and Urban Development set forth in 24 CFR 135 and all applicable rules and orders of the Department issued thereunder prior to the execution of this contract. The parties to this contract certify and agree that they are under no contractual or other disability that would prevent them from complying with these requirements.
- 3. The Agency agrees to send to each labor organization or representative of workers with which the owner has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where

both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

- 4. The Agency agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 CFR part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon finding that the subcontractor is in violation of the regulations in 24 CFR part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR part 135.
- 5. The Agency will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected, but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR part 135.
- 6. Noncompliance with HUD's regulations in 24 CFR part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.
- 7. With respect to work performed in connection with section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to the provisions of section 3 and section 7(b) agree to comply with section 3 to the maximum extent feasible, but not in derogation of compliance with section 7(b).
- 8. Every contract or agreement entered into by the Agency that involves funds provided under this contract will have incorporated therein subsection B of Section 6 of this contract.
- 9. In the event the Agency sells, leases, transfers or otherwise conveys land upon which work in connection with this project is to be performed, the City must be notified in writing, thirty (30) days prior to such action. Further, prior to sale or lease of property purchases, funded under this agreement, the Agency shall include in each contract or subcontract for work on such land, a clause requiring the purchaser, lessee or Agency to assume the same obligations as the Agency for work under subsection B of Section 6 of this contract. Each such purchaser, lessee or Agency shall be relieved of such obligations upon satisfactory completion of all work to be performed under the terms of the redevelopment contract.

SECTION 7. <u>FEDERAL LABOR STANDARDS PROVISIONS</u>. Except with respect to the rehabilitation of residential property containing less than twelve units, the Agency and all contractors

and subcontractors engaged under contracts in excess of \$2,000 for the construction, prosecution, completion or repair of any building or work financed in whole or in part with assistance provided under this contract will comply with the Davis-Bacon Act (40 U.S.C. 276 a to a-7), as supplemented by Department of Labor (DOL) regulations (29 CFR, Part 5), the Copeland "Anti-Kickback" Act (18 U.S.C. 874), as supplemented in DOL regulations (29 CFR, Part 3), sections 103 and 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 327-330) as supplemented by DOL regulations (29 CFR, Part 5), and the regulations issued pursuant thereto. The Agency shall cause or require to be inserted in full, in all such contracts subject to such regulations, provisions consistent with applicable Federal Labor Standards. No contracts under this section shall be awarded to any contractors or subcontractors debarred for violating Federal Labor Standards Provisions. This Project does not include construction, prosecution, completion or repair of any building and is exempt from Davis-Bacon Act wage requirements.

The Agency shall take affirmative action to ensure that applicants for employment are employed, contractors or subcontractors receive contracts, and all employees are treated, without regard to their race, color, religion, sex, or national origin. Such action shall include, but not be limited to the following:

employment, recruitment or recruitment advertising, contracting or subcontracting, promotion, demotion, transfer, layoff, termination, rates of pay or other forms of compensation, and selection for training, including apprenticeship.

The Agency shall incorporate the foregoing requirements of this paragraph in all of its contracts, except those exempt by law, and will require all of its contractors to incorporate such requirements in all subcontracts.

# SECTION 503 AFFIRMATIVE ACTION FOR QUALIFIED INDIVIDUALS WITH DISABILITIES:

The Agency and any subcontractors will comply with the provisions of Section 503 of the Rehabilitation Act of 1973, if the funding award of their Agreement is \$2,500 or more, including, but not limited, to the following:

- a) The Agency will not discriminate against any employee or applicant for employment because of physical or mental disability in regard to any position for which the employee or applicant for employment is qualified.
- b) The Agency agrees to take affirmative action to employ, advance in employment and otherwise treat qualified individuals with disabilities without discrimination based upon their physical or mental disability in all employment practices, including, but not limited to, the following:

Employment, recruitment or recruitment advertising, contracting or subcontracting, promotion, demotion, transfer, layoff, termination, rates of pay or other forms of compensation, and selection for training, including apprenticeship.

- c) The Agency agrees to post in conspicuous places, within administrative office and warehouse facilities available to employees and applicants for employment, notices, which make reference to the Agency's compliance with The Rehabilitation Act. Such notices shall state the Agency's obligation under the law not to discriminate on the basis of physical or mental disability and to take affirmative action to employ and advance in employment qualified individuals with disabilities.
- SECTION 8. <u>COMPLIANCE WITH LOCAL LAWS</u>. All parties shall comply with all applicable laws, ordinances, codes and regulations of the State of Kansas and local governments.
- SECTION 9. <u>ASSIGNABILITY</u>. The Agency shall not assign any interest in this contract without prior written consent of the City.

### SECTION 10. POLITICAL ACTIVITY PROHIBITED.

- A. None of the funds, materials, property or services provided directly or indirectly under this contract shall be used for partisan political activity.
- B. The funds provided under this contract shall not be engaged in any way in contravention of Chapter 15 of Title 5, U.S.C.
- SECTION 11. <u>LOBBYING PROHIBITED</u>. None of the funds provided under this contract shall be used for lobbying and/or propaganda purposes designed to support or defeat legislation pending before the Congress of the United States of America or the Legislature of the State of Kansas.

### SECTION 12. PAYMENTS.

- A. <u>Compensation and Method of Payment</u>. Compensation and method of payment to the Agency, relative to conducting the operations of the project activities and services as herein described, will be carried out as specified in Exhibit B attached hereto, and will be administered under the established accounting and fiscal policies of the City of Wichita.
- B. <u>Total Payments</u>. Total Payment to the Agency will not exceed \$25,000 as referenced in Exhibit B.
- C. <u>Restriction on Disbursements</u>. No Entitlement Funds shall be disbursed to the Agency or contractor except pursuant to a written contract, which incorporates by reference the general conditions of this contract.

D. <u>Unearned Payments</u>. Under this contract unearned payments may be suspended or terminated if the entitlement funds to the City of Wichita under the HOME Investment Partnerships Program (24 CFR Part 92) are suspended or terminated.

SECTION 13. <u>TERMINATION CLAUSE</u>. Upon breach of the contract by the Agency, the City, by giving written notification, may terminate this contract immediately. A breach shall include, but not be limited to, failure to comply with any or all items contained within Section 1 through Section 26, Exhibits and/or provisions of any subsequent contractual amendments executed relative to this contract. In the event of a breach of contract, the Agency agrees to repay any HOME funds advanced under this agreement.

### SECTION 14. AMENDMENTS.

- A. To provide necessary flexibility for the most effective execution of this project, whenever both the City and the Agency mutually agree, changes to this contract may be effected by placing them in written form and incorporating them into this contract.
- B. Programmatic changes substantially altering the contract's original intent or financial changes in contract amount or line items in the approved budget (Exhibit C) that are greater than \$10,000 shall require a written contract amendment. The amendment must be approved by the City Council and must also be approved and signed by all parties to the original contract.

SECTION 15. <u>POLLUTION STANDARDS</u>. In the event the grand total of Exhibit C is in excess of \$100,000, the Agency agrees to comply with all applicable standards, orders, or regulations issued pursuant to the Clean Air Act of 1970 (42 U.S.C. 185, et seq.) and the Federal Water Pollution Control Act (33 U.S.C.1251, et seq.), as amended.

SECTION 16. <u>ARCHITECTURAL BARRIERS</u>. Every building or facility (other than a private residential structure) designed, constructed or altered with funds provided pursuant to this contract shall be designed, altered or constructed in accordance with the standards issued under the Architectural Barriers Act of 1968 (42 USC 4151 et. seq.), as amended, and the minimum guidelines and requirements issued by the Architectural and Transportation Compliance Board pursuant to Section 502 (b.) (7.) of the Rehabilitation Act of 1973 (29 USC 792 (b.) (7.) as amended.

The Section 504 implementing regulations (24 CFR Part 8) apply to this project. Newly constructed or rehabilitated housing for purchase or single-family housing developed with Federal funds must be made accessible upon the request of the prospective buyer if the nature of the prospective occupant's disability so requires. Should a prospective buyer request a modification to make a unit accessible, the owner/Agency must work with the buyer to provide specific features that meet the need(s) of the prospective homebuyer/occupant. If the design features that are needed for the buyer are design features that are covered in the Uniform Federal Accessibility Standards (UFAS), those features must comply with the UFAS standard. The Agency shall be permitted to depart from the standard in order to have the buyer/occupant's needs met.

Multi-family dwellings must also meet the design and construction requirements at 24 CFR 100.205, which implement the Fair Housing Act (42 U.S.C. 3601-19), and Section 504 of the Rehabilitation Act of 1973, as applicable.

SECTION 17. <u>ANTI-TRUST LITIGATION</u>. For good cause, and as consideration for executing this contract, the Agency, acting herein by and through its authorized agent, hereby conveys, sells, assigns and transfers to the City of Wichita all right, title and interest in and to all causes of action it may now or hereafter acquire under the anti-trust laws of the United States and the State of Kansas, relating to the particular product, products, or services purchased or acquired by the Agency pursuant to this contract.

SECTION 18. <u>UNIFORM GRANT ADMINISTRATIVE REQUIREMENTS AND</u>
<u>COST PRINCIPLES</u>. During the administration of this contract, the Agency shall comply with 24 CFR 84.21, Standards for financial management systems, as follows:

- (a) Agency is required to relate financial data to performance data and develop unit cost information whenever practical.
- (b) Agency's financial management systems shall provide for the following:
  - (1) Accurate, current and complete disclosure of the financial results of each federally-sponsored project or program in accordance with the reporting requirements set forth in §84.52. If a recipient maintains its records on other than an accrual basis, the Agency shall not be required to establish an accrual accounting system. The Agency may develop such accrual data for reports on the basis of an analysis of the documentation on hand.
  - (2) Records that identify adequately the source and application of funds for federally-sponsored activities. These records shall contain information pertaining to Federal awards, authorizations, obligations, unobligated balances, assets, outlays, income and interest.
  - (3) Effective control over and accountability for all funds, property and other assets. The Agency shall adequately safeguard all such assets and assure they are used solely for authorized purposes.
  - (4) Comparison of outlays with budget amounts for each award. Whenever appropriate, financial information should be related to performance and unit cost data.
  - (5) Written procedures to minimize the time elapsing between the transfer of funds to the Agency from the City, and the issuance or redemption of checks, warrants or payments by other means for program purposes by the Agency. To the extent that the provisions of the Cash Management Improvement Act (CMIA) (Pub. L. 101-453) govern, payment methods of State agencies, instrumentalities, and fiscal agents shall be consistent with CMIA Treasury-State Agreements or the CMIA default procedures codified at 31

CFR part 205, "Withdrawal of Cash from the Treasury for Advances under Federal Grant and Other Programs."

- (6) Written procedures for determining the reasonableness, allocability and allowability of costs in accordance with the provisions of the applicable Federal cost principles and the terms and conditions of the award.
- (7) Accounting records including cost accounting records that are supported by source documentation.
- (c) Where the City guarantees or insures the repayment of money borrowed by the Agency, The City, at its discretion, may require adequate bonding and insurance if the bonding and insurance requirements of the recipient are not deemed adequate to protect the interest of the City.
- (d) The City may require adequate fidelity bond coverage where the Agency lacks sufficient coverage to protect the City's interest.
- (e) Where bonds are required in the situations described above, the bonds shall be obtained from companies holding certificates of authority as acceptable sureties, as prescribed in 31 CFR part 223, "Surety Companies Doing Business with the United States."

SECTION 19. <u>RENEGOTIATION</u>. This contract may be renegotiated in the event alternate sources of funding become available during the term of the contract.

SECTION 20. <u>LEAD-BASED PAINT POISONING PREVENTION</u>. The Agency will comply with the lead-based paint provisions at 24 CFR Part 35 and at 24 CFR 570.608, and Title X of the Housing and Development Act of 1992. Compliance will include all activities required by these regulations. The Agency also agrees to document each client file with regard to these provisions, and action(s) taken if required. A copy of the current HUD Lead-Based Paint Certification will be retained in the file of each client assisted with HOME funds under this contract. The Agency will comply with the Lead-Based paint Poisoning Prevention Act (42 U.S.C. 4821 et seq.) and 24 CFR part 35. The Project will comply with section 92.355 of the HOME rule. The Agency will also comply with the lead-based paint provisions of section 982.401(j) and the Lead-Based Paint provisions of the Section 8 Housing Quality Standards (HQS), irrespective of the applicable property standard under section 92.251. The Agency will comply with sections 1012 and 1013 of the Residential Lead-Based Paint Hazard Reduction Act of 1992 (P.L. 102-550), and the regulations found at 24 CFR part 35.

SECTION 21. <u>TERMINATION FOR CONVENIENCE</u>. The City may terminate this contract at any time by a notice in writing from the City to the Agency. If the contract is terminated by the City as provided herein, the Agency will be paid an amount which bears the same ratio to the total compensation as the services actually performed bear to the total services of the Agency covered by this contract, less payments of compensation previously made: <u>Provided</u>, however, that if less than sixty (60) percent of the services covered by this contract have been performed upon the effective date of such termination, the Agency shall be reimbursed (in addition to the above payment) for that portion of the actual out-of-pocket expense (not otherwise reimbursed under this contract) incurred by the Agency during the contract period

which are directly attributable to the uncompleted portion of the services covered by this contract. If this contract is terminated due to the fault of the Agency, Section 13 herein relative to termination shall apply.

SECTION 22. <u>REFUND OF INCOME</u>. All income earned by a project as a result of entitlement funds shall be accounted for and refunded to the City quarterly or used to offset project cost unless otherwise specified in Exhibit B. Earned income shall be defined as fees received, subsidies, sales and any program income.

SECTION 23. <u>REVERSION OF ASSETS</u>. In the event this contract is terminated, due to breach, convenience, or expiration, the Agency agrees to transfer ownership of any real property purchased with HOME funds under this agreement, to the City. This clause shall not apply if the project has been completed as contractually agreed, and the applicable affordability period has expired.

SECTION 24. <u>OTHER FEDERAL REGULATIONS</u>. Activities funded with HOME funds must comply with all of the following federal laws, executive orders and regulations pertaining to fair housing and equal opportunity, as follows:

Title VIII of the Civil Rights Act of 1968 (Fair Housing Act, (42 U.S.C. 3601-3620) As Amended, and implementing regulations at 24 CFR 100. The Fair Housing Act prohibits discrimination in the sale, rental and financing of dwellings and in other housing-related transactions, based on race, color, national origin, religion, sex, familial status, and disability.

Title VI of the Civil Rights Act of 1964, As Amended (42 U.S.C. 2000d et seq.). This law prohibits discrimination on the basis of race, color, and national origin in all Federally-assisted programs.

The Age Discrimination Act of 1975, As Amended (42 U.S.C. 6101), and implementing regulations at 24 CFR Part 146. This law prohibits age discrimination based on disability in all programs or activities operated by recipients of Federal financial assistance.

Equal Opportunity in Housing (Executive Order 11063, and Executive Order 12259), and implementing regulations at 24 CFR Part 107. These Executive Orders prohibit discrimination against individuals on the basis of race, color, religion, sex, and national origin in the sale, rental, leasing or other disposition of residential property, or in the use or occupancy of housing assisted with Federal funds.

Title II of the Americans with Disabilities Act (ADA). Title II of ADA prohibits discrimination against persons with disabilities in all programs, activities, and services of a public entity. (42 U.S.C. 12131; 47 U.S.C. 155, 201, 218, and 225)

SECTION 25. DISBURSEMENT OF HOME FUNDS. The Agency may not request disbursement of HOME funds under this agreement until the funds are needed for payment of eligible costs. Unless otherwise approved by the Housing Services Department, payments to the

Agency will be provided on a reimbursement basis. The amount of each request will be limited to the amount needed.

SECTION 26. <u>APPENDICES</u>. All exhibits referenced in this contract, all amendments mutually agreed upon, and modifications made by both parties are hereby incorporated as though fully set forth herein.

Exhibit A: Revised Non-Discrimination & Equal Employment Opportunity Statement

Exhibit B: Performance Criteria and Program Description

Exhibit C: Budget

Mennonite Housing Rehabilitation Ser (the Agency)	vices, Inc.
Signature	
Title of Officer	
Date	
CITY OF WICHITA, at the Direction	of the City Council
ByCarl Brewer, Mayor	
Date	
ATTEST:	
Karen Sublett, City Clerk	
Date	
Approved as to Form:	
Gary E. Rebenstorf, City Attorney And Director of Law of the City of Wichita	Date

# REVISED NON-DISCRIMINATION AND EQUAL EMPLOYMENT OPPORTUNITY/AFFIRMATIVE ACTION PROGRAM REQUIREMENTS STATEMENT FOR CONTRACTS OR AGREEMENTS

During the term of this contract, the contractor or subcontractor, vendor or supplier of the City, by whatever term identified herein, shall comply with the following Non-Discrimination--Equal Employment Opportunity/Affirmative Action Program Requirements:

A. During the performance of this contract, the contractor, subcontractor, vendor or supplier of the City, or any of its agencies, shall comply with all the provisions of the Civil Rights Act of 1964, as amended: The Equal Employment Opportunity Act of 1972; Presidential Executive Orders 11246, 11375, 11131; Part 60 of Title 41 of the Code of Federal Regulations; the Age Discrimination in Employment Act of 1967; the Americans with Disabilities Act of 1990 and laws, regulations or amendments as may be promulgated thereunder.

### B. Requirements of the State of Kansas:

- 1. The contractor shall observe the provisions of the Kansas Act against Discrimination (Kansas Statutes Annotated 44-1001, et seq.) and shall not discriminate against any person in the performance of work under the present contract because of race, religion, color, sex, disability, and age except where age is a bona fide occupational qualification, national origin or ancestry;
- 2. In all solicitations or advertisements for employees, the contractor shall include the phrase, "Equal Opportunity Employer", or a similar phrase to be approved by the "Kansas Human Rights Commission";
- 3. If the contractor fails to comply with the manner in which the contractor reports to the "Kansas Human Rights Commission" in accordance with the provisions of K.S.A. 1976 Supp. 44-1031, as amended, the contractor shall be deemed to have breached this contract and it may be canceled, terminated or suspended in whole or in part by the contracting agency;
- 4. If the contractor is found guilty of a violation of the Kansas Act against Discrimination under a decision or order of the "Kansas Human Rights Commission" which has become final, the contractor shall be deemed to have breached the present contract, and it may be canceled, terminated or suspended in whole or in part by the contracting agency;

- 5. The contractor shall include the provisions of Paragraphs 1 through 4 inclusive, of this Subsection B, in every subcontract or purchase so that such provisions will be binding upon such subcontractor or vendor.
- C. Requirements of the City of Wichita, Kansas, relating to Non-Discrimination -- Equal Employment Opportunity/Affirmative Action Program Requirements:
  - 1. The vendor, supplier, contractor or subcontractor shall practice Non-Discrimination -Equal Employment Opportunity in all employment relations, including but not limited
    to employment, upgrading, demotion or transfer, recruitment or recruitment
    advertising, layoff or termination, rates of pay or other forms of compensation, and
    selection for training, including apprenticeship. The vendor, supplier, contractor or
    subcontractor shall submit an Equal Employment Opportunity or Affirmative Action
    Program, when required, to the Department of Finance of the City of Wichita, Kansas,
    in accordance with the guidelines established for review and evaluation;
  - 2. The vendor, supplier, contractor or subcontractor will, in all solicitations or advertisements for employees placed by or on behalf of the vendor, supplier, contractor or subcontractor, state that all qualified applicants will receive consideration for employment without regard to race, religion, color, sex, "disability, and age except where age is a bona fide occupational qualification", national origin or ancestry. In all solicitations or advertisements for employees the vendor, supplier, contractor or subcontractor shall include the phrase, "Equal Opportunity Employer", or a similar phrase;
  - 3. The vendor, supplier, contractor or subcontractor will furnish all information and reports required by the Department of Finance of said City for the purpose of investigation to ascertain compliance with Non-Discrimination -- Equal Employment Opportunity Requirements. If the vendor, supplier, contractor, or subcontractor fails to comply with the manner in which he/she or it reports to the City in accordance with the provisions hereof, the vendor, supplier, contractor or subcontractor shall be deemed to have breached the present contract, purchase order or agreement and it may be canceled, terminated or suspended in whole or in part by the City or its agency; and further Civil Rights complaints, or investigations may be referred to the State;
  - 4. The vendor, supplier, contractor or subcontractor shall include the provisions of Subsections 1 through 3 inclusive, of this present section in every subcontract, subpurchase order or subagreement so that such provisions will be binding upon each subcontractor, subvendor or subsupplier.

### D. Exempted from these requirements are:

- 1. Those contractors, subcontractors, vendors or suppliers who have less than four (4) employees, whose contracts, purchase orders or agreements cumulatively total less than five thousand dollars (\$5,000) during the fiscal year of said City are exempt from any further Equal Employment Opportunity or Affirmative Action Program submittal.
- 2. Those vendors, suppliers, contractors or subcontractors who have already complied with the provisions set forth in this section by reason of holding a contract with the Federal government or contract involving Federal funds; provided that such contractor, subcontractor, vendor or supplier provides written notification of a compliance review and determination of an acceptable compliance posture within a preceding forty-five (45) day period from the Federal agency involved.

### Exhibit B

# PERFORMANCE CRITERIA AND CONTRACT OBJECTIVES

It is mutually agreed and understood by the City of Wichita and the Agency, hereinafter referred to as the "City" and "Agency," respectively, that execution of this contract obligates the Agency to the following performance requirements.

HOME operating funds in the amount of \$25,000 shall be used for the operating expenses of the Agency. Eligible costs are outlined in 24 CFR Part 92, dated September 16, 1996 as amended, as specifically outlined at 24 CFR 92.208.

### I. Administration

The Agency's Executive Director will supervise operations and administration on a day-to-day basis. The Agency's Board of Directors is ultimately responsible for program administration.

### A. Funding

It is mutually agreed by and between the City and the Agency that the total HOME funds available to the Agency will be \$25,000, to provide operational support for HOME-related, single-family housing development activities in the City's Local Investment Areas. Specific use of the funding to be set forth in the sections entitled, Budget and Method of Payment. Funding provided under this contract incorporates the funding application issued in connection with the funding, and the Agency's response, unless superceded by this contract. Equipment purchased with funding provided under this contract must be returned to the City of Wichita for disposition.

### B. Budget

The City shall pay the Agency as hereinafter set out; the maximum of \$25,000.00 for the program described in this contract. Said funding shall be used as follows:

Professional Services; Salaries Support \$25,000.00

TOTAL \$25,000.00

# C. Method of Payment

The Agency agrees that payments under this contract shall be made according to established budgeting, purchasing and accounting procedures of the City of Wichita and HOME. The Agency agrees that all payments under this contract will be on a reimbursement basis. The Agency shall submit a request for reimbursement on a

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monthly basis, by no later than the 30<sup>th</sup> of each month. Upon review of the reimbursement request by the Housing Services Department, the City will proceed to make payment directly to the Agency for all eligible and adequately documented expenses.

- 1. The City and Agency also agree that the categories of expenditures and amounts are estimates and may vary during the course of the contract. Adjustments between existing budget categories can be made administratively. However, changes greater than \$10,000 must be approved by the City Council.
- 2. The Agency will ensure all costs are eligible according to the approved budget. The original documentation supporting any expenditures made under this agreement will be retained in the Agency's files for five (5) years after the final audit of expenditures made under this contract and throughout the applicable period of affordability. Documentation of eligible costs will include, but is not limited to: vendor invoices, purchase orders, receipts and payroll records. The City shall retain all such documentation for audit purposes.
- 3. A maximum of 1/12 of the budgeted amount for salaries will be paid out per month for salaries expenses.
- 4. The Agency may request a cash advance in the amount of no more than 1/12 of the total amount of the contract. Cash advances will be deducted from the total amount of funding provided under this contract.

# D. Records and Reports

- 1. Records shall be maintained documenting performance to be indicated in an annual report. Records are subject to review by the City.
- 2. The Agency will provide, for the year ending June 30 of each year, beginning June 30, 2012, and for each year this contract is in effect, an annual report of the HOME funded portion of the program. The report will consist of a narrative or other description of activities undertaken during the year. Said report shall be due on July 10 of each year during the contract term.
- 3. The **Agency** will maintain records documenting receipts of program income and expenditures of the same. Records shall be maintained valuing in-kind services, and donated goods and services, to be reported in the same manner as other annual reports, for a period of 5 years, following the expiration of this contract.

### II. Other Program Requirements

A. The Agency shall comply with the applicable provisions of OMB Circular A-110, Attachment F, Standards for Financial Management Systems, requiring independent

financial and programmatic audits not less frequently than every two years. In addition to the financial and programmatic audit, the audit shall indicate whether the organization has complied with laws and regulations that may have a material effect on its financial statements and on each Federal assistance program reviewed. Other federal requirements may apply, as outlined in Section 18 of this contract.

# III. Program Evaluation

The City shall evaluate this project based on the objectives stated in Section I.B. of this Exhibit. Failure by the Agency to provide the level of service stated herein may result in a determination by the City to modify the level of payment to the Agency on a pro rata basis with level of service. The Agency records are subject to review by the City to ensure the accuracy and validity of information reported in monthly progress reports.

# **Exhibit C**

# **BUDGET**

Professional Services; Salaries Suppo	ort	<u>\$25,000.00</u>	
	TOTAL	\$25,000.00	

# GRANT AGREEMENT Between

# THE CITY OF WICHITA HOUSING SERVICES DEPARTMENT

Α

PARTICIPATING JURISDICTION

And

Power CDC, Inc. (The Agency)

A

COMMUNITY HOUSING DEVELOPMENT ORGANIZATION

Operating Support Funding 2012-2013

HOME Investment Partnerships Program

Housing and Community Services Department City of Wichita 332 N. Riverview Wichita, KS 67203 Phone (316) 268-4688 Fax (316) 268-4219

No.			

# **AGREEMENT**

THIS CONTRACT, dated to be effective the 24<sup>th</sup> day of July, 2012, by and between the City of Wichita, Kansas (hereinafter referred to as the City) and Power CDC, Inc. (hereinafter referred to as the "Agency").

#### WITNESSETH THAT:

WHEREAS, the City is entitled to receive a HOME Investment Partnerships Program Grant (hereinafter referred to as HOME), from the U.S. Department of Housing and Urban Development (hereinafter referred to as the "Department").

WHEREAS, the Agency is desirous of participating in activities eligible under HOME, and further agrees that the beneficiaries of its activities under the program and this agreement are, or will be, individuals or families who meet the income eligibility guidelines of Title 24 CFR Part 92.216/217 as applicable; and

WHEREAS, the purpose of the assistance to be provided under this agreement is specifically authorized by Title 24 CFR Section 92.208; and

WHEREAS, the City deems the activities to be provided by the Agency as consistent with, and supportive of the HOME Investment Partnership Program, and the Agency requires the financial assistance of the City to initiate its activities; and

WHEREAS, the cooperation of the City and the Agency is essential for the successful implementation of an Affordable Housing Program;

NOW, THEREFORE, the contracting parties do mutually agree as follows:

SECTION 1. SCOPE OF SERVICES. The Agency must follow the Performance Criteria and Program Description as outlined in Exhibit B. Any programmatic change substantially altering the contract's original intent or financial change in contract amount or line items in the approved budget that is greater than \$10,000 shall require a written contract amendment. The amendment shall be approved by the City Council and shall also be approved and signed by all parties to the original contract.

SECTION 2. <u>TIME OF PERFORMANCE</u>. The services of the Agency are to begin July 24, 2012, and end no later than December 31, 2013 and shall be undertaken to accomplish the purposes of this contract.

### SECTION 3. RECORDS, REPORTS AND INSPECTION.

- A. <u>Establishment and Maintenance of Records</u>. The Agency shall establish and maintain records as prescribed by the Department, and/or the City, with respect to all matters covered by this contract. Except as otherwise authorized by the Department and/or the City, the Agency shall (Per 24 CFR 92.508) **retain such records for a period of five years following the date final payment is received under this contract.**
- B. <u>Documentation of Costs</u>. All costs shall be supported by properly executed payrolls, time records, invoices, contracts or vouchers, or other official documentation evidencing in proper detail the nature and propriety of the charges. All checks, payrolls, invoices, contracts, vouchers, orders or other accounting documents pertaining in whole or in part to this contract shall be clearly identified and readily accessible.
- C. <u>Reports and information</u>. The Agency, at such times and in such forms as the City or its designated and authorized representative(s) may require, shall furnish to the City or its designated and authorized representative(s) such statements, records, reports, data and information as the City may request pertaining to matters covered by this contract.
- D. <u>Audits and Inspections</u>. The Agency shall at any time and as often as the Housing and Community Services Department, or the City or the Comptroller General, or the Department of Housing and Urban Development, (HUD) or the HUD Inspector General of the United States may deem necessary, make available all its records and data for the purpose of making audits, reviews, examinations, excerpts and transcriptions.

SECTION 4. <u>CONFLICT OF INTEREST</u>. No owner, Agency or sponsor of a project assisted with HOME funds (or officer, employee, agent, elected or appointed official or consultant of the owner, Agency or sponsor) whether private, for profit or non-profit (including a Community Housing Development Organization (CHDO) when acting as an owner, Agency or sponsor) may occupy a HOME-assisted affordable unit in a project. This provision does not apply to an individual who receives HOME funds to acquire or rehabilitate his or her principal residence or to an employee or agent of the owner or Agency of a rental housing project who occupies a housing unit as the project manager or maintenance worker. (24 CFR 92.356 (f)(1)).

EXCEPTIONS: An exception may be granted in accordance and in compliance with 24 CFR 92.356 (f)(2)(I) through (V), and with the City's prior approval.

### SECTION 5. DISCRIMINATION.

A. <u>Discrimination Prohibited</u>. No recipient or proposed recipient of any funds, services or other assistance under the provisions of this contract or any program related to this contract, shall be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity funded in whole or in part with the funds made available through this contract on the grounds of race, color, national origin, ancestry, religion, disability, sex or age. (Reference Title VI of the Civil Rights Act of 1964 (Pub. L. 88-352)). For purposes of this section, "program or

activity" is defined as any function conducted by an identifiable administrative unit of the Agency receiving funds pursuant to this contract.

- B. The Agency further agrees to implement and comply with the "Revised Non-Discrimination and Equal Employment Opportunity Statement for contracts or agreements" as provided in <a href="Exhibit A">Exhibit A</a> attached hereto.
- C. The Agency will not discriminate against any employee or applicant for employment because of race, color, national origin, sex, or religion, in accordance with Executive Order 11246 Equal Employment Opportunity, as amended and its implementing regulations at 41 CFR Part 60. If the Agency has fifteen or more employees, the Agency is prohibited from discriminating against any employee or applicant with a disability, in accordance with Title I of the Americans with Disabilities Act of 1990 (ADA). Nondiscrimination notices should be included in all job postings and posted in a visible place in the Agency's office.

# SECTION 6. <u>EMPLOYMENT OPPORTUNITIES FOR BUSINESSES AND LOWER</u> INCOME PERSONS IN CONNECTION WITH ASSISTED PROJECTS.

A. <u>GENERAL</u>. Section 3 of the Housing and Urban Development Act of 1968, 12 U.S.C. 1701 u., and Sec. 7 (d), Department of HUD Act, 42 U.S.C. 3535 (d) is applicable to all projects assisted by any Department program in which loans, grants, subsidies or other financial assistance, including HOME Investment Partnerships Program under the Act are provided in aid of housing, urban planning, development, redevelopment or renewal, public or community facilities, and new community developments.

#### B. Assurance of Compliance.

- 1. The work to be performed under this contract is on a project assisted under a program providing direct Federal financial assistance from the Department of Housing and Urban Development and is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701 u (section 3). The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
- 2. The parties to this contract will comply with the provisions of said Section 3 and the regulations issued pursuant thereto by the Secretary of Housing and Urban Development set forth in 24 CFR 135 and all applicable rules and orders of the Department issued thereunder prior to the execution of this contract. The parties to this contract certify and agree that they are under no contractual or other disability that would prevent them from complying with these requirements.
- 3. The Agency agrees to send to each labor organization or representative of workers with which the owner has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where

both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

- 4. The Agency agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 CFR part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon finding that the subcontractor is in violation of the regulations in 24 CFR part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR part 135.
- 5. The Agency will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected, but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR part 135.
- 6. Noncompliance with HUD's regulations in 24 CFR part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.
- 7. With respect to work performed in connection with section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to the provisions of section 3 and section 7(b) agree to comply with section 3 to the maximum extent feasible, but not in derogation of compliance with section 7(b).
- 8. Every contract or agreement entered into by the Agency that involves funds provided under this contract will have incorporated therein subsection B of Section 6 of this contract.
- 9. In the event the Agency sells, leases, transfers or otherwise conveys land upon which work in connection with this project is to be performed, the City must be notified in writing, thirty (30) days prior to such action. Further, prior to sale or lease of property purchases, funded under this agreement, the Agency shall include in each contract or subcontract for work on such land, a clause requiring the purchaser, lessee or Agency to assume the same obligations as the Agency for work under subsection B of Section 6 of this contract. Each such purchaser, lessee or Agency shall be relieved of such obligations upon satisfactory completion of all work to be performed under the terms of the redevelopment contract.

SECTION 7. <u>FEDERAL LABOR STANDARDS PROVISIONS</u>. Except with respect to the rehabilitation of residential property containing less than twelve units, the Agency and all contractors

and subcontractors engaged under contracts in excess of \$2,000 for the construction, prosecution, completion or repair of any building or work financed in whole or in part with assistance provided under this contract will comply with the Davis-Bacon Act (40 U.S.C. 276 a to a-7), as supplemented by Department of Labor (DOL) regulations (29 CFR, Part 5), the Copeland "Anti-Kickback" Act (18 U.S.C. 874), as supplemented in DOL regulations (29 CFR, Part 3), sections 103 and 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 327-330) as supplemented by DOL regulations (29 CFR, Part 5), and the regulations issued pursuant thereto. The Agency shall cause or require to be inserted in full, in all such contracts subject to such regulations, provisions consistent with applicable Federal Labor Standards. No contracts under this section shall be awarded to any contractors or subcontractors debarred for violating Federal Labor Standards Provisions. This Project does not include construction, prosecution, completion or repair of any building and is exempt from Davis-Bacon Act wage requirements.

The Agency shall take affirmative action to ensure that applicants for employment are employed, contractors or subcontractors receive contracts, and all employees are treated, without regard to their race, color, religion, sex, or national origin. Such action shall include, but not be limited to the following:

employment, recruitment or recruitment advertising, contracting or subcontracting, promotion, demotion, transfer, layoff, termination, rates of pay or other forms of compensation, and selection for training, including apprenticeship.

The Agency shall incorporate the foregoing requirements of this paragraph in all of its contracts, except those exempt by law, and will require all of its contractors to incorporate such requirements in all subcontracts.

# SECTION 503 AFFIRMATIVE ACTION FOR QUALIFIED INDIVIDUALS WITH DISABILITIES:

The Agency and any subcontractors will comply with the provisions of Section 503 of the Rehabilitation Act of 1973, if the funding award of their Agreement is \$2,500 or more, including, but not limited, to the following:

- a) The Agency will not discriminate against any employee or applicant for employment because of physical or mental disability in regard to any position for which the employee or applicant for employment is qualified.
- b) The Agency agrees to take affirmative action to employ, advance in employment and otherwise treat qualified individuals with disabilities without discrimination based upon their physical or mental disability in all employment practices, including, but not limited to, the following:

Employment, recruitment or recruitment advertising, contracting or subcontracting, promotion, demotion, transfer, layoff, termination, rates of pay or other forms of compensation, and selection for training, including apprenticeship.

- c) The Agency agrees to post in conspicuous places, within administrative office and warehouse facilities available to employees and applicants for employment, notices, which make reference to the Agency's compliance with The Rehabilitation Act. Such notices shall state the Agency's obligation under the law not to discriminate on the basis of physical or mental disability and to take affirmative action to employ and advance in employment qualified individuals with disabilities.
- SECTION 8. <u>COMPLIANCE WITH LOCAL LAWS</u>. All parties shall comply with all applicable laws, ordinances, codes and regulations of the State of Kansas and local governments.
- SECTION 9. <u>ASSIGNABILITY</u>. The Agency shall not assign any interest in this contract without prior written consent of the City.

### SECTION 10. POLITICAL ACTIVITY PROHIBITED.

- A. None of the funds, materials, property or services provided directly or indirectly under this contract shall be used for partisan political activity.
- B. The funds provided under this contract shall not be engaged in any way in contravention of Chapter 15 of Title 5, U.S.C.
- SECTION 11. <u>LOBBYING PROHIBITED</u>. None of the funds provided under this contract shall be used for lobbying and/or propaganda purposes designed to support or defeat legislation pending before the Congress of the United States of America or the Legislature of the State of Kansas.

### SECTION 12. PAYMENTS.

- A. <u>Compensation and Method of Payment</u>. Compensation and method of payment to the Agency, relative to conducting the operations of the project activities and services as herein described, will be carried out as specified in Exhibit B attached hereto, and will be administered under the established accounting and fiscal policies of the City of Wichita.
- B. <u>Total Payments</u>. Total Payment to the Agency will not exceed \$25,000 as referenced in Exhibit B.
- C. <u>Restriction on Disbursements</u>. No Entitlement Funds shall be disbursed to the Agency or contractor except pursuant to a written contract, which incorporates by reference the general conditions of this contract.

D. <u>Unearned Payments</u>. Under this contract unearned payments may be suspended or terminated if the entitlement funds to the City of Wichita under the HOME Investment Partnerships Program (24 CFR Part 92) are suspended or terminated.

SECTION 13. <u>TERMINATION CLAUSE</u>. Upon breach of the contract by the Agency, the City, by giving written notification, may terminate this contract immediately. A breach shall include, but not be limited to, failure to comply with any or all items contained within Section 1 through Section 26, Exhibits and/or provisions of any subsequent contractual amendments executed relative to this contract. In the event of a breach of contract, the Agency agrees to repay any HOME funds advanced under this agreement.

#### SECTION 14. AMENDMENTS.

- A. To provide necessary flexibility for the most effective execution of this project, whenever both the City and the Agency mutually agree, changes to this contract may be effected by placing them in written form and incorporating them into this contract.
- B. Programmatic changes substantially altering the contract's original intent or financial changes in contract amount or line items in the approved budget (Exhibit C) that are greater than \$10,000 shall require a written contract amendment. The amendment must be approved by the City Council and must also be approved and signed by all parties to the original contract.

SECTION 15. <u>POLLUTION STANDARDS</u>. In the event the grand total of Exhibit C is in excess of \$100,000, the Agency agrees to comply with all applicable standards, orders, or regulations issued pursuant to the Clean Air Act of 1970 (42 U.S.C. 185, et seq.) and the Federal Water Pollution Control Act (33 U.S.C.1251, et seq.), as amended.

SECTION 16. <u>ARCHITECTURAL BARRIERS</u>. Every building or facility (other than a private residential structure) designed, constructed or altered with funds provided pursuant to this contract shall be designed, altered or constructed in accordance with the standards issued under the Architectural Barriers Act of 1968 (42 USC 4151 et. seq.), as amended, and the minimum guidelines and requirements issued by the Architectural and Transportation Compliance Board pursuant to Section 502 (b.) (7.) of the Rehabilitation Act of 1973 (29 USC 792 (b.) (7.) as amended.

The Section 504 implementing regulations (24 CFR Part 8) apply to this project. Newly constructed or rehabilitated housing for purchase or single-family housing developed with Federal funds must be made accessible upon the request of the prospective buyer if the nature of the prospective occupant's disability so requires. Should a prospective buyer request a modification to make a unit accessible, the owner/Agency must work with the buyer to provide specific features that meet the need(s) of the prospective homebuyer/occupant. If the design features that are needed for the buyer are design features that are covered in the Uniform Federal Accessibility Standards (UFAS), those features must comply with the UFAS standard. The Agency shall be permitted to depart from the standard in order to have the buyer/occupant's needs met.

Multi-family dwellings must also meet the design and construction requirements at 24 CFR 100.205, which implement the Fair Housing Act (42 U.S.C. 3601-19), and Section 504 of the Rehabilitation Act of 1973, as applicable.

SECTION 17. <u>ANTI-TRUST LITIGATION</u>. For good cause, and as consideration for executing this contract, the Agency, acting herein by and through its authorized agent, hereby conveys, sells, assigns and transfers to the City of Wichita all right, title and interest in and to all causes of action it may now or hereafter acquire under the anti-trust laws of the United States and the State of Kansas, relating to the particular product, products, or services purchased or acquired by the Agency pursuant to this contract.

SECTION 18. <u>UNIFORM GRANT ADMINISTRATIVE REQUIREMENTS AND</u>
<u>COST PRINCIPLES</u>. During the administration of this contract, the Agency shall comply with 24 CFR 84.21, Standards for financial management systems, as follows:

- (a) Agency is required to relate financial data to performance data and develop unit cost information whenever practical.
- (b) Agency's financial management systems shall provide for the following:
  - (1) Accurate, current and complete disclosure of the financial results of each federally-sponsored project or program in accordance with the reporting requirements set forth in §84.52. If a recipient maintains its records on other than an accrual basis, the Agency shall not be required to establish an accrual accounting system. The Agency may develop such accrual data for reports on the basis of an analysis of the documentation on hand.
  - (2) Records that identify adequately the source and application of funds for federally-sponsored activities. These records shall contain information pertaining to Federal awards, authorizations, obligations, unobligated balances, assets, outlays, income and interest.
  - (3) Effective control over and accountability for all funds, property and other assets. The Agency shall adequately safeguard all such assets and assure they are used solely for authorized purposes.
  - (4) Comparison of outlays with budget amounts for each award. Whenever appropriate, financial information should be related to performance and unit cost data.
  - (5) Written procedures to minimize the time elapsing between the transfer of funds to the Agency from the City, and the issuance or redemption of checks, warrants or payments by other means for program purposes by the Agency. To the extent that the provisions of the Cash Management Improvement Act (CMIA) (Pub. L. 101-453) govern, payment methods of State agencies, instrumentalities, and fiscal agents shall be consistent with CMIA Treasury-State Agreements or the CMIA default procedures codified at 31

CFR part 205, "Withdrawal of Cash from the Treasury for Advances under Federal Grant and Other Programs."

- (6) Written procedures for determining the reasonableness, allocability and allowability of costs in accordance with the provisions of the applicable Federal cost principles and the terms and conditions of the award.
- (7) Accounting records including cost accounting records that are supported by source documentation.
- (c) Where the City guarantees or insures the repayment of money borrowed by the Agency, The City, at its discretion, may require adequate bonding and insurance if the bonding and insurance requirements of the recipient are not deemed adequate to protect the interest of the City.
- (d) The City may require adequate fidelity bond coverage where the Agency lacks sufficient coverage to protect the City's interest.
- (e) Where bonds are required in the situations described above, the bonds shall be obtained from companies holding certificates of authority as acceptable sureties, as prescribed in 31 CFR part 223, "Surety Companies Doing Business with the United States."

SECTION 19. <u>RENEGOTIATION</u>. This contract may be renegotiated in the event alternate sources of funding become available during the term of the contract.

SECTION 20. <u>LEAD-BASED PAINT POISONING PREVENTION</u>. The Agency will comply with the lead-based paint provisions at 24 CFR Part 35 and at 24 CFR 570.608, and Title X of the Housing and Development Act of 1992. Compliance will include all activities required by these regulations. The Agency also agrees to document each client file with regard to these provisions, and action(s) taken if required. A copy of the current HUD Lead-Based Paint Certification will be retained in the file of each client assisted with HOME funds under this contract. The Agency will comply with the Lead-Based paint Poisoning Prevention Act (42 U.S.C. 4821 et seq.) and 24 CFR part 35. The Project will comply with section 92.355 of the HOME rule. The Agency will also comply with the lead-based paint provisions of section 982.401(j) and the Lead-Based Paint provisions of the Section 8 Housing Quality Standards (HQS), irrespective of the applicable property standard under section 92.251. The Agency will comply with sections 1012 and 1013 of the Residential Lead-Based Paint Hazard Reduction Act of 1992 (P.L. 102-550), and the regulations found at 24 CFR part 35.

SECTION 21. <u>TERMINATION FOR CONVENIENCE</u>. The City may terminate this contract at any time by a notice in writing from the City to the Agency. If the contract is terminated by the City as provided herein, the Agency will be paid an amount which bears the same ratio to the total compensation as the services actually performed bear to the total services of the Agency covered by this contract, less payments of compensation previously made: <u>Provided</u>, however, that if less than sixty (60) percent of the services covered by this contract have been performed upon the effective date of such termination, the Agency shall be reimbursed (in addition to the above payment) for that portion of the actual out-of-pocket expense (not otherwise reimbursed under this contract) incurred by the Agency during the contract period

which are directly attributable to the uncompleted portion of the services covered by this contract. If this contract is terminated due to the fault of the Agency, Section 13 herein relative to termination shall apply.

SECTION 22. <u>REFUND OF INCOME</u>. All income earned by a project as a result of entitlement funds shall be accounted for and refunded to the City quarterly or used to offset project cost unless otherwise specified in Exhibit B. Earned income shall be defined as fees received, subsidies, sales and any program income.

SECTION 23. <u>REVERSION OF ASSETS</u>. In the event this contract is terminated, due to breach, convenience, or expiration, the Agency agrees to transfer ownership of any real property purchased with HOME funds under this agreement, to the City. This clause shall not apply if the project has been completed as contractually agreed, and the applicable affordability period has expired.

SECTION 24. <u>OTHER FEDERAL REGULATIONS</u>. Activities funded with HOME funds must comply with all of the following federal laws, executive orders and regulations pertaining to fair housing and equal opportunity, as follows:

Title VIII of the Civil Rights Act of 1968 (Fair Housing Act, (42 U.S.C. 3601-3620) As Amended, and implementing regulations at 24 CFR 100. The Fair Housing Act prohibits discrimination in the sale, rental and financing of dwellings and in other housing-related transactions, based on race, color, national origin, religion, sex, familial status, and disability.

Title VI of the Civil Rights Act of 1964, As Amended (42 U.S.C. 2000d et seq.). This law prohibits discrimination on the basis of race, color, and national origin in all Federally-assisted programs.

The Age Discrimination Act of 1975, As Amended (42 U.S.C. 6101), and implementing regulations at 24 CFR Part 146. This law prohibits age discrimination based on disability in all programs or activities operated by recipients of Federal financial assistance.

Equal Opportunity in Housing (Executive Order 11063, and Executive Order 12259), and implementing regulations at 24 CFR Part 107. These Executive Orders prohibit discrimination against individuals on the basis of race, color, religion, sex, and national origin in the sale, rental, leasing or other disposition of residential property, or in the use or occupancy of housing assisted with Federal funds.

Title II of the Americans with Disabilities Act (ADA). Title II of ADA prohibits discrimination against persons with disabilities in all programs, activities, and services of a public entity. (42 U.S.C. 12131; 47 U.S.C. 155, 201, 218, and 225)

SECTION 25. DISBURSEMENT OF HOME FUNDS. The Agency may not request disbursement of HOME funds under this agreement until the funds are needed for payment of eligible costs. Unless otherwise approved by the Housing Services Department, payments to the

Agency will be provided on a reimbursement basis. The amount of each request will be limited to the amount needed.

SECTION 26. <u>APPENDICES</u>. All exhibits referenced in this contract, all amendments mutually agreed upon, and modifications made by both parties are hereby incorporated as though fully set forth herein.

Exhibit A: Revised Non-Discrimination & Equal Employment Opportunity Statement

Exhibit B: Performance Criteria and Program Description

Exhibit C: Budget

Power CDC, Inc. (the Agency)	
Signature	
Title of Officer	
Date	
CITY OF WICHITA, at the Direction  By Carl Brewer, Mayor	of the City Council
Date	
ATTEST:	
Karen Sublett, City Clerk	
Date	
Approved as to Form:	
Gary E. Rebenstorf, City Attorney And Director of Law of the City of Wichita	Date

# REVISED NON-DISCRIMINATION AND EQUAL EMPLOYMENT OPPORTUNITY/AFFIRMATIVE ACTION PROGRAM REQUIREMENTS STATEMENT FOR CONTRACTS OR AGREEMENTS

During the term of this contract, the contractor or subcontractor, vendor or supplier of the City, by whatever term identified herein, shall comply with the following Non-Discrimination--Equal Employment Opportunity/Affirmative Action Program Requirements:

A. During the performance of this contract, the contractor, subcontractor, vendor or supplier of the City, or any of its agencies, shall comply with all the provisions of the Civil Rights Act of 1964, as amended: The Equal Employment Opportunity Act of 1972; Presidential Executive Orders 11246, 11375, 11131; Part 60 of Title 41 of the Code of Federal Regulations; the Age Discrimination in Employment Act of 1967; the Americans with Disabilities Act of 1990 and laws, regulations or amendments as may be promulgated thereunder.

# B. Requirements of the State of Kansas:

- 1. The contractor shall observe the provisions of the Kansas Act against Discrimination (Kansas Statutes Annotated 44-1001, et seq.) and shall not discriminate against any person in the performance of work under the present contract because of race, religion, color, sex, disability, and age except where age is a bona fide occupational qualification, national origin or ancestry;
- 2. In all solicitations or advertisements for employees, the contractor shall include the phrase, "Equal Opportunity Employer", or a similar phrase to be approved by the "Kansas Human Rights Commission";
- 3. If the contractor fails to comply with the manner in which the contractor reports to the "Kansas Human Rights Commission" in accordance with the provisions of K.S.A. 1976 Supp. 44-1031, as amended, the contractor shall be deemed to have breached this contract and it may be canceled, terminated or suspended in whole or in part by the contracting agency;
- 4. If the contractor is found guilty of a violation of the Kansas Act against Discrimination under a decision or order of the "Kansas Human Rights Commission" which has become final, the contractor shall be deemed to have breached the present contract, and it may be canceled, terminated or suspended in whole or in part by the contracting agency;

- 5. The contractor shall include the provisions of Paragraphs 1 through 4 inclusive, of this Subsection B, in every subcontract or purchase so that such provisions will be binding upon such subcontractor or vendor.
- C. Requirements of the City of Wichita, Kansas, relating to Non-Discrimination -- Equal Employment Opportunity/Affirmative Action Program Requirements:
  - 1. The vendor, supplier, contractor or subcontractor shall practice Non-Discrimination -Equal Employment Opportunity in all employment relations, including but not limited
    to employment, upgrading, demotion or transfer, recruitment or recruitment
    advertising, layoff or termination, rates of pay or other forms of compensation, and
    selection for training, including apprenticeship. The vendor, supplier, contractor or
    subcontractor shall submit an Equal Employment Opportunity or Affirmative Action
    Program, when required, to the Department of Finance of the City of Wichita, Kansas,
    in accordance with the guidelines established for review and evaluation;
  - 2. The vendor, supplier, contractor or subcontractor will, in all solicitations or advertisements for employees placed by or on behalf of the vendor, supplier, contractor or subcontractor, state that all qualified applicants will receive consideration for employment without regard to race, religion, color, sex, "disability, and age except where age is a bona fide occupational qualification", national origin or ancestry. In all solicitations or advertisements for employees the vendor, supplier, contractor or subcontractor shall include the phrase, "Equal Opportunity Employer", or a similar phrase;
  - 3. The vendor, supplier, contractor or subcontractor will furnish all information and reports required by the Department of Finance of said City for the purpose of investigation to ascertain compliance with Non-Discrimination -- Equal Employment Opportunity Requirements. If the vendor, supplier, contractor, or subcontractor fails to comply with the manner in which he/she or it reports to the City in accordance with the provisions hereof, the vendor, supplier, contractor or subcontractor shall be deemed to have breached the present contract, purchase order or agreement and it may be canceled, terminated or suspended in whole or in part by the City or its agency; and further Civil Rights complaints, or investigations may be referred to the State;
  - 4. The vendor, supplier, contractor or subcontractor shall include the provisions of Subsections 1 through 3 inclusive, of this present section in every subcontract, subpurchase order or subagreement so that such provisions will be binding upon each subcontractor, subvendor or subsupplier.

# D. Exempted from these requirements are:

- 1. Those contractors, subcontractors, vendors or suppliers who have less than four (4) employees, whose contracts, purchase orders or agreements cumulatively total less than five thousand dollars (\$5,000) during the fiscal year of said City are exempt from any further Equal Employment Opportunity or Affirmative Action Program submittal.
- 2. Those vendors, suppliers, contractors or subcontractors who have already complied with the provisions set forth in this section by reason of holding a contract with the Federal government or contract involving Federal funds; provided that such contractor, subcontractor, vendor or supplier provides written notification of a compliance review and determination of an acceptable compliance posture within a preceding forty-five (45) day period from the Federal agency involved.

#### Exhibit B

# PERFORMANCE CRITERIA AND CONTRACT OBJECTIVES

It is mutually agreed and understood by the City of Wichita and the Agency, hereinafter referred to as the "City" and "Agency," respectively, that execution of this contract obligates the Agency to the following performance requirements.

HOME operating funds in the amount of \$25,000 shall be used for the operating expenses of the Agency. Eligible costs are outlined in 24 CFR Part 92, dated September 16, 1996 as amended, as specifically outlined at 24 CFR 92.208.

#### I. Administration

The Agency's Executive Director will supervise operations and administration on a day-to-day basis. The Agency's Board of Directors is ultimately responsible for program administration.

# A. Funding

It is mutually agreed by and between the City and the Agency that the total HOME funds available to the Agency will be \$25,000, to provide operational support for HOME-related, single-family housing development activities in the City's Local Investment Areas. Specific use of the funding to be set forth in the sections entitled, Budget and Method of Payment. Funding provided under this contract incorporates the funding application issued in connection with the funding, and the Agency's response, unless superceded by this contract. Equipment purchased with funding provided under this contract must be returned to the City of Wichita for disposition.

#### B. Budget

The City shall pay the Agency as hereinafter set out; the maximum of \$25,000.00 for the program described in this contract. Said funding shall be used as follows:

Professional Services; Salaries Support \$25,000.00

TOTAL \$25,000.00

# C. Method of Payment

The Agency agrees that payments under this contract shall be made according to established budgeting, purchasing and accounting procedures of the City of Wichita and HOME. The Agency agrees that all payments under this contract will be on a reimbursement basis. The Agency shall submit a request for reimbursement on a

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monthly basis, by no later than the 30<sup>th</sup> of each month. Upon review of the reimbursement request by the Housing Services Department, the City will proceed to make payment directly to the Agency for all eligible and adequately documented expenses.

- 1. The City and Agency also agree that the categories of expenditures and amounts are estimates and may vary during the course of the contract. Adjustments between existing budget categories can be made administratively. However, changes greater than \$10,000 must be approved by the City Council.
- 2. The Agency will ensure all costs are eligible according to the approved budget. The original documentation supporting any expenditures made under this agreement will be retained in the Agency's files for five (5) years after the final audit of expenditures made under this contract and throughout the applicable period of affordability. Documentation of eligible costs will include, but is not limited to: vendor invoices, purchase orders, receipts and payroll records. The City shall retain all such documentation for audit purposes.
- 3. A maximum of 1/12 of the budgeted amount for salaries will be paid out per month for salaries expenses.
- 4. The Agency may request a cash advance in the amount of no more than 1/12 of the total amount of the contract. Cash advances will be deducted from the total amount of funding provided under this contract.

# D. Records and Reports

- 1. Records shall be maintained documenting performance to be indicated in an annual report. Records are subject to review by the City.
- 2. The Agency will provide, for the year ending June 30 of each year, beginning June 30, 2012, and for each year this contract is in effect, an annual report of the HOME funded portion of the program. The report will consist of a narrative or other description of activities undertaken during the year. Said report shall be due on July 10 of each year during the contract term.
- 3. The **Agency** will maintain records documenting receipts of program income and expenditures of the same. Records shall be maintained valuing in-kind services, and donated goods and services, to be reported in the same manner as other annual reports, for a period of 5 years, following the expiration of this contract.

### II. Other Program Requirements

A. The Agency shall comply with the applicable provisions of OMB Circular A-110, Attachment F, Standards for Financial Management Systems, requiring independent

financial and programmatic audits not less frequently than every two years. In addition to the financial and programmatic audit, the audit shall indicate whether the organization has complied with laws and regulations that may have a material effect on its financial statements and on each Federal assistance program reviewed. Other federal requirements may apply, as outlined in Section 18 of this contract.

# III. Program Evaluation

The City shall evaluate this project based on the objectives stated in Section I.B. of this Exhibit. Failure by the Agency to provide the level of service stated herein may result in a determination by the City to modify the level of payment to the Agency on a pro rata basis with level of service. The Agency records are subject to review by the City to ensure the accuracy and validity of information reported in monthly progress reports.

# **Exhibit C**

# BUDGET

Professional Services; Salaries Suppo	rt	<u>\$25,000.00</u>
	TOTAL	\$25,000.00

# City of Wichita City Council Meeting July 24, 2012

**TO:** Mayor and City Council

**SUBJECT:** Notice of Intent to Use Debt Financing Amendment

Airfield Electrical System Replacement

Mid-Continent Airport

**INITIATED BY:** Department of Airports

**AGENDA:** Consent

**Recommendation:** Adopt the amended resolution.

Background: Capital projects undertaken by the Wichita Airport Authority are funded by Federal Aviation Administration grants, Passenger Facility Charge (PFC) collections, grants from other sources such as the Transportation Security Administration, and Airport operating revenues. The timing for the actual receipt of outside funds can vary depending on circumstances, such as congressional action and passenger activity levels. In order to assure that capital project schedules are not interrupted due to these timing fluctuations, City procedure calls for a notice of intent to issue debt financing for the full budget of the project. The Airport Authority relies on the City of Wichita for the issuance of General Obligation bonds and/or notes for capital projects. In order to use debt financing for a project, it is necessary to declare that a public necessity exists for, and that the public safety, service, and welfare will be advanced by, the authorization of certain capital improvements to the Mid-Continent Airport facility. Additionally, the nature of said improvements, the estimated costs thereof, and the manner of payment needs to be disclosed. The actual issuance of the bonds/notes will require a separate authorization from the City Council. Debt financing can be in the form of temporary notes for durations as short as six months for timing considerations, or in the form of General Obligation bonds for long term financing.

Resolution No. 11-191 was adopted on August 9, 2011, finding the existence of a public necessity for an Airfield Electrical System Replacement project at an estimated cost of \$2,000,000.

<u>Analysis</u>: In a concurrent agenda item, the City Council, sitting as the Wichita Airport Authority, will take action on a request to increase the capital budget for additional design and construction work related to the Airfield Electrical System Replacement project. It is appropriate to make notice of the intent to use debt financing for this project with the specific financing amount and length being identified when the actual issuance of the bonds/notes are authorized in the future by the City Council.

<u>Financial Considerations</u>: The budget requested is \$2,800,000, which represents the maximum cost that will be financed with General Obligation bonds/notes. If debt is issued, the source of repayment for the bonds/notes will be a combination of grants and Airport revenues.

<u>Goal Impact</u>: The Airport's contribution to the Economic Vitality and Quality of Life of Wichita is leveraged through the use of financing for capital projects.

**Legal Considerations:** The Law Department has approved the amended resolution as to form.

**Recommendation/Action:** It is recommended that the City Council adopt the amended resolution and authorize the necessary signatures.

**Attachments:** Amended Resolution.

(Published in the Wichita Eagle on July 29, 2012.)

#### **RESOLUTION NO. 12-186**

A RESOLUTION AMENDING RESOLUTION NO. 11-191, DECLARING THAT A PUBLIC NECESSITY EXISTS FOR, AND THAT THE PUBLIC SAFETY, SERVICE AND WELFARE WILL BE ADVANCED BY, THE AUTHORIZATION OF LAND ACQUISITION AND/OR CERTAIN CAPITAL IMPROVEMENTS TO THE WICHITA MID-CONTINENT AIRPORT FACILITY; AND SETTING FORTH THE NATURE OF SAID IMPROVEMENTS; THE ESTIMATED COSTS THEREOF; AND THE MANNER OF PAYMENT OF SAME.

WHEREAS, K.S.A. 3-114 provides that an airport authority established pursuant to K.S.A. 3-162 shall have the power to equip, improve and maintain an airport; and,

WHEREAS, K.S.A. 13-1348a provides that a city having an airport authority established pursuant to K.S.A. 3-162 is authorized to issue general obligation bonds for the purpose of purchasing land for airport purchases or for the construction, enlargement, reconstruction, repair or addition to or of any improvements to any such lands; and,

WHEREAS, Resolution No. 11-191 of the City of Wichita, Kansas, adopted on August 9, 2011 and published on August 12 and August 19, 2011, found the existence of public necessity for an Airfield Electrical System Replacement, and approved the payment and financing of the same, at an estimated cost of \$2,000,000, exclusive of the cost in interest on borrowed money; and,

WHEREAS, it has now become necessary to amend Resolution No. 11-191 to expand the Project approved therein to add certain related improvements to the project.

THEREFORE, BE IT RESOLVED BY THE GOVERNING BODY OF THE CITY OF WICHITA, KANSAS:

SECTION 1. That Section 2 of Resolution No. 11-191 is hereby amended to read as follows:

"SECTION 2. That the cost of the above described Project is estimated to be Two Million Eight Hundred Thousand Dollars (\$2,800,000), exclusive of the cost of interest on borrowed money, and is to be paid by the Wichita Airport Authority of the City of Wichita. Said Wichita Airport Authority cost shall be financed through the issuance of general obligation bonds under the authority of K.S.A. 13-1348a, as amended by Charter Ordinance No. 78 of the City of Wichita, Kansas. The maximum principal amount of bonds issued for this Project shall not exceed \$2,800,000."

SECTION 2. That the original version of Section 2 of Resolution No. 11-191, as the same previously existed, is hereby repealed and replaced with the amended version set forth herein.

OCA = 501856; UC1 = 1001

SECTION 3. That the City Clerk shall ma which shall be published once in the official City papafter said publication.	ke proper publication of this resolution, per and which shall be effective from and
ADOPTED at Wichita, Kansas,	
	CARL BREWER, MAYOR
ATTEST:	
KAREN SUBLETT, CITY CLERK	
(SEAL)	
APPROVED AS TO FORM:	
GARY REBENSTORF, DIRECTOR OF LAW	
OTAL REDENSIONE, DIRECTOR OF LAW	



#### DEPARTMENT OF LAW INTEROFFICE MEMORANDUM

**TO:** Karen Sublett, City Clerk

**FROM:** Gary E. Rebenstorf, Director of Law

**SUBJECT:** Report on Claims for June, 2012

**DATE:** July 10, 2012

The following claims were approved by the Law Department during the month of July, 2012.

Anderson, Charles \$3,000.00 Luong, Nghia \$250.00\*\* Perez-Zapote, Pedro \$163.10 Seventh Direction, Inc. \$293.47 Slater, Caryn \$500.00

cc: Robert Layton, City Manager

Kelly Carpenter, Director of Finance

<sup>\*</sup>City Manager Approval

<sup>\*\*</sup> Settled for lesser amount than claimed

<sup>\*\*\*</sup>Settled for more than amount claimed

# City of Wichita City Council Meeting July 24, 2012

**TO:** Mayor and City Council

**SUBJECT:** Century II Capital Improvement Projects-Budget/Bonding Authority

**INITIATED BY:** Department of Public Works

**AGENDA:** Consent

**Recommendation:** Approve the amendments to the bonding resolutions to lower bonding amount.

**<u>Background:</u>** Century II is a multipurpose convention and performing arts venue which was completed in 1969. Funds are needed to extend the life of the facility and to remain competitive in the convention and performing arts market.

- On March 2, 2010, bonding resolution 10-050 was approved for \$2,310,000 for Century II improvements; \$1,200,782 has been spent thus far; \$1,109,218 is remaining.
- On April 24, 2012, bonding resolution 12-086 was approved for \$3,000,000 for Century II improvements; \$1,867,650 has been spent thus far; \$1,132,350 is remaining.
- The request for bonding authority combines the remaining balances from the two resolutions into one project account.

#### **Analysis:**

City staff is requesting combibing the budget from two bonding resolutions to simplify the management of the CII rennovation project.

Century II rennovations include; Aesthetic improvements such as paint and carpeting event equipment, security enhancements, equiment repair/replace, security enhancements, kitchen design and upgrades, window replacement, caulking and waterproofining of exterior walls and technology improvements. A detailed list of improvements are listed in the bonding resolution.

Utilizing one bonding resolution for the multiplicity of projects will allow staff to more easily track the general expenditures inccured with the rennovations. In the past, project expenditures were coded to the wrong project account.

<u>Financial Considerations:</u> Total CIP budget is \$5,310,000 is authorized in the adopted 2009 Capital Improvement Program/

<u>Goal Impact:</u> This project addresses the Internal Perspective Goal. It will provide timely bonding of eligible expenses and simplify capital asset capture and tracking. It will reduce number of budgets to track from two to one.

**<u>Legal Considerations:</u>** The bonding resolution amendment has been approved as to form by the Law Department.

**Recommendation/Action:** It is recommended that the City Council approve the amendments to the resolutions and authorize the necessary signatures.

**Attachments:** Resolution

#### Published in the Wichita Eagle on July 27, 2012

#### **RESOLUTION NO. 12-185**

A RESOLUTION AUTHORIZING THE ISSUANCE OF BONDS BY THE CITY OF WICHITA, KANSAS FOR THE IMPROVEMENT AND RENOVATION OF CENTURY II, AND TECHNOLOGY IMPROVEMENTS INCLUDING PHONE AND TICKETING SYSTEMS AT MULTIPLE SITES INCLUDING CENTURY II, CITY ARTS, OLD COWTOWN MUSEUM AND MID AMERICA ALLINDIAN CENTER.

#### BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF WICHITA, KANSAS:

<u>SECTION 1</u>: That the City of Wichita finds it necessary to make certain related improvements as follows:

Improvements: Labor, material, and equipment for improvements at the Century II Convention Center, including, security enhancements, carpet, kitchen design and upgrades, meeting room upgrades, audio/visual and stage sound improvements, lighting equipment, event equipment, stage equipment, new doors, terrazzo repairs, event equipment repair and replacement, paint, asbestos remediation, repair glazing system, and replace windows on north and east side of Expo hall; caulk and waterproof exterior walls of Century II, roof-top unit and air handlers repair/replacement, rooftop HVAC and energy plant, design/development and renovation of Kennedy Plaza; office space and restrooms in 2nd floor Concert Hall and first floor men restrooms renovation; and replacement of Blue Roof over main building core. Technology improvements including labor, material and equipment for improvements to the phone system and ticketing at multiple locations, including but not limited to Century II Convention Center, City Arts, Old Cowtown Museum and Mid America All-Indian Center.

SECTION 2: That the cost of said public improvements shall be paid by the issuance and sale of general obligation bonds by the City of Wichita at large, in the manner provided by law and under the authority of K.S.A. 12-1736 and City of Wichita Charter Ordinance No. 156. The total cost is estimated at \$2,241,568; exclusive of the costs of interest on borrowed money.

<u>SECTION 3:</u> That the advisability of said improvements is established as authorized by K.S.A. 12-1736 and City of Wichita Charter Ordinance No. 156.

<u>SECTION 4:</u> Section 2 of Resolution No. 10-050, adopted on March 2, 2010 and published on March 5, 2010, is hereby amended to reduce the estimated cost of the improvements financed under that Resolution, as follows:

SECTION 2: The cost of said public improvements shall be paid by the issuance and sale of general obligation bonds by the City of Wichita at large, in the manner provided by law and under the authority of City of Wichita Charter Ordinance No. 156. The total cost is estimated at \$1,200,782; exclusive of the costs of interest on borrowed money.

SECTION 5: Section 2 of Resolution No. 12-086, adopted on April 24, 2012 and published on April 27, 2012, is hereby amended to reduce the estimated cost of the improvements financed under that Resolution, as follows:

SECTION 2: The cost of said public improvements shall be paid by the issuance and sale of general obligation bonds by the City of Wichita at large, in the manner

provided by law and under the authority of K.S.A. 12-1736 and City of Wichita Charter Ordinance No. 156. The total cost is estimated at \$1,867,650; exclusive of the costs of interest on borrowed money.

<u>SECTION 6:</u> That the prior versions of Section 2 of Resolution No. 10-050 and Section 2 of Resolution No. 12-086, as they heretofore existed, are hereby rescinded.

<u>SECTION 7</u>: That this Resolution shall take effect and be in force from and after its passage and publication once in the official city paper.

ADOPTED at Wichita, Kansas, this 24th day of July, 2012.

ATTEST:	CARL BREWER, MAYOR	
KAREN SUBLETT, CITY CLERK		
APPROVED AS TO FORM:		
GARY REBENSTORF, DIRECTOR OF LAW		

# Second Reading Ordinances for July 24, 2012 (first read on July 17, 2012)

# A. Amendments to Chapter 3.91 of the City Code relating to Cultural Markets Approval of Changes to Nomar Public Market Lease Agreement.

ORDINANCE NO. 49-312

An ordinance amending sections 3.91.020, 3.91.050, 3.91.070, 3.91.100, 3.91.110 and 3.91.120, of the code of the city of Wichita, Kansas, pertaining to Cultural Markets and the Licensing and Regulation thereof.

# City of Wichita City Council Meeting

July 24, 2012

**TO:** Mayor and City Council

**SUBJECT**: DED2012-00008 Dedication of Street Right-of-Way and DED2012-00010

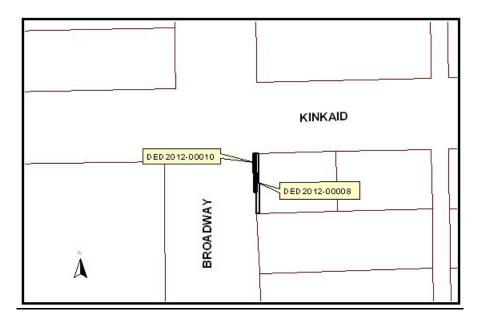
Dedication of Access Control located on the East side of Broadway, 1/4 mile north of

Pawnee. (District III)

**INITIATED BY:** Metropolitan Area Planning Department

**AGENDA ACTION:** Planning (Consent)

**Staff Recommendation:** Accept the Dedications.



**<u>Background:</u>** The Dedications are associated with Lot Split Case No. LSP2012-00011 (Eldridge Addition) and were requested by the City of Wichita Traffic Engineer.

<u>Analysis:</u> The Dedication DED2012-00008 is for street right-of-way purposes. The Dedication DED2012-00010 is for access control except for one opening along Broadway.

**Financial Considerations:** There are no financial considerations associated with the Dedications.

<u>Goal Impact</u>: Approval of the Dedications will ensure Efficient Infrastructure through the integration of streets, utilities and other public facilities.

<u>Legal Considerations:</u> The Dedications have been approved as to form by the Law Department and will be recorded with the Register of Deeds.

**Recommendations/Actions:** It is recommended that the City Council accept the Dedications.

**Attachment:** Dedication of Street Right-of-Way

**Dedication of Access Control** 

**Notary Public** 

AMBER L. LARSEN

Notary Public - State of Kansas

My Appt. Expires 5-4-15

LSP 2012 - 00011

DED 2012-00008

#### **DEDICATION OF STREET RIGHT OF WAY**

#### KNOW ALL MEN BY THESE PRESENTS:

above written.

(My Commission Expires: May 5, 201≰

That for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable considerations, the receipt of which is hereby acknowledged, the undersigned, <u>Mong Lan Thi Nguyen</u>, being the owner of the following described real estate in Sedgwick County, Kansas, to wit:

That part of Lots 2 and 4, Block 4, Eldridge Addition to Wichita, Sedgwick County, Kansas, described as BEGINNING at the Northwest corner of said Lot 2; THENCE South along the West line of said Lots, 50.00 feet to the Southwest corner of said Lot 4; THENCE East along the South line of said Lot 4, 67.64 feet; THENCE North to a point on the North line of said Lot 2, 67.55 feet East of the Northwest corner of said Lot 2; THENCE West along the North line of said Lot 2, 67.55 feet to the point of BEGINNING.

do hereby dedicate, transfer and convey to the CITY of Wichita, THE WEST TWO AHD ONE HALF (2.5) FEET along Broadway Avenue, of the above-described property; to have and to hold the same forever.

Executed this 14th day of whe, 2012.	
Mong Lan Thi Nguyen	Approved as to form:
STATE OF KANSAS ) ) SS COUNTY OF SEDGWICK )	Gary E. Rebenstorf, Director of Law
BE IT REMEMBERED, that on this day Notary Public, in and for the County and State aforesaid, camme to be the same person who executed the within instrumer execution of the same.	ne, Mong Lan Thi Nguyen, who are personally known to

63

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my official seal the day and year

# **DEDICATION OF ACCESS CONTROL**

#### KNOW ALL MEN BY THESE PRESENTS:

That for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable considerations, the receipt of which is hereby acknowledged, the undersigned, <u>Mong Lan Thi Nguyen</u>, being the owner of the following described real estate in Sedgwick County, Kansas, to wit:

That part of Lots 2 and 4, Block 4, Eldridge Addition to Wichita, Sedgwick County, Kansas, described as BEGINNING at the Northwest corner of said Lot 2; THENCE South along the West line of said Lots, 50.00 feet to the Southwest corner of said Lot 4; THENCE East along the South line of said Lot 4, 67.64 feet; THENCE North to a point on the North line of said Lot 2, 67.55 feet East of the Northwest corner of said Lot 2; THENCE West along the North line of said Lot 2, 67.55 feet to the point of BEGINNING.

do to transfer and covey the CITY of Wichita, all abutter's rights of access, ingress, and egress to said property from Broadway Avenue, over and across the west line of the above-described property; to have and to hold the same forever. It is being understood that this conveyance is a covenant running with the land and prohibits all subsequent owners thereof and all members of the public from entering upon said property from Broadway Avenue, except for **ONE** opening along Broadway Avenue.

Executed this	
Mong Lan Thi Nguyen	Approved as to form:
STATE OF KANSAS ) ) SS	Gary E Rebenstorf, Director of Law
COUNTY OF SEDGWICK )	The state of the s
BE IT REMEMBERED, that on this day of day of day of and State aforesaid, came, Mong Lan T me to be the same person who executed the within instrument of writing and execution of the same.	<sup>-</sup> hi <b>N</b> guyen, who are personally known to

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my official seal the day and year above written.

Amber L Larsen

(My Commission Expires: May 5, 2015

LSP2012-00011 DEDZ012-00010

# City of Wichita City Council Meeting July 24, 2012

**TO:** Mayor and City Council

**SUBJECT:** VAC2011-00029 – Request to vacate portions of multiple platted utility

easements; generally located east of Tyler Road, on the northeast side

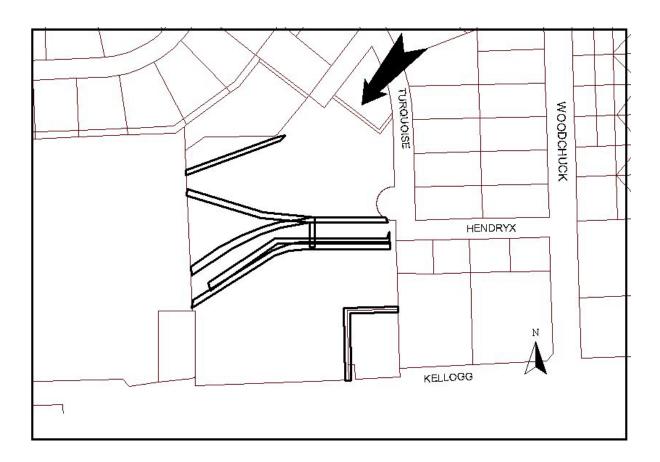
McCormick Avenue – Kellogg Drive intersection (District V)

**INITIATED BY:** Metropolitan Area Planning Department

**AGENDA:** Planning (Consent)

**Staff Recommendation:** Staff recommends approval of the vacation request

<u>MAPC Recommendation:</u> The Metropolitan Area Planning Commission (MAPC) recommends approval of the vacation request



**Background:** The applicant is requesting the vacation of portions of two (2) platted 15-foot wide storm water easements, two (2) platted 20-foot wide sanitary sewer easements and a platted 20-foot wide water line easement, all in Lot 2, Block 1, Carriage House Plaza Fourth Addition.

The Carriage House Plaza Fourth Addition was recorded with the Register of Deeds on August 27, 1998. These easements were to be vacated via V-2204, which was never finished after approval by the MAPC on October 28, 1999. The applicant has re-applied for the vacation of the easements, because of a 12-year lack of activity in resolving the considerations associated with V-2204. Since V-2204 was considered, a building (Davis-Moore car dealership) has been built over four of the five easements.

<u>Analysis:</u> The MAPC voted (10-0) to approve the vacation request. No one spoke in opposition to this request at the MAPC's advertised public hearing or its Subdivision Committee meeting. No written protests have been filed.

<u>Financial Considerations:</u> All recommended improvements shall be to City standards and at the applicant's expense.

**Goal Impact:** The MAPC's recommendation supports the City's goal to ensure Efficient Infrastructure.

<u>Legal Considerations:</u> The Law Department has reviewed and approved, as to form, the Vacation Order and a dedication by separate instrument of a drainage easement. A certified copy of the Vacation Order and the dedication by separate instrument of a drainage easement will be recorded with the Register of Deeds.

**Recommendation/Actions:** Follow the recommendation of the Metropolitan Area Planning Commission to approve the Vacation Order and authorize the necessary signatures.

**Attachments:** A dedication by separate instrument of a drainage easement.

# City of Wichita City Council Meeting July 24, 2012

**To:** Mayor and City Council

**Subject:** VAC2012-00007- Request to vacate portions of platted setbacks; generally located south

of 21st Street North, on the northeast corner of Rockhill Lane and Woodlawn Boulevard.

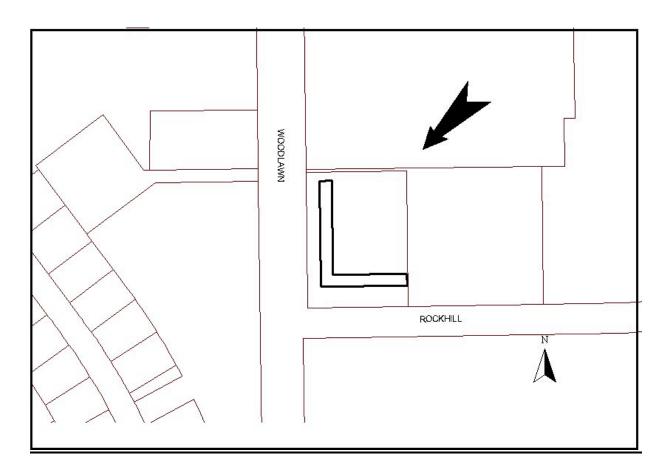
(District II)

Initiated By: Metropolitan Area Planning Department

**Agenda:** Planning (Consent)

**Staff Recommendation:** Staff recommends approval of the vacation request.

<u>MAPC Recommendation:</u> The Metropolitan Area Planning Commission (MAPC) recommended approval of the vacation request.



Background: The applicant proposes to vacate the east 25 feet of the platted 90-foot street side yard setback that runs parallel to Woodlawn Boulevard and the north 15 feet of the platted 80-foot front yard setback that runs parallel to Rockhill Lane, all in the LC Limited Commercial zoned ("LC") Lot 1, Block 1, Northborough 4th Addition. The site is part of the Northborough Community Unit Plan ("CUP") DP-67. The LC zoning district has a minimum 10-foot street side yard setback and a minimum 20-foot front setback. A CUP requires a minimum 35-foot setback from all street or alley right-of-ways. However, the request will establish 65-foot setbacks along the site's Woodlawn Boulevard and Rockhill Lane frontages. The proposed setbacks will run parallel to the 65-foot Continental Pipe Line Company setback that runs parallel to the site's west lot line and Woodlawn Boulevard and the site's south lot line and Rockhill Lane. Inside the Continental setback are multiple Continental easements. The Continental setback and easements were dedicated by separate instrument; Film #235, Pages 1398-1404. The Continental setback and easements are private and cannot be altered/vacated by the public vacation process. There are no public utilities located within the setbacks. The Northborough 4th Addition was recorded with the Register of Deeds March 27, 1987.

<u>Analysis:</u> The MAPC voted (12-0-1) to approve the vacation request. No one spoke in opposition to this request at the MAPC's advertised public hearing or its Subdivision Committee meeting. No written protests have been filed.

**Financial Considerations:** All improvements are to City standards and at the applicant's expense.

**Goal Impact:** The application supports the City's goal to ensure an Efficient Infrastructure.

<u>Legal Considerations:</u> The Law Department has reviewed and approved, as to form, the Vacation Order. A certified copy of the Vacation Order will be recorded with the Register of Deeds.

**Recommendation/Actions:** It is recommended that the City Council follow the recommendation of the Metropolitan Area Planning Commission and approve the Vacation Order, and authorize the necessary signatures.

# City of Wichita City Council Meeting July 24, 2012

**TO:** Mayor and City Council

**SUBJECT:** VAC2012-00017 - Request to vacate a restrictive covenant for a private drainage

system; generally located north of 37th Street North, east of Rock Road, at

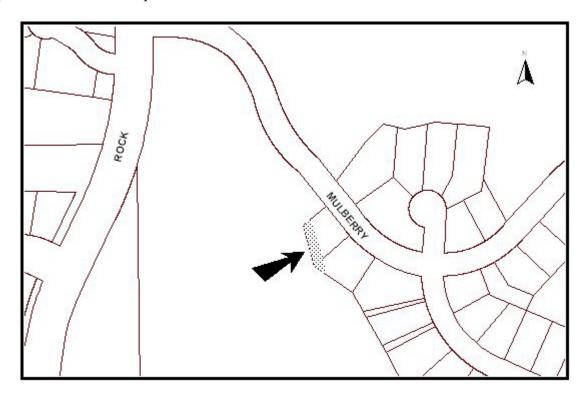
8131/8135 E. Mulberry. (District II)

**INITIATED BY:** Metropolitan Area Planning Department

**AGENDA:** Planning (Consent)

**<u>Staff Recommendation:</u>** Staff recommends approval of the vacation request.

<u>MAPC Recommendation:</u> The Metropolitan Area Planning Commission (MAPC) recommends approval of the vacation request.



**Background:** The Willowbend 9<sup>th</sup> Addition was recorded March 15, 2006. A restrictive covenant was recorded with the plat to provide for the ownership and maintenance responsibilities of a private drainage system in Lots 1 and 2 and addressed the obstruction of surface drainage. The covenant includes the standard language that it may not be terminated without the consent of the City of Wichita. The property owners propose to remove the private drainage system and replace it with surface drainage, which necessitates the termination of the restrictive covenant through the vacation process. Lot 1 is undeveloped and Lot 2 contains a single-family dwelling.

The private drain is located in an easement established by separate instrument (Film 847, Pg 503). The portion of the easement located within the subject property contains no water or sewer (per Water Utilities

Department) and no gas (per Black Hills Corporation). Westar Energy has equipment in the area and any relocation or removal of that equipment would be at the applicant's expense.

City Stormwater Management is in agreement with the vacation of the Restrictive Covenant subject to the recording of a new restrictive covenant that requires the landowner to acknowledge the runoff from the abutting golf course and relocate the drainage as necessary. Future improvements would be constructed that do not adversely impact the surrounding improvements. The applicant has provided the requested restrictive covenant which states that removal of the private drainage system would occur at the time of construction on said Lot 1, Block 1.

<u>Analysis:</u> The MAPC voted (11-0) to approve the vacation request. No one spoke in opposition to this request at the MAPC's advertised public hearing or its Subdivision Committee meeting. No written protests have been filed.

**Financial Considerations:** All improvements are to City standards and at the applicant's expense.

**Goal Impact:** The application supports the City's goal to ensure an Efficient Infrastructure.

<u>Legal Considerations:</u> The Law Department has reviewed and approved, as to form, the Restrictive Covenant and Vacation Order. A certified copy of the Vacation Order and Restrictive Covenant will be recorded with the Register of Deeds.

**Recommendation/Actions:** It is recommended that the City Council follow the recommendation of the Metropolitan Area Planning Commission and approve the Vacation Order, and authorize the necessary signatures.

**Attachment:** Restrictive Covenant.

# City of Wichita City Council Meeting July 24, 2012

**TO:** Wichita Airport Authority

**SUBJECT:** Federal Aviation Administration Grant Application

Air Capital Terminal 3 Program

**INITIATED BY:** Department of Airports

**AGENDA:** Wichita Airport Authority (Consent)

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**Recommendation**: Approve application and acceptance of Federal Aviation Administration (FAA) grant funds.

**Background**: On June 21, 2011, the Wichita Airport Authority (WAA) approved moving forward with the Air Capital Terminal 3 (ACT 3) program to include construction of a new terminal building at Mid-Continent Airport. The funding sources were as identified below with no planned use of local tax dollars or City General Fund monies.

<u>Analysis</u>: FAA Airport Improvement Plan (AIP) grant funds are currently available and are an essential funding source for the construction of the new terminal building program. The delays caused by the dispute in awarding a construction contract have pushed this grant application to the last WAA meeting available before expiration of a portion of the grant funds available for this program. Pursuant to FAA's direction, the grant application must be accompanied by a letter commitment from the Director of Airports that the grant funds awarded will be used to build the new terminal in an expeditious manner once the construction contract dispute is resolved.

**<u>Financial Considerations</u>**: The Capital Improvement Program adopted on March 6, 2012 reflected the following funding of the ACT 3 program:

AIP grants	\$62.8 million
Passenger Facility Charge (PFC) Pay-As-You-Go	13.7 million
Transportation Security Administration grants	6.9 million
Airport Fund cash	3.5 million
Total Pay-As-You-Go Funds	\$86.9 million

Bond Proceeds (partially reimbursed by PFC collections) 73.1 million

Program Cost \$160.0 million

Airport staff has prepared a \$32.2 million grant application that represents the maximum amount of AIP entitlement funds that the FAA can commit to the terminal project over a multi-year time frame. The FAA will issue periodic grant awards against this multi-year application, typically at a rate of approximately \$3.5 million annually until the funding is exhausted. The application includes the allocation of \$3.5 million in AIP entitlement funds that will expire at the end of the current Federal fiscal year if they are not obligated to a grant during August 2012. To complete the AIP grant funding for the ACT 3 program, future grant applications for air carrier apron construction will be prepared to coincide with those construction events expected in 2013 and 2015.

**Goal Impact:** The construction of the new airline passenger terminal and related projects contributes to

the Economic Vitality and Quality of Life of Wichita.

<u>Legal Considerations</u>: Application and utilization of this grant is within the Wichita Airport Authority's statutory powers. The application complies with Federal Aviation Administration procedural requirements. The current bid protest should be concluded within the time necessary to satisfy the required representation to the FAA.

**Recommendations/Actions**: It is recommended that the Wichita Airport Authority approve the application and acceptance of grant funds and authorize the Director of Airports to sign all necessary documents related to the grant.

**Attachments:** AIP Grant Application.

OMB Number: 4040-0004 Expiration Date: 03/31/2012

Application for Federal Assistance SF-424						
*1. Type of Submission:			e of Application	on * If Revision, select appropriate letter(s):		
☐ Preapplication		⊠ Nev	⊠ New			
		☐ Cor	ntinuation	*Other (Specify)		
☐ Changed/Corrected App	plication	☐ Rev	ision			
*3. Date Received:	4.	Applicar	nt Identifier:			
5a. Federal Entity Identifier	r:			*5b. Federal Award Identifier:		
State Use Only:						
6. Date Received by State:			7. State App	plication Identifier:		
8. APPLICANT INFORMA	TION:					
*a. Legal Name: Wichita A	Airport Autho	ority				
*b. Employer/Taxpayer Ide 48-6000653	entification N	Number (	EIN/TIN):	*c. Organizational DUNS: 124970641`		
d. Address:						
*Street 1:	2173 Air Ca	argo Roa	d			
Street 2:						
*City:	Wichita					
County:	Sedgwick			<u> </u>		
*State:	KS					
Province:						
•	USA					
*Zip / Postal Code	67209					
e. Organizational Unit:						
Department Name:			Division Name:			
Department of Airports				Airport Engineering		
	ormation of	•		ted on matters involving this application:		
Prefix: Mr.		*F	irst Name: \	<u>Victor</u>		
Middle Name:						
*Last Name: White						
	Suffix:					
Organizational Affiliation:						

*Telephone Number: 316-946-4700	Fax Number: 316-946-1898
*Email: vwhite@wichita.gov	
	OMB Number: 4040-0004
	Expiration Date: 03/31/2012
Application for Federal Assistance SF-424	
*9. Type of Applicant 1: Select Applicant Type:	
C. City or Township Government	
Type of Applicant 2: Select Applicant Type:	
Type of Applicant 3: Select Applicant Type:	
*Other (Specify)	
*10. Name of Federal Agency: Federal Aviation Administration	
11. Catalog of Federal Domestic Assistance Number:	
20.106	
CFDA Title:	
Airport Improvement Program	
12. Funding Opportunity Number:	
3-20-0088-64	
Title:	
ACT 3 Terminal Building Construction	_
13. Competition Identification Number:	
Title:	
14. Areas Affected by Project (Cities, Counties, States, etc	.):
Wichita, Sedgwick County, Kansas	

*15. Descriptive Title of Applicant's Project:
Construct a new terminal building to replace the 50-year-old current structure. Project is at 63% eligibility.
Attach supporting documents as specified in agency instructions.

# **PART II**

# PROJECT APPROVAL INFORMATION SECTION A

Item 1.  Does this assistance request require State, local, regional, or other priority rating?  Yes x No	Name of Governing Body: Priority:
Item 2.  Does this assistance request require State, or local advisory, educational or health clearances?  Yes x No	Name of Agency or Board: (Attach Documentation)
Item 3.  Does this assistance request require clearinghouse review in accordance with OMB Circular A-95?  Yes x No	(Attach Comments)
Item 4.  Does this assistance request require State, local, regional or other planning approval?  Yes x No	Name of Approving Agency:  Date: / /
Item 5. Is the proposal project covered by an approved comprehensive plan?  Yes x No	Check one:  State Local Regional  Location of Plan:
Item 6.  Will the assistance requested serve a Federal installation?  Yes x No	Name of Federal Installation: Federal Population benefiting from Project:
Item 7.  Will the assistance requested be on Federal land or installation?  Yes x No	Name of Federal Installation: Location of Federal Land: Percent of Project:
Item 8.  Will the assistance requested have an impact or effect on the environment?  Yes x No	See instruction for additional information to be provided TSA OTA for Inline Baggage Screening
<u>Item 9.</u> Will the assistance requested cause the displacement of individuals, families, businesses, or farms? Yes x No	Number of: Individuals: Families: Businesses: Farms:
Item 10.  Is there other related Federal assistance on this project previous, pending, or anticipated?   X Yes No	TSA OTA for In-line Baggage Security Room

#### **PART II - SECTION C**

The Sponsor hereby represents and certifies as follows:

1. Compatible Land Use. - The Sponsor has taken the following actions to assure compatible usage of land adjacent to or in the vicinity of the airport:

In as far as this is within our power, the Wichita Airport Authority of Wichita, Kansas, will affirmatively work to obtain appropriate zoning by the Wichita Sedgwick County Metropolitan Area Planning commission which has zoning authority to take action to restrict the use of land in the immediate vicinity of Mid-Continent Airport. The current zoning ordinance has obstruction zoning prohibiting certain manufacturing facilities, which produce smoke, dust, gaseous fumes, and electrical interference or glare impairing the visibility of pilots.

2. Defaults. - The Sponsor is not in default on any obligation to the United States or any agency of the United States Government relative to the development, operation, or maintenance of any airport, except as stated herewith:

NONE

3. Possible Disabilities. - There are no facts or circumstances (including the existence of effective or proposed leases, use agreements or other legal instruments affecting use of the Airport or the existence of pending litigation or other legal proceedings) which in reasonable probability might make it impossible for the Sponsor to carry out and complete the Project or carry out the provisions of Part V of this Application, either by limiting its legal or financial ability or otherwise, except as follows:

NONE

4. Consistency with Local Plans. – The project is reasonably consistent with plans existing at the time of submission of this application) of public agencies that are authorized by the State in which the project is located to plan for the development of the area surrounding the airport.

YES

5. Consideration of Local Interest - It has given fair consideration to the interest of communities in or near where the project may be located.

YES

6. Consultation with Users. In making a decision to undertake any airport development project under Title 49, United States Code, it has undertaken reasonable consultations with affected parties using the airport which project is proposed.

YES

7. Public Hearings. – In projects involving the location of an airport, an airport runway or a major runway extension, it has afforded the opportunity for public hearings for the purpose of considering the economic, social, and environmental effects of the airport or runway location and its consistency with goals and objectives of such planning as has been carried out by the community and it shall, when requested by the Secretary, submit a copy of the transcript of such hearings to the Secretary. Further, for such projects, it has on its management board either voting representation from the communities where the project is located or has advised the communities that they have the right to petition the Secretary concerning a proposed project.

NA

8. Air and Water Quality Standards. – In projects involving airport location, a major runway extension, or runway location it will provide for the Governor of the state in which the project is located to certify in writing to the Secretary that the project will be located, designed, constructed, and operated so as to comply with applicable and air and water quality standards. In any case where such standards have not been approved and where applicable air and water quality standards have been promulgated by the Administrator of the Environmental Protection Agency, certification shall be obtained from such Administrator. Notice of certification or refusal to certify shall be provided within sixty days after the project application has been received by the Secretary.

NA

# PART II - SECTION C (Continued)

9. Exclusive Rights – There is no grant of an exclusive right for the conduct of any aeronautical activity at any airport owned or controlled by the Sponsor except as follows:
NA
10. Land. – (a) The sponsor holds the following property interest in the following areas of land* which are to be developed or used as part of or in connection with the Airport subject to the following exceptions, encumbrances, and adverse interests, all of which areas are identified on the aforementioned property map designated as Exhibit "A":
The Wichita Airport Authority of Wichita, Kansas, has the Fee Simple Title to all land comprising Mid-Continent Airport, free and clear of all encumbrances and/or restrictions, subject however, to the leases and/or use agreements.
The Sponsor further certifies that the above is based on a title examination by a qualified attorney or title company and that such attorney or title company has determined that the Sponsor holds the above property interests.
(b) The Sponsor will acquire within a reasonable time, but in any event prior to the start of any construction work under the Project, the following property interest in the following areas of land* on which such construction work is to be performed, all of which areas are identified on the aforementioned property map designated as Exhibit "A":
NA
(c) The Sponsor will acquire within a reasonable time, and if feasible prior to the completion of all construction work under the Project, the following property interest in the following areas of land* which are to be developed or used as part of or in connection with the Airport as it will be upon completion of the Project, all of which areas are identified on the aforementioned property map designated as Exhibit "A"
NA
*State character of property interest in each area and list and identify for each all exceptions, encumbrances, and adverse interests of every kind and nature, including liens, easements, leases, etc. The separate areas of land need only be identified here by the area numbers shown on the property map.

#### PART III - BUDGET INFORMATION - CONSTRUCTION **SECTION A - GENERAL** 2. Functional or Other Breakout.....\_\_\_\_\_\_\_ **SECTION B -CALCULATION OF FEDERAL GRANT** Use only for revisions Total Cost Classification Latest Approved Adjustment Amount Amount + or (-) 63% Eligibility Required 1. Administration expense \$ 299,458.00 \$(110,799.00) 188,659.00 2. Preliminary expense 3. Land, structures, right-of-way 4. Architectural engineering basic fees Other Architectural engineering fees 6. Project inspection fees 7. Land development Relocation Expenses 9. Relocation payments to Individuals and Businesses 10. Demolition and removal 11. Construction and project improvement 101,500,542.00 (37,555,201.00)63,945,341.00 12. Equipment 13. Miscellaneous 14. Total (Lines 1 through 13) 101,800,000.00 (37,666,000.00)64,134,000.00 15. Estimated Income (if applicable) 16. Net Project Amount (Line 14 minus 15) 101,800,000.00 (37,666,000.00)64,134,000.00 17. Less: Ineligible Exclusions (6,885,125.00)18. Add: Contingencies 19. Total Project Amt. (Excluding Rehabilitation Grants) 101,800,000.00 (44,551,125.00)57,248,875.00 20. Federal Share requested of Line 19 (90%) 51,523,988.00 21. Add Rehabilitation Grants Requested (100 Percent) 22. Total Federal grant requested (lines 20 & 21) 32,200,000.00 23. Grantee share 62,714,875.00 24. Other shares 6,885,125.00 25. Total Project (Lines 22, 23 & 24) \$101,800,000.00 \$101,800,000.00

FAA Form 5100-100 (9-03) SUPERSEDES FAA FORM 5100-100 (6-73)

SECTION C - EXCLUSIONS					
Classification	Ineligible for Participation (1)	Excluded From Contingency Provision (2)			
a. Eligibility at 63%	\$ 37,600,000.00	\$			
b. TSA OTA for In-Line Baggage Security Screening Room	6,885,125.00				
C.					
d.					
e.					
f.					
g. Totals	\$	\$			
SECTION D - PROPOSED METHOD OF FINAN	ICING NON-FEDERAL S	HARE			
27. Grantee Share		\$ 62,714,875.00			
a. Securities					
b. Mortgages					
c. Appropriations (By Applicant)					
d. Bonds	62,714,875.00				
e. Tax Levies					
f. Non Cash					
g. Other (Explain)					
h. TOTAL - Grantee share					
28. Other Shares					
a. State					
b. Other TSA In-Line Baggage Screening Room OTA		6,885,125.00			
c. Total Other Shares					
29. TOTAL		\$ 69,600,000.00			
SECTION E - REMA	RKS				
PART IV PROGRAM NARRATIVE (Atta	ach - See Instructions)				

# PART IV PROGRAM NARRATIVE

(Suggested Format)

DEPARTMENT OF TRANSPORTATION - FEDERAL AVIATION ADMINISTRATION	омв но. 2120-0569
PROJECT: ACT 3 Terminal Building Construction	
AIRPORT : Mid-Continent Airport	
1. Objective:	
Construct a new terminal building to replace the 60 year old facility currently in use. The new factor as the region's air carrier airport with a modern, efficient design. The existing facility is functional with some building systems as old as the building itself. The existing facility layout is inefficient needs regarding security, safety, concessions, airline operations and maintenance operations.	Illy obselete
2. Benefits Anticipated:	
More efficiency in :	
passenger movement, screening and security	
baggage inspections	
airline and building maintenance operations	
tenant space layouts	
the consumption of utilities	
3. Approach:	
The project has bid and award is pending award of contract. Anticipated start of construction is 2012 with the contract time of 920 calendar days.	September
4. Geographic Location:	
Wichita, Sedgwick County, Kansas	
5. If Applicable, Provide Additional Information:	
6. Sponsor's Representative: (include address & telephone number)	
Victor White, Director of Airports	
Administration Building	
2173 Air Cargo Road	
Wichita, KS 67209	
316-946-4700	

#### **U.S. DEPARTMENT OF TRANSPORTATION** FEDERAL AVIATION ADMINISTRATION

#### AIRPORT IMPROVEMENT PROGRAM SPONSOR CERTIFICATION

# **DRUG-FREE WORKPLACE**

Wi	ichita	Airport Authority	Mid-Continent	3-20	-0088-006	4-2012
		(Sponsor)	(Airport)		(Project	Number)
		on of Work: uction of the ACT 3 Termi	nal Building			
spe the gra ce All	onso Airp ant p rtify t P pro	or that it will comply with the cort Improvement Program rograms are described in they will be, or will continupled grant agreement continuation.	tion 47105(d), authorizes the Secret e statutory and administrative require (AIP). General requirements on the Title 49, Code of Federal Regulation e to provide, a drug-free workplace it ains specific assurances on the Drugow marked not applicable (N/A), the	ements in ca e drug-free v s, Part 29. S n accordanc g-Free Work	arrying out vorkplace Sponsors a e with the place Act	a project under within Federal are required to regulation. The of 1988.
as	pect	of project implementation	although it is not comprehensive, nutrory and administrative standards.			
				Yes	No	N/A
1.	the use wor	unlawful manufacture, disti		$\boxtimes$		
2.		ablished to inform employed The dangers of drug abus		$\nabla$		
	c.	• • • •	eling, rehabilitation, and employee			
	d.	. •	imposed upon employees for drug			
3.		en or will be given a copy of	in the performance of the work has the statement required within item 1	$\boxtimes$		
4.	by i		e notified in the statement required dition employment under the grant,			
	a. b.	Abide by the terms of the Notify the employer in wri violation of a criminal drug	statement; and ting of his or her conviction for a statute occurring in the workplace days after such conviction.			

			_	Yes	No	N/A	
5. The FAA will be notified in writing within ten calendar days after receiving notice under item 4b above from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title of the employee, to the FAA. Notices shall include the project number of each affected grant.				$\boxtimes$			
6.	of receiving employee w <b>a.</b> Take a up to a	ollowing actions will be taken wantice under item 4b above who is so convicted: opropriate personnel action again including termination, consistents of the Rehabilitation Act	with respect to any ainst such an employee, stent with the	$\boxtimes$			
	b. Require abuse a purpose	e such employee to participate assistance or rehabilitation projes by Federal, State, or local har appropriate agency.	gram approved for such				
7.		effort will be made to continue prough implementation of items		$\boxtimes$			
	eto. I certify	nave prepared additional doc that, for the project identified					
	rked and atta	achments are correct and cor			ation		
ma	rked and atta	achments are correct and cor	mplete.			_	
ma		achments are correct and cor	mplete.				
ma	Street Address:	Location  2277 Mid Continent Drive	mplete.				
ma	Street Address: City:	Location  2277 Mid Continent Drive  Wichita	mplete.				
ma	Street Address: City: State:	Location  2277 Mid Continent Drive  Wichita  Kansas	Wichita Airpo Name of Sponso Victor White Type Name of S Director of A	rt Authority onsor's Designationsor's Designations	ation  Inted Official Report of the control of the	epresentative	
ma	Street Address: City: State:	Location  2277 Mid Continent Drive  Wichita  Kansas	Wichita Airpo Name of Sponso Signature of Spo Victor White Type Name of S	rt Authority onsor's Designationsor's Designations	ation  Inted Official Report of the control of the	epresentative	

#### STANDARD DOT TITLE VI ASSURANCES

Wichita Airport Authority (hereinafter referred to as the Sponsor) hereby agrees that as a condition to receiving Federal financial assistance from the Department of Transportation (DOT), it will comply with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d et seq.) and all requirements imposed by 49 CFR Part 21, - Nondiscrimination in Federally Assisted Programs of the Department of Transportation -- Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") to the end that no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the applicant receives Federal financial assistance and will immediately take any measures necessary to effectuate this agreement. Without limiting the above general assurance, the sponsor agrees concerning this grant that:

- 1. Each "program" and "facility" (as defined in Sections 21.23(e) and 21.23 (b)) will be conducted or operated in compliance with all requirements of the Regulations.
- 2. It will insert the clauses of Attachment 1 of this assurance in every contract subject to the Act and the Regulations.
- 3. Where Federal financial assistance is received to construct a facility, or part of a facility, the assurance shall extend to the entire facility and facilities operated in connection therewith.
- 4. Where Federal financial assistance is in the form or for the acquisition of real property or an interest in real property, the assurance shall extend to rights to space on, over, or under such property.
- 5. It will include the appropriate clauses set forth in Attachment 2 of this assurance, as a covenant running with the land, in any future deeds, leases, permits, licenses, and similar agreements entered into by the sponsor with other parties:
- (a) for the subsequent transfer of real property acquired or improved with Federal financial assistance under this Project; and
- (b) for the construction or use of or access to space on, over, or under real property acquired or improved with Federal financial assistance under this Project.
- 6. This assurance obligates the Sponsor for the period during which Federal financial assistance is extended to the program, except where the Federal financial assistance is to provide, or is in the form of personal property or real property or interest therein or structures or improvements thereon, in which case the assurance obligates the sponsor or any transferee for the longer of the following periods.
- (a) the period during which the property is used for a purpose for which Federal financial assistance is extended, or for another purpose involving the provision of similar services or benefits, or
  - (b) the period during which the sponsor retains ownership or possession of the property.

- 7. It will provide for such methods of administration for the program as are found by the Secretary of Transportation or the official to whom he delegates specific authority to give reasonable guarantee that it, other sponsors, subgrantees, contractors, subcontractors, transferees, successors in interest, and other participants or Federal financial assistance under such program will comply with all requirements imposed or pursuant to the Act, the Regulations, and this assurance.
- 8. It agrees that the United States has a right to seek judicial enforcement with regard to any matter arising under the Act, the Regulations, and this assurance.

THIS ASSURANCE is given in consideration of and for the purpose of obtaining Federal financial assistance for this Project and is binding on its contractors, the sponsor, subcontractors, transferees, successors in interest and other participants in the Project. The person or persons whose signatures appear below are authorized to sign this assurance on behalf of the Sponsor.

DATED <u>7-24-2012</u>	
	Wichita Airport Authority
	(Sponsor)
	By
	(Signature of Authorized Official)

#### CONTRACTOR CONTRACTUAL REQUIREMENTS

#### **ATTACHMENT 1**

During the performance of this contract, the contractor, for itself, its assignees and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

- 1. **Compliance with Regulations**. The contractor shall comply with the Regulations relative to nondiscrimination in federally assisted programs of the Department of Transportation (hereinafter, "DOT") Title 49, Code of Federal Regulations, Part 21, as they may be amended from time to time (hereinafter referred to as the Regulations), which are herein incorporated by reference and made a part of this contract.
- 2. **Nondiscrimination**. The contractor, with regard to the work performed by it during the contract, shall not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor shall not participate either directly or indirectly in the discrimination prohibited by section 21.5 of the Regulations, including employment practices when the contract covers a program set forth in Appendix B of the Regulations.
- 3. Solicitations for Subcontracts, Including Procurements of Materials and Equipment. In all solicitations either by competitive bidding or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials or leases of equipment, each potential subcontractor or supplier shall be notified by the contractor of the contractor's obligations under this contract and the Regulations relative to nondiscrimination on the grounds of race, color, or national origin.
- 4. **Information and Reports**. The contractor shall provide all information and reports required by the Regulations or directives issued pursuant thereto and shall permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Sponsor or the Federal Aviation Administration (FAA) to be pertinent to ascertain compliance with such Regulations, orders, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish this information, the contractor shall so certify to the sponsor or the FAA, as appropriate, and shall set forth what efforts it has made to obtain the information.
- 5. **Sanctions for Noncompliance**. In the event of the contractor's noncompliance with the nondiscrimination provisions of this contract, the sponsor shall impose such contract sanctions as it or the FAA may determine to be appropriate, including, but not limited to:
- a. Withholding of payments to the contractor under the contract until the contractor complies, and/or
  - b. Cancellation, termination, or suspension of the contract, in whole or in part.
- 6. **Incorporation of Provisions**. The contractor shall include the provisions of paragraphs 1 through 5 in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Regulations or directives issued Pursuant thereto. The contractor shall take such action with respect to any subcontract or procurement as the sponsor or the FAA may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, however, that in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, the contractor may request the Sponsor to enter into such litigation to protect the interests of the sponsor and, in addition, the contractor may request the United States to enter into such litigation to protect the interests of the United States.

# CLAUSES FOR DEEDS, LICENSES, LEASES, PERMITS OR SIMILAR INSTRUMENTS

#### **ATTACHMENT 2**

The following clauses shall be included in deeds, licenses, leases, permits, or similar instruments entered into by the Sponsor pursuant to the provisions of Assurances 5(a) and 5(b).

- 1. The (grantee, licensee, lessee, permitee, etc., as appropriate) for himself, his heirs, personal representatives, successors in interest, and assigns, as a part of the consideration hereof, does hereby covenant and agree (in the case of deeds and leases add "as a covenant running with the land") that in the event facilities are constructed, maintained, or otherwise operated on the said property described in this (deed, license, lease, permit, etc.) for a purpose for which a DOT program or activity is extended or for another purpose involving the provision of similar services or benefits, the (grantee, licensee, lessee, permitee, etc.) shall maintain and operate such facilities and services in compliance with all other requirements imposed pursuant to 49 CFR Part 21, Nondiscrimination in Federally Assisted Programs of the Department of Transportation, and as said Regulations may be amended.
- 2. The (grantee, licensee, lessee, permitee, etc., as appropriate) for himself, his personal representatives, successors in interest, and assigns, as a part of the consideration hereof, does hereby covenant and agree (in the case of deeds and leases add "as a covenant running with the land") that: (1) no person on the grounds of race, color, or national origin shall be excluded from participation in, denied the benefits of, or be otherwise subjected to discrimination in the use of said facilities, (2) that in the construction of any improvements on, over, or under such land and the furnishing of services thereon, no person on the grounds of race, color, or national origin shall be excluded from participation in, denied the benefits of, or otherwise be subjected to discrimination, (3) that the (grantee, licensee, lessee, permitee, etc.) shall use the premises in compliance with all other requirements imposed by or pursuant to 49 CFR Part 21, Nondiscrimination in Federally Assisted Programs of the Department of Transportation, and as said Regulations may be amended.

# CERTIFICATE OF TITLE FAA Central Region

To: Manager, Safety and Standards Branch

Subject: <u>Mid-Continent Airport ACT 3 Terminal Construction</u>

AIP Project No. 3-20-0088-62

This certification is made to satisfy (check **both** if applicable):

Part II Section C.10 of the Grant Application (FAA Form 5100-100) for existing airport property

Grant conditions relative to satisfactory title evidence for land being acquired under this project

The <u>Wichita Airport Authority</u> (hereinafter referred to as the "Sponsor"), pursuant to Section 47105(d) of the Federal Aviation Administration Authorization Act of 1994 (and amendments), hereby certifies that satisfactory property interest to the land indicated herein is vested in the Sponsor, as required by obligations of the referenced Grant Agreement with the Federal Aviation Administration.

The Sponsor hereby certifies that it holds the quality of title described below, as of the date of the attorney's title opinion on which this certification is based.

Parcel Number (Per Exhibit A)	Quality of Interest (Fee, Easement*, etc.,)
1	Fee Simple
2	Fee Simple
3	Fee Simple
5	Fee Simple
6	Fee Simple
12	Fee Simple
14	Fee Simple
16	Fee Simple
17	Fee Simple
18	Fee Simple
20	Fee Simple
38	Fee Simple
54	Fee Simple
64	Fee Simple

Parcels must be listed. Avoid simply referencing the Exhibit A Property Map. Attach additional sheets as necessary.

Sponsor hereby certifies that the Sponsor or the Sponsor's attorney have reviewed, evaluated and subordinated to airport use where necessary, all encumbrances and that no outstanding encumbrances exist which might affect the maintenance, operation, or development of the airport.

Sponsor further certifies that if defects in the title require correction after acceptance of this Certificate of Title by the FAA, the Sponsor accepts full responsibility for clearing such defects, encumbrances, or exceptions at its own expense.

This Certificate of Title is based upon a current title opinion dated <u>May 30, 2001</u> by the sponsor's attorney, <u>Gary E.</u> Rebenstorf.

<sup>\*</sup>The Sponsor certifies that grantors of easements constitute all of the owners of the land affected by such easements, and they had such quality of title in and to such land as to enable them to convey the interest purported to be conveyed in and by the easements granted. No other interests or rights exist which are incompatible with or would interfere with the exercise and enjoyment by the Sponsor of the rights and interests conveyed.

Sponsor certifies that the title opinion referenced above corresponds with the "Exhibit A" airport property map dated <u>April 17, 2007</u>, AIP project number <u>3-20-0088-57</u>. Although specific title evidence documents are not submitted herewith, copies of deeds and other appropriate evidence of title for the land are on file with the Sponsor and are available for inspection by the FAA.

It is understood that the FAA reserves the right to require additional information at any time.

Wichita Airport Authority	
Name of Sponsor	
Dire	ector of Airports _
Signature and Title of Sponsor Official Authorized to Sign G	rant Agreement
<u></u>	
Date	



# **Grant Assurances Airport Sponsors**

#### A. General.

- 1. These assurances shall be complied with in the performance of grant agreements for airport development, airport planning, and noise compatibility program grants for airport sponsors.
- 2. These assurances are required to be submitted as part of the project application by sponsors requesting funds under the provisions of Title 49, U.S.C., subtitle VII, as amended. As used herein, the term "public agency sponsor" means a public agency with control of a public-use airport; the term "private sponsor" means a private owner of a public-use airport; and the term "sponsor" includes both public agency sponsors and private sponsors.
- **3.** Upon acceptance of this grant offer by the sponsor, these assurances are incorporated in and become part of this grant agreement.

# B. Duration and Applicability.

- 1. Airport development or Noise Compatibility Program Projects Undertaken by a Public Agency Sponsor. The terms, conditions and assurances of this grant agreement shall remain in full force and effect throughout the useful life of the facilities developed or equipment acquired for an airport development or noise compatibility program project, or throughout the useful life of the project items installed within a facility under a noise compatibility program project, but in any event not to exceed twenty (20) years from the date of acceptance of a grant offer of Federal funds for the project. However, there shall be no limit on the duration of the assurances regarding Exclusive Rights and Airport Revenue so long as the airport is used as an airport. There shall be no limit on the duration of the terms, conditions, and assurances with respect to real property acquired with federal funds. Furthermore, the duration of the Civil Rights assurance shall be specified in the assurances.
- 2. Airport Development or Noise Compatibility Projects Undertaken by a Private Sponsor. The preceding paragraph 1 also applies to a private sponsor except that the useful life of project items installed within a facility or the useful life of the facilities developed or equipment acquired under an airport development or noise compatibility program project shall be no less than ten (10) years from the date of acceptance of Federal aid for the project.

- **3. Airport Planning Undertaken by a Sponsor.** Unless otherwise specified in this grant agreement, only Assurances 1, 2, 3, 5, 6, 13, 18, 30, 32, 33, and 34 in section C apply to planning projects. The terms, conditions, and assurances of this grant agreement shall remain in full force and effect during the life of the project.
- C. **Sponsor Certification.** The sponsor hereby assures and certifies, with respect to this grant that:
  - 1. General Federal Requirements. It will comply with all applicable Federal laws, regulations, executive orders, policies, guidelines, and requirements as they relate to the application, acceptance and use of Federal funds for this project including but not limited to the following:

#### **Federal Legislation**

- a. Title 49, U.S.C., subtitle VII, as amended.
- b. Davis-Bacon Act 40 U.S.C. 276(a), et seq. 1
- c. Federal Fair Labor Standards Act 29 U.S.C. 201, et seq.
- d. Hatch Act -5 U.S.C. 1501, et seq.<sup>2</sup>
- e. Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 Title 42 U.S.C. 4601, et seq.<sup>12</sup>
- f. National Historic Preservation Act of 1966 Section 106 16 U.S.C. 470(f).
- g. Archeological and Historic Preservation Act of 1974 16 U.S.C. 469 through 469c.<sup>1</sup>
- h. Native Americans Grave Repatriation Act 25 U.S.C. Section 3001, <u>et</u> seq.
- i. Clean Air Act, P.L. 90-148, as amended.
- j. Coastal Zone Management Act, P.L. 93-205, as amended.
- k. Flood Disaster Protection Act of 1973 Section 102(a) 42 U.S.C. 4012a.
- 1. Title 49, U.S.C., Section 303, (formerly known as Section 4(f))
- m. Rehabilitation Act of 1973 29 U.S.C. 794.
- n. Civil Rights Act of 1964 Title VI 42 U.S.C. 2000d through d-4.
- o. Age Discrimination Act of 1975 42 U.S.C. 6101, et seq.
- p. American Indian Religious Freedom Act, P.L. 95-341, as amended.
- q. Architectural Barriers Act of 1968 -42 U.S.C. 4151, et seq. <sup>1</sup>
- r. Power plant and Industrial Fuel Use Act of 1978 Section 403- 2 U.S.C. 8373.<sup>1</sup>
- s. Contract Work Hours and Safety Standards Act 40 U.S.C. 327, et seq. 1
- t. Copeland Anti kickback Act 18 U.S.C. 874.1
- u. National Environmental Policy Act of 1969 42 U.S.C. 4321, et seq. <sup>1</sup>
- v. Wild and Scenic Rivers Act, P.L. 90-542, as amended.
- w. Single Audit Act of 1984 31 U.S.C. 7501, et seq.<sup>2</sup>
- x. Drug-Free Workplace Act of 1988 41 U.S.C. 702 through 706.

#### **Executive Orders**

Executive Order 11246 - Equal Employment Opportunity<sup>1</sup>

Executive Order 11990 - Protection of Wetlands

Executive Order 11998 – Flood Plain Management

Executive Order 12372 - Intergovernmental Review of Federal Programs

Executive Order 12699 - Seismic Safety of Federal and Federally Assisted New Building Construction<sup>1</sup>

Executive Order 12898 - Environmental Justice

# **Federal Regulations**

- a. 14 CFR Part 13 Investigative and Enforcement Procedures.
- b. 14 CFR Part 16 Rules of Practice For Federally Assisted Airport Enforcement Proceedings.
- c. 14 CFR Part 150 Airport noise compatibility planning.
- d. 29 CFR Part 1 Procedures for predetermination of wage rates.<sup>1</sup>
- e. 29 CFR Part 3 Contractors and subcontractors on public building or public work financed in whole or part by loans or grants from the United States.<sup>1</sup>
- f. 29 CFR Part 5 Labor standards provisions applicable to contracts covering federally financed and assisted construction (also labor standards provisions applicable to non-construction contracts subject to the Contract Work Hours and Safety Standards Act).<sup>1</sup>
- g. 41 CFR Part 60 Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor (Federal and federally assisted contracting requirements). 1
- h. 49 CFR Part 18 Uniform administrative requirements for grants and cooperative agreements to state and local governments.<sup>3</sup>
- i. 49 CFR Part 20 New restrictions on lobbying.
- 49 CFR Part 21 Nondiscrimination in federally-assisted programs of the Department of Transportation - effectuation of Title VI of the Civil Rights Act of 1964.
- k. 49 CFR Part 23 Participation by Disadvantage Business Enterprise in Airport Concessions.
- 1. 49 CFR Part 24 Uniform relocation assistance and real property acquisition for Federal and federally assisted programs. 12
- m. 49 CFR Part 26 Participation By Disadvantaged Business Enterprises in Department of Transportation Programs.
- n. 49 CFR Part 27 Nondiscrimination on the basis of handicap in programs and activities receiving or benefiting from Federal financial assistance. <sup>1</sup>
- o. 49 CFR Part 29 Government wide debarment and suspension (nonprocurement) and government wide requirements for drug-free workplace (grants).
- p. 49 CFR Part 30 Denial of public works contracts to suppliers of goods and services of countries that deny procurement market access to U.S. contractors.

q. 49 CFR Part 41 - Seismic safety of Federal and federally assisted or regulated new building construction.<sup>1</sup>

# Office of Management and Budget Circulars

- a. A-87 Cost Principles Applicable to Grants and Contracts with State and Local Governments.
- b. A-133 Audits of States, Local Governments, and Non-Profit Organizations
  - <sup>1</sup> These laws do not apply to airport planning sponsors.
  - These laws do not apply to private sponsors.
  - 49 CFR Part 18 and OMB Circular A-87 contain requirements for State and Local Governments receiving Federal assistance. Any requirement levied upon State and Local Governments by this regulation and circular shall also be applicable to private sponsors receiving Federal assistance under Title 49, United States Code.

Specific assurances required to be included in grant agreements by any of the above laws, regulations or circulars are incorporated by reference in this grant agreement.

# 2. Responsibility and Authority of the Sponsor.

- a. **Public Agency Sponsor:** It has legal authority to apply for this grant, and to finance and carry out the proposed project; that a resolution, motion or similar action has been duly adopted or passed as an official act of the applicant's governing body authorizing the filing of the application, including all understandings and assurances contained therein, and directing and authorizing the person identified as the official representative of the applicant to act in connection with the application and to provide such additional information as may be required.
- b. **Private Sponsor:** It has legal authority to apply for this grant and to finance and carry out the proposed project and comply with all terms, conditions, and assurances of this grant agreement. It shall designate an official representative and shall in writing direct and authorize that person to file this application, including all understandings and assurances contained therein; to act in connection with this application; and to provide such additional information as may be required.
- 3. Sponsor Fund Availability. It has sufficient funds available for that portion of the project costs which are not to be paid by the United States. It has sufficient funds available to assure operation and maintenance of items funded under this grant agreement which it will own or control.

#### 4. Good Title.

a. It, a public agency or the Federal government, holds good title, satisfactory to the Secretary, to the landing area of the airport or site thereof, or will give assurance satisfactory to the Secretary that good title will be acquired.

b. For noise compatibility program projects to be carried out on the property of the sponsor, it holds good title satisfactory to the Secretary to that portion of the property upon which Federal funds will be expended or will give assurance to the Secretary that good title will be obtained.

# 5. Preserving Rights and Powers.

- a. It will not take or permit any action which would operate to deprive it of any of the rights and powers necessary to perform any or all of the terms, conditions, and assurances in this grant agreement without the written approval of the Secretary, and will act promptly to acquire, extinguish or modify any outstanding rights or claims of right of others which would interfere with such performance by the sponsor. This shall be done in a manner acceptable to the Secretary.
- b. It will not sell, lease, encumber, or otherwise transfer or dispose of any part of its title or other interests in the property shown on Exhibit A to this application or, for a noise compatibility program project, that portion of the property upon which Federal funds have been expended, for the duration of the terms, conditions, and assurances in this grant agreement without approval by the Secretary. If the transferee is found by the Secretary to be eligible under Title 49, United States Code, to assume the obligations of this grant agreement and to have the power, authority, and financial resources to carry out all such obligations, the sponsor shall insert in the contract or document transferring or disposing of the sponsor's interest, and make binding upon the transferee all of the terms, conditions, and assurances contained in this grant agreement.
- c. For all noise compatibility program projects which are to be carried out by another unit of local government or are on property owned by a unit of local government other than the sponsor, it will enter into an agreement with that government. Except as otherwise specified by the Secretary, that agreement shall obligate that government to the same terms, conditions, and assurances that would be applicable to it if it applied directly to the FAA for a grant to undertake the noise compatibility program project. That agreement and changes thereto must be satisfactory to the Secretary. It will take steps to enforce this agreement against the local government if there is substantial non-compliance with the terms of the agreement.
- d. For noise compatibility program projects to be carried out on privately owned property, it will enter into an agreement with the owner of that property which includes provisions specified by the Secretary. It will take steps to enforce this agreement against the property owner whenever there is substantial non-compliance with the terms of the agreement.
- e. If the sponsor is a private sponsor, it will take steps satisfactory to the Secretary to ensure that the airport will continue to function as a publicuse airport in accordance with these assurances for the duration of these assurances.
- f. If an arrangement is made for management and operation of the airport by any agency or person other than the sponsor or an employee of the sponsor, the sponsor will reserve sufficient rights and authority to insure

- that the airport will be operated and maintained in accordance Title 49, United States Code, the regulations and the terms, conditions and assurances in this grant agreement and shall insure that such arrangement also requires compliance therewith.
- g. Sponsors of commercial service airports will not permit or enter into any arrangement that results in permission for the owner or tenant of a property used as a residence, or zoned for residential use, to taxi an aircraft between that property and any location on airport. Sponsors of general aviation airports entering into any arrangement that results in permission for the owner of residential real property adjacent to or near the airport must comply with the requirements of Sec. 136 of Public Law 112-95 and the sponsor assurances.
- **6. Consistency with Local Plans.** The project is reasonably consistent with plans (existing at the time of submission of this application) of public agencies that are authorized by the State in which the project is located to plan for the development of the area surrounding the airport.
- 7. Consideration of Local Interest. It has given fair consideration to the interest of communities in or near where the project may be located.
- **8. Consultation with Users.** In making a decision to undertake any airport development project under Title 49, United States Code, it has undertaken reasonable consultations with affected parties using the airport at which project is proposed.
- 9. Public Hearings. In projects involving the location of an airport, an airport runway, or a major runway extension, it has afforded the opportunity for public hearings for the purpose of considering the economic, social, and environmental effects of the airport or runway location and its consistency with goals and objectives of such planning as has been carried out by the community and it shall, when requested by the Secretary, submit a copy of the transcript of such hearings to the Secretary. Further, for such projects, it has on its management board either voting representation from the communities where the project is located or has advised the communities that they have the right to petition the Secretary concerning a proposed project.
- 10. Air and Water Quality Standards. In projects involving airport location, a major runway extension, or runway location it will provide for the Governor of the state in which the project is located to certify in writing to the Secretary that the project will be located, designed, constructed, and operated so as to comply with applicable air and water quality standards. In any case where such standards have not been approved and where applicable air and water quality standards have been promulgated by the Administrator of the Environmental Protection Agency, certification shall be obtained from such Administrator. Notice of certification or refusal to certify shall be provided within sixty days after the project application has been received by the Secretary.
- **11. Pavement Preventive Maintenance**. With respect to a project approved after January 1, 1995, for the replacement or reconstruction of pavement at the airport,

it assures or certifies that it has implemented an effective airport pavement maintenance-management program and it assures that it will use such program for the useful life of any pavement constructed, reconstructed or repaired with Federal financial assistance at the airport. It will provide such reports on pavement condition and pavement management programs as the Secretary determines may be useful.

12. Terminal Development Prerequisites. For projects which include terminal development at a public use airport, as defined in Title 49, it has, on the date of submittal of the project grant application, all the safety equipment required for certification of such airport under section 44706 of Title 49, United States Code, and all the security equipment required by rule or regulation, and has provided for access to the passenger enplaning and deplaning area of such airport to passengers enplaning and deplaning from aircraft other than air carrier aircraft.

# 13. Accounting System, Audit, and Record Keeping Requirements.

- a. It shall keep all project accounts and records which fully disclose the amount and disposition by the recipient of the proceeds of this grant, the total cost of the project in connection with which this grant is given or used, and the amount or nature of that portion of the cost of the project supplied by other sources, and such other financial records pertinent to the project. The accounts and records shall be kept in accordance with an accounting system that will facilitate an effective audit in accordance with the Single Audit Act of 1984.
- b. It shall make available to the Secretary and the Comptroller General of the United States, or any of their duly authorized representatives, for the purpose of audit and examination, any books, documents, papers, and records of the recipient that are pertinent to this grant. The Secretary may require that an appropriate audit be conducted by a recipient. In any case in which an independent audit is made of the accounts of a sponsor relating to the disposition of the proceeds of a grant or relating to the project in connection with which this grant was given or used, it shall file a certified copy of such audit with the Comptroller General of the United States not later than six (6) months following the close of the fiscal year for which the audit was made.
- 14. Minimum Wage Rates. It shall include, in all contracts in excess of \$2,000 for work on any projects funded under this grant agreement which involve labor, provisions establishing minimum rates of wages, to be predetermined by the Secretary of Labor, in accordance with the Davis-Bacon Act, as amended (40 U.S.C. 276a-276a-5), which contractors shall pay to skilled and unskilled labor, and such minimum rates shall be stated in the invitation for bids and shall be included in proposals or bids for the work.
- 15. Veteran's Preference. It shall include in all contracts for work on any project funded under this grant agreement which involve labor, such provisions as are necessary to insure that, in the employment of labor (except in executive, administrative, and supervisory positions), preference shall be given to Vietnam

era veterans, Persian Gulf veterans, Afghanistan-Iraq war veterans, disabled veterans, and small business concerns owned and controlled by disabled veterans as defined in Section 47112 of Title 49, United States Code. However, this preference shall apply only where the individuals are available and qualified to perform the work to which the employment relates.

- 16. Conformity to Plans and Specifications. It will execute the project subject to plans, specifications, and schedules approved by the Secretary. Such plans, specifications, and schedules shall be submitted to the Secretary prior to commencement of site preparation, construction, or other performance under this grant agreement, and, upon approval of the Secretary, shall be incorporated into this grant agreement. Any modification to the approved plans, specifications, and schedules shall also be subject to approval of the Secretary, and incorporated into this grant agreement.
- 17. Construction Inspection and Approval. It will provide and maintain competent technical supervision at the construction site throughout the project to assure that the work conforms to the plans, specifications, and schedules approved by the Secretary for the project. It shall subject the construction work on any project contained in an approved project application to inspection and approval by the Secretary and such work shall be in accordance with regulations and procedures prescribed by the Secretary. Such regulations and procedures shall require such cost and progress reporting by the sponsor or sponsors of such project as the Secretary shall deem necessary.
- **18. Planning Projects.** In carrying out planning projects:
  - a. It will execute the project in accordance with the approved program narrative contained in the project application or with the modifications similarly approved.
  - b. It will furnish the Secretary with such periodic reports as required pertaining to the planning project and planning work activities.
  - c. It will include in all published material prepared in connection with the planning project a notice that the material was prepared under a grant provided by the United States.
  - d. It will make such material available for examination by the public, and agrees that no material prepared with funds under this project shall be subject to copyright in the United States or any other country.
  - e. It will give the Secretary unrestricted authority to publish, disclose, distribute, and otherwise use any of the material prepared in connection with this grant.
  - f. It will grant the Secretary the right to disapprove the sponsor's employment of specific consultants and their subcontractors to do all or any part of this project as well as the right to disapprove the proposed scope and cost of professional services.
  - g. It will grant the Secretary the right to disapprove the use of the sponsor's employees to do all or any part of the project.
  - h. It understands and agrees that the Secretary's approval of this project grant or the Secretary's approval of any planning material developed as part of

this grant does not constitute or imply any assurance or commitment on the part of the Secretary to approve any pending or future application for a Federal airport grant.

# 19. Operation and Maintenance.

- a. The airport and all facilities which are necessary to serve the aeronautical users of the airport, other than facilities owned or controlled by the United States, shall be operated at all times in a safe and serviceable condition and in accordance with the minimum standards as may be required or prescribed by applicable Federal, state and local agencies for maintenance and operation. It will not cause or permit any activity or action thereon which would interfere with its use for airport purposes. It will suitably operate and maintain the airport and all facilities thereon or connected therewith, with due regard to climatic and flood conditions. Any proposal to temporarily close the airport for non-aeronautical purposes must first be approved by the Secretary. In furtherance of this assurance, the sponsor will have in effect arrangements for-
  - 1) Operating the airport's aeronautical facilities whenever required;
  - 2) Promptly marking and lighting hazards resulting from airport conditions, including temporary conditions; and
  - 3) Promptly notifying airmen of any condition affecting aeronautical use of the airport. Nothing contained herein shall be construed to require that the airport be operated for aeronautical use during temporary periods when snow, flood or other climatic conditions interfere with such operation and maintenance. Further, nothing herein shall be construed as requiring the maintenance, repair, restoration, or replacement of any structure or facility which is substantially damaged or destroyed due to an act of God or other condition or circumstance beyond the control of the sponsor.
- b. It will suitably operate and maintain noise compatibility program items that it owns or controls upon which Federal funds have been expended.
- **20. Hazard Removal and Mitigation.** It will take appropriate action to assure that such terminal airspace as is required to protect instrument and visual operations to the airport (including established minimum flight altitudes) will be adequately cleared and protected by removing, lowering, relocating, marking, or lighting or otherwise mitigating existing airport hazards and by preventing the establishment or creation of future airport hazards.
- 21. Compatible Land Use. It will take appropriate action, to the extent reasonable, including the adoption of zoning laws, to restrict the use of land adjacent to or in the immediate vicinity of the airport to activities and purposes compatible with normal airport operations, including landing and takeoff of aircraft. In addition, if the project is for noise compatibility program implementation, it will not cause or permit any change in land use, within its jurisdiction, that will reduce its compatibility, with respect to the airport, of the noise compatibility program measures upon which Federal funds have been expended.

#### 22. Economic Nondiscrimination.

- a. It will make the airport available as an airport for public use on reasonable terms and without unjust discrimination to all types, kinds and classes of aeronautical activities, including commercial aeronautical activities offering services to the public at the airport.
- b. In any agreement, contract, lease, or other arrangement under which a right or privilege at the airport is granted to any person, firm, or corporation to conduct or to engage in any aeronautical activity for furnishing services to the public at the airport, the sponsor will insert and enforce provisions requiring the contractor to-
  - 1) furnish said services on a reasonable, and not unjustly discriminatory, basis to all users thereof, and
  - 2) charge reasonable, and not unjustly discriminatory, prices for each unit or service, provided that the contractor may be allowed to make reasonable and nondiscriminatory discounts, rebates, or other similar types of price reductions to volume purchasers.
- c. Each fixed-based operator at the airport shall be subject to the same rates, fees, rentals, and other charges as are uniformly applicable to all other fixed-based operators making the same or similar uses of such airport and utilizing the same or similar facilities.
- d. Each air carrier using such airport shall have the right to service itself or to use any fixed-based operator that is authorized or permitted by the airport to serve any air carrier at such airport.
- e. Each air carrier using such airport (whether as a tenant, non tenant, or subtenant of another air carrier tenant) shall be subject to such nondiscriminatory and substantially comparable rules, regulations, conditions, rates, fees, rentals, and other charges with respect to facilities directly and substantially related to providing air transportation as are applicable to all such air carriers which make similar use of such airport and utilize similar facilities, subject to reasonable classifications such as tenants or non tenants and signatory carriers and non signatory carriers. Classification or status as tenant or signatory shall not be unreasonably withheld by any airport provided an air carrier assumes obligations substantially similar to those already imposed on air carriers in such classification or status.
- f. It will not exercise or grant any right or privilege which operates to prevent any person, firm, or corporation operating aircraft on the airport from performing any services on its own aircraft with its own employees [including, but not limited to maintenance, repair, and fueling] that it may choose to perform.
- g. In the event the sponsor itself exercises any of the rights and privileges referred to in this assurance, the services involved will be provided on the same conditions as would apply to the furnishing of such services by commercial aeronautical service providers authorized by the sponsor under these provisions.

- h. The sponsor may establish such reasonable, and not unjustly discriminatory, conditions to be met by all users of the airport as may be necessary for the safe and efficient operation of the airport.
- i. The sponsor may prohibit or limit any given type, kind or class of aeronautical use of the airport if such action is necessary for the safe operation of the airport or necessary to serve the civil aviation needs of the public.
- **23. Exclusive Rights.** It will permit no exclusive right for the use of the airport by any person providing, or intending to provide, aeronautical services to the public. For purposes of this paragraph, the providing of the services at an airport by a single fixed-based operator shall not be construed as an exclusive right if both of the following apply:
  - a. It would be unreasonably costly, burdensome, or impractical for more than one fixed-based operator to provide such services, and
  - If allowing more than one fixed-based operator to provide such services b. would require the reduction of space leased pursuant to an existing agreement between such single fixed-based operator and such airport. It further agrees that it will not, either directly or indirectly, grant or permit any person, firm, or corporation, the exclusive right at the airport to conduct any aeronautical activities, including, but not limited to charter flights, pilot training, aircraft rental and sightseeing, aerial photography, crop dusting, aerial advertising and surveying, air carrier operations, aircraft sales and services, sale of aviation petroleum products whether or not conducted in conjunction with other aeronautical activity, repair and maintenance of aircraft, sale of aircraft parts, and any other activities which because of their direct relationship to the operation of aircraft can be regarded as an aeronautical activity, and that it will terminate any exclusive right to conduct an aeronautical activity now existing at such an airport before the grant of any assistance under Title 49, United States Code.
- 24. Fee and Rental Structure. It will maintain a fee and rental structure for the facilities and services at the airport which will make the airport as self-sustaining as possible under the circumstances existing at the particular airport, taking into account such factors as the volume of traffic and economy of collection. No part of the Federal share of an airport development, airport planning or noise compatibility project for which a grant is made under Title 49, United States Code, the Airport and Airway Improvement Act of 1982, the Federal Airport Act or the Airport and Airway Development Act of 1970 shall be included in the rate basis in establishing fees, rates, and charges for users of that airport.

### 25. Airport Revenues.

a. All revenues generated by the airport and any local taxes on aviation fuel established after December 30, 1987, will be expended by it for the capital or operating costs of the airport; the local airport system; or other local facilities which are owned or operated by the owner or operator of the

airport and which are directly and substantially related to the actual air transportation of passengers or property; or for noise mitigation purposes on or off the airport. The following exceptions apply to this paragraph:

- 1) If covenants or assurances in debt obligations issued before September 3, 1982, by the owner or operator of the airport, or provisions enacted before September 3, 1982, in governing statutes controlling the owner or operator's financing, provide for the use of the revenues from any of the airport owner or operator's facilities, including the airport, to support not only the airport but also the airport owner or operator's general debt obligations or other facilities, then this limitation on the use of all revenues generated by the airport (and, in the case of a public airport, local taxes on aviation fuel) shall not apply.
- 2) If the Secretary approves the sale of a privately owned airport to a public sponsor and provides funding for any portion of the public sponsor's acquisition of land, this limitation on the use of all revenues generated by the sale shall not apply to certain proceeds from the sale. This is conditioned on repayment to the Secretary by the private owner of an amount equal to the remaining unamortized portion (amortized over a 20-year period) of any airport improvement grant made to the private owner for any purpose other than land acquisition on or after October 1, 1996, plus an amount equal to the federal share of the current fair market value of any land acquired with an airport improvement grant made to that airport on or after October 1, 1996.
- 3) Certain revenue derived from or generated by mineral extraction, production, lease, or other means at a general aviation airport (as defined at Section 47102 of title 49 United States Code), if the FAA determines the airport sponsor meets the requirements set forth in Sec. 813 of Public Law 112-95.
- b. As part of the annual audit required under the Single Audit Act of 1984, the sponsor will direct that the audit will review, and the resulting audit report will provide an opinion concerning, the use of airport revenue and taxes in paragraph (a), and indicating whether funds paid or transferred to the owner or operator are paid or transferred in a manner consistent with Title 49, United States Code and any other applicable provision of law, including any regulation promulgated by the Secretary or Administrator.
- c. Any civil penalties or other sanctions will be imposed for violation of this assurance in accordance with the provisions of Section 47107 of Title 49, United States Code.

### **26. Reports and Inspections.** It will:

a. submit to the Secretary such annual or special financial and operations reports as the Secretary may reasonably request and make such reports

- available to the public; make available to the public at reasonable times and places a report of the airport budget in a format prescribed by the Secretary;
- b. for airport development projects, make the airport and all airport records and documents affecting the airport, including deeds, leases, operation and use agreements, regulations and other instruments, available for inspection by any duly authorized agent of the Secretary upon reasonable request;
- c. for noise compatibility program projects, make records and documents relating to the project and continued compliance with the terms, conditions, and assurances of this grant agreement including deeds, leases, agreements, regulations, and other instruments, available for inspection by any duly authorized agent of the Secretary upon reasonable request; and
- d. in a format and time prescribed by the Secretary, provide to the Secretary and make available to the public following each of its fiscal years, an annual report listing in detail:
  - 1) all amounts paid by the airport to any other unit of government and the purposes for which each such payment was made; and
  - 2) all services and property provided by the airport to other units of government and the amount of compensation received for provision of each such service and property.
- 27. Use by Government Aircraft. It will make available all of the facilities of the airport developed with Federal financial assistance and all those usable for landing and takeoff of aircraft to the United States for use by Government aircraft in common with other aircraft at all times without charge, except, if the use by Government aircraft is substantial, charge may be made for a reasonable share, proportional to such use, for the cost of operating and maintaining the facilities used. Unless otherwise determined by the Secretary, or otherwise agreed to by the sponsor and the using agency, substantial use of an airport by Government aircraft will be considered to exist when operations of such aircraft are in excess of those which, in the opinion of the Secretary, would unduly interfere with use of the landing areas by other authorized aircraft, or during any calendar month that
  - a. Five (5) or more Government aircraft are regularly based at the airport or on land adjacent thereto; or
  - b. The total number of movements (counting each landing as a movement) of Government aircraft is 300 or more, or the gross accumulative weight of Government aircraft using the airport (the total movement of Government aircraft multiplied by gross weights of such aircraft) is in excess of five million pounds.
- **28. Land for Federal Facilities.** It will furnish without cost to the Federal Government for use in connection with any air traffic control or air navigation activities, or weather-reporting and communication activities related to air traffic control, any areas of land or water, or estate therein, or rights in buildings of the sponsor as the Secretary considers necessary or desirable for construction, operation, and maintenance at Federal expense of space or facilities for such

purposes. Such areas or any portion thereof will be made available as provided herein within four months after receipt of a written request from the Secretary.

# 29. Airport Layout Plan.

- It will keep up to date at all times an airport layout plan of the airport a. showing (1) boundaries of the airport and all proposed additions thereto, together with the boundaries of all offsite areas owned or controlled by the sponsor for airport purposes and proposed additions thereto; (2) the location and nature of all existing and proposed airport facilities and structures (such as runways, taxiways, aprons, terminal buildings, hangars and roads), including all proposed extensions and reductions of existing airport facilities; (3) the location of all existing and proposed nonaviation areas and of all existing improvements thereon; and (4) all proposed and existing access points used to taxi aircraft across the airport's property boundary. Such airport layout plans and each amendment, revision, or modification thereof, shall be subject to the approval of the Secretary which approval shall be evidenced by the signature of a duly authorized representative of the Secretary on the face of the airport layout plan. The sponsor will not make or permit any changes or alterations in the airport or any of its facilities which are not in conformity with the airport layout plan as approved by the Secretary and which might, in the opinion of the Secretary, adversely affect the safety, utility or efficiency of the airport.
- b. If a change or alteration in the airport or the facilities is made which the Secretary determines adversely affects the safety, utility, or efficiency of any federally owned, leased, or funded property on or off the airport and which is not in conformity with the airport layout plan as approved by the Secretary, the owner or operator will, if requested, by the Secretary (1) eliminate such adverse effect in a manner approved by the Secretary; or (2) bear all costs of relocating such property (or replacement thereof) to a site acceptable to the Secretary and all costs of restoring such property (or replacement thereof) to the level of safety, utility, efficiency, and cost of operation existing before the unapproved change in the airport or its facilities except in the case of a relocation or replacement of an existing airport facility due to a change in the Secretary's design standards beyond the control of the airport sponsor.
- **30. Civil Rights.** It will comply with such rules as are promulgated to assure that no person shall, on the grounds of race, creed, color, national origin, sex, age, or handicap be excluded from participating in any activity conducted with or benefiting from funds received from this grant. This assurance obligates the sponsor for the period during which Federal financial assistance is extended to the program, except where Federal financial assistance is to provide, or is in the form of personal property or real property or interest therein or structures or improvements thereon in which case the assurance obligates the sponsor or any transferee for the longer of the following periods: (a) the period during which the property is used for a purpose for which Federal financial assistance is extended, or for another purpose involving the provision of similar services or benefits, or

(b) the period during which the sponsor retains ownership or possession of the property.

# 31. Disposal of Land.

- For land purchased under a grant for airport noise compatibility purposes, a. including land serving as a noise buffer, it will dispose of the land, when the land is no longer needed for such purposes, at fair market value, at the earliest practicable time. That portion of the proceeds of such disposition which is proportionate to the United States' share of acquisition of such land will be, at the discretion of the Secretary, (1) reinvested in another project at the airport, or (2) transferred to another eligible airport as prescribed by the Secretary. The Secretary shall give preference to the following, in descending order, (1) reinvestment in an approved noise compatibility project, (2) reinvestment in an approved project that is eligible for grant funding under Section 47117(e) of title 49 United States Code, (3) reinvestment in an approved airport development project that is eligible for grant funding under Sections 47114, 47115, or 47117 of title 49 United States Code, (4) transferred to an eligible sponsor of another public airport to be reinvested in an approved noise compatibility project at that airport, and (5) paid to the Secretary for deposit in the Airport and Airway Trust Fund. If land acquired under a grant for noise compatibility purposes is leased at fair market value and consistent with noise buffering purposes, the lease will not be considered a disposal of the land. Revenues derived from such a lease may be used for an approved airport development project that would otherwise be eligible for grant funding or any permitted use of airport revenue.
- For land purchased under a grant for airport development purposes (other b. than noise compatibility), it will, when the land is no longer needed for airport purposes, dispose of such land at fair market value or make available to the Secretary an amount equal to the United States' proportionate share of the fair market value of the land. That portion of the proceeds of such disposition which is proportionate to the United States' share of the cost of acquisition of such land will, (1) upon application to the Secretary, be reinvested or transferred to another eligible airport as prescribed by the Secretary. The Secretary shall give preference to the following, in descending order: (1) reinvestment in an approved noise compatibility project, (2) reinvestment in an approved project that is eligible for grant funding under Section 47117(e) of title 49 United States Code, (3) reinvestment in an approved airport development project that is eligible for grant funding under Sections 47114, 47115, or 47117 of title 49 United States Code, (4) transferred to an eligible sponsor of another public airport to be reinvested in an approved noise compatibility project at that airport, and (5) paid to the Secretary for deposit in the Airport and Airway Trust Fund.
- c. Land shall be considered to be needed for airport purposes under this assurance if (1) it may be needed for aeronautical purposes (including runway protection zones) or serve as noise buffer land, and (2) the revenue

- from interim uses of such land contributes to the financial self-sufficiency of the airport. Further, land purchased with a grant received by an airport operator or owner before December 31, 1987, will be considered to be needed for airport purposes if the Secretary or Federal agency making such grant before December 31, 1987, was notified by the operator or owner of the uses of such land, did not object to such use, and the land continues to be used for that purpose, such use having commenced no later than December 15, 1989.
- d. Disposition of such land under (a) (b) or (c) will be subject to the retention or reservation of any interest or right therein necessary to ensure that such land will only be used for purposes which are compatible with noise levels associated with operation of the airport.
- 32. Engineering and Design Services. It will award each contract, or sub-contract for program management, construction management, planning studies, feasibility studies, architectural services, preliminary engineering, design, engineering, surveying, mapping or related services with respect to the project in the same manner as a contract for architectural and engineering services is negotiated under Title IX of the Federal Property and Administrative Services Act of 1949 or an equivalent qualifications-based requirement prescribed for or by the sponsor of the airport.
- **33. Foreign Market Restrictions.** It will not allow funds provided under this grant to be used to fund any project which uses any product or service of a foreign country during the period in which such foreign country is listed by the United States Trade Representative as denying fair and equitable market opportunities for products and suppliers of the United States in procurement and construction.
- **34. Policies, Standards, and Specifications.** It will carry out the project in accordance with policies, standards, and specifications approved by the Secretary including but not limited to the advisory circulars listed in the Current FAA Advisory Circulars for AIP projects, dated \_\_\_\_\_\_\_ (the latest approved version as of this grant offer) and included in this grant, and in accordance with applicable state policies, standards, and specifications approved by the Secretary.
- **35. Relocation and Real Property Acquisition.** (1) It will be guided in acquiring real property, to the greatest extent practicable under State law, by the land acquisition policies in Subpart B of 49 CFR Part 24 and will pay or reimburse property owners for necessary expenses as specified in Subpart B. (2) It will provide a relocation assistance program offering the services described in Subpart C and fair and reasonable relocation payments and assistance to displaced persons as required in Subpart D and E of 49 CFR Part 24. (3) It will make available within a reasonable period of time prior to displacement, comparable replacement dwellings to displaced persons in accordance with Subpart E of 49 CFR Part 24.
- **36. Access By Intercity Buses**. The airport owner or operator will permit, to the maximum extent practicable, intercity buses or other modes of transportation to

- have access to the airport; however, it has no obligation to fund special facilities for intercity buses or for other modes of transportation.
- 37. Disadvantaged Business Enterprises. The recipient shall not discriminate on the basis of race, color, national origin or sex in the award and performance of any DOT-assisted contract or in the administration of its DBE program or the requirements of 49 CFR Part 26. The Recipient shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure non discrimination in the award and administration of DOT-assisted contracts. The recipient's DBE program, as required by 49 CFR Part 26, and as approved by DOT, is incorporated by reference in this agreement. Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the recipient of its failure to carry out its approved program, the Department may impose sanctions as provided for under Part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801).
- **38. Hangar Construction.** If the airport owner or operator and a person who owns an aircraft agree that a hangar is to be constructed at the airport for the aircraft at the aircraft owner's expense, the airport owner or operator will grant to the aircraft owner for the hangar a long term lease that is subject to such terms and conditions on the hangar as the airport owner or operator may impose.

### 39. Competitive Access.

- a. If the airport owner or operator of a medium or large hub airport (as defined in section 47102 of title 49, U.S.C.) has been unable to accommodate one or more requests by an air carrier for access to gates or other facilities at that airport in order to allow the air carrier to provide service to the airport or to expand service at the airport, the airport owner or operator shall transmit a report to the Secretary that-
  - 1) Describes the requests;
  - 2) Provides an explanation as to why the requests could not be accommodated; and
  - 3) Provides a time frame within which, if any, the airport will be able to accommodate the requests.
- b. Such report shall be due on either February 1 or August 1 of each year if the airport has been unable to accommodate the request(s) in the six month period prior to the applicable due date.

# City of Wichita City Council Meeting July 24, 2012

TO: Wichita Airport Authority

**SUBJECT:** Airfield Electrical System Replacement

Budget Adjustment and Grant Application

Wichita Mid-Continent Airport

**INITIATED BY:** Department of Airports

**AGENDA:** Wichita Airport Authority (Consent)

**Recommendation:** Approve the budget adjustment and the application and acceptance of Federal Aviation Administration (FAA) grant funds.

**Background:** Significant elements of the airfield electrical system are in need of replacement at Mid-Continent Airport. The major elements of the work will replace the airfield lighting control system, cabling, edge lights, electrical equipment, guidance signage, install and reconstruct damaged electrical manholes, and correct a drainage problem in an electrical system. Prior to the design, the project was initiated with a budget of \$2,000,000 on August 2, 2011. At that time, a grant application was submitted for the original design in the amount of \$100,000.

<u>Analysis:</u> More extensive damage to the system was discovered during the design phase, and the FAA is requiring improvements and modifications as a result of a spring 2012 FAA Runway Safety Action Team directive. The additional work resulted in minor additional design effort. The consultant proceeded with the design modifications in order to have the project ready for bidding in time to meet a pending grant deadline. Airport staff has prepared a second grant application to cover the additional design effort and construction costs. This application includes the allocation of AIP entitlement funds that will expire on September 30, 2012, if they are not obligated to a grant in August 2012.

<u>Financial Considerations:</u> The supplemental agreement with Professional Engineering Consultants for additional design plus construction phase services will be forthcoming. The construction contract will be awarded through the Board of Bids process pending FAA approval. (Bids are scheduled to be opened July 20, 2012). The project budget adjustment requested is an additional \$800,000, for a project total of \$2,800,000. The increase represents approximately \$10,000 for additional design services, \$300,000 for construction related services, and \$490,000 for additional construction pursuant to the latest estimate from the consultant. Ninety percent (\$90,000 from the first grant application and \$2,430,000 from the second grant application) of the project will be paid for with funds from the FAA (second grant application attached). The remaining ten percent will be funded with Airport revenues either directly or through the repayment of General Obligation bonds.

**Goal Impact:** The Airport's contribution to the Economic Vitality and Quality of Life of Wichita is promoted through the maintenance of a safe airfield to serve the aviation community.

<u>Legal Considerations:</u> The Law Department has approved this grant application and its utilization as being within the WAA's statutory powers and grant assurances. The Law Department will separately approve any resulting contracts for grant implementation.

**Recommendations/Actions:** It is recommended that the Wichita Airport Authority approve the budget adjustment. Additionally, it is recommended that the Wichita Airport Authority approve the application and acceptance of grant funds and authorize the Director of Airports to sign all necessary documents related to the grant.

**Attachments:** Grant Application.

OMB Number: 4040-0004 Expiration Date: 03/31/2012

Application for	Application for Federal Assistance SF-424				
*1. Type of Submission:			e of Application	on * If Revision, select appropriate letter(s):	
☐ Preapplication ☐ N		⊠ Nev	v		
		☐ Cor	ntinuation	*Other (Specify)	
☐ Changed/Corr	rected Application	☐ Rev	ision	<del></del>	
*3. Date Receive	d: 4.	Applicar	nt Identifier:		
5a. Federal Entity	y Identifier:			*5b. Federal Award Identifier:	
State Use Only:					
6. Date Received	by State:		7. State App	plication Identifier:	
8. APPLICANT II	NFORMATION:				
*a. Legal Name:	Wichita Airport Auth	ority			
*b. Employer/Tax 48-6000653	κρayer Identification Γ	Number (	EIN/TIN):	*c. Organizational DUNS: 124970641	
d. Address:					
*Street 1:	2173 Air C	argo Rd.			
Street 2:					
*City: Wichita					
County: Sedgwick			<u> </u>		
*State:	KS				
Province:					
*Country:	USA				
*Zip / Postal Code	e <u>67209</u>				
e. Organizational Unit:					
Department Name				Division Name:	
Department of Air				Airport Engineering	
f. Name and contact information of person to be contacted on matters involving this application:					
	Mr.	*F	irst Name: \(\)	Victor	
Middle Name:					
	White				
Suffix:	Suffix:				
Title:	Director of Airports				
Organizational Affiliation:					

*Telephone Number: 316-946-4700	Fax Number: 316-946-1898
*Email: vwhite@wichita.gov	
	OMB Number: 4040-0004 Expiration Date: 03/31/2012
Application for Federal Assistance SF-424	
*9. Type of Applicant 1: Select Applicant Type:	
C. City or Township Government	
Type of Applicant 2: Select Applicant Type:	
Type of Applicant 3: Select Applicant Type:	
*Other (Specify)	
*10. Name of Federal Agency: Federal Aviation Administration	
11. Catalog of Federal Domestic Assistance Number:	
20.106	
CFDA Title:	
Airport Improvement Program	
12. Funding Opportunity Number:	
3-20-0088-65	
Title: Airfield Electrical System Replacement Construction	
13. Competition Identification Number:	
Title:	
14. Areas Affected by Project (Cities, Counties, States, etc.)	:
Wichita, Sedgwick County, Kansas	

OMB Number: 4040-0004 Expiration Date: 03/31/2012

Application for	Federal Assistance SF-4	24			
16. Congression	al Districts Of:				
*a. Applicant: 4th	ı	*b. Program	n/Project: 4th		
Attach an addition	nal list of Program/Project Con	gressional Districts if neede	ed.		
17. Proposed Pr	roject:				
*a. Start Date: 7-	*a. Start Date: 7-26-2011				
18. Estimated Fu	ınding (\$):				
*a. Federal	\$2,430,000				
*b. Applicant	\$270,000				
*c. State					
*d. Local		•			
*e. Other  *f. Program Incor		•			
*g. TOTAL	\$2,700,000.00	•			
<u> </u>	Ψ2,7 00,000.00				
*19. Is Application Subject to Review By State Under Executive Order 12372 Process?  ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on  ☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.  ☐ c. Program is not covered by E. O. 12372					
*20. Is the Appli ☐ Yes	cant Delinquent On Any Fed	leral Debt? (If "Yes", prov	ride explanation.)		
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)  ** I AGREE  ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.					
Authorized Repr	esentative:				
Prefix: Middle Name: *Last Name: Suffix:	Mr. White	*First Name: <u>Victor</u>			
*Title: Director of	Airports				
*Telephone Number: 316-946-4700 Fax Number: 316-946-4793					
* Email: vwhite@	wichita.gov				

### **PART II**

# PROJECT APPROVAL INFORMATION SECTION A

Item 1.  Does this assistance request require State, local, regional, or other priority rating?  Yes x No	Name of Governing Body: Priority:
Item 2.  Does this assistance request require State, or local advisory, educational or health clearances?  Yes x No	Name of Agency or Board: (Attach Documentation)
Item 3.  Does this assistance request require clearinghouse review in accordance with OMB Circular A-95?  Yes x No	(Attach Comments)
Item 4.  Does this assistance request require State, local, regional or other planning approval?  Yes x No	Name of Approving Agency:  Date: / /
Item 5. Is the proposal project covered by an approved comprehensive plan?  Yes x No	Check one:  State Local Regional  Location of Plan:
Item 6.  Will the assistance requested serve a Federal installation?  Yes x No	Name of Federal Installation: Federal Population benefiting from Project:
Item 7.  Will the assistance requested be on Federal land or installation?  Yes x No	Name of Federal Installation: Location of Federal Land: Percent of Project:
Item 8.  Will the assistance requested have an impact or effect on the environment?  Yes x No	See instruction for additional information to be provided
<u>Item 9.</u> Will the assistance requested cause the displacement of individuals, families, businesses, or farms? Yes x No	Number of: Individuals: Families: Businesses: Farms:
Item 10.  Is there other related Federal assistance on this project previous, pending, or anticipated?  Yes x No	See instructions for additional information to be provided.

#### **PART II - SECTION C**

The Sponsor hereby represents and certifies as follows:

1. Compatible Land Use. - The Sponsor has taken the following actions to assure compatible usage of land adjacent to or in the vicinity of the airport:

In as far as this is within our power, the Wichita Airport Authority of Wichita, Kansas, will affirmatively work to obtain appropriate zoning by the Wichita Sedgwick County Metropolitan Area Planning commission which has zoning authority to take action to restrict the use of land in the immediate vicinity of Mid-Continent Airport. The current zoning ordinance has obstruction zoning prohibiting certain manufacturing facilities, which produce smoke, dust, gaseous fumes, and electrical interference or glare impairing the visibility of pilots.

2. Defaults. - The Sponsor is not in default on any obligation to the United States or any agency of the United States Government relative to the development, operation, or maintenance of any airport, except as stated herewith:

NONE

3. Possible Disabilities. - There are no facts or circumstances (including the existence of effective or proposed leases, use agreements or other legal instruments affecting use of the Airport or the existence of pending litigation or other legal proceedings) which in reasonable probability might make it impossible for the Sponsor to carry out and complete the Project or carry out the provisions of Part V of this Application, either by limiting its legal or financial ability or otherwise, except as follows:

NONE

4. Consistency with Local Plans. – The project is reasonably consistent with plans existing at the time of submission of this application) of public agencies that are authorized by the State in which the project is located to plan for the development of the area surrounding the airport.

YES

5. Consideration of Local Interest - It has given fair consideration to the interest of communities in or near where the project may be located.

YES

6. Consultation with Users. In making a decision to undertake any airport development project under Title 49, United States Code, it has undertaken reasonable consultations with affected parties using the airport which project is proposed.

YES

7. Public Hearings. – In projects involving the location of an airport, an airport runway or a major runway extension, it has afforded the opportunity for public hearings for the purpose of considering the economic, social, and environmental effects of the airport or runway location and its consistency with goals and objectives of such planning as has been carried out by the community and it shall, when requested by the Secretary, submit a copy of the transcript of such hearings to the Secretary. Further, for such projects, it has on its management board either voting representation from the communities where the project is located or has advised the communities that they have the right to petition the Secretary concerning a proposed project.

NA

8. Air and Water Quality Standards. – In projects involving airport location, a major runway extension, or runway location it will provide for the Governor of the state in which the project is located to certify in writing to the Secretary that the project will be located, designed, constructed, and operated so as to comply with applicable and air and water quality standards. In any case where such standards have not been approved and where applicable air and water quality standards have been promulgated by the Administrator of the Environmental Protection Agency, certification shall be obtained from such Administrator. Notice of certification or refusal to certify shall be provided within sixty days after the project application has been received by the Secretary.

NΑ

# PART II - SECTION C (Continued)

9. Exclusive Rights – There is no grant of an exclusive right for the conduct of any aeronautical activity at any airport owned or controlled by the Sponsor except as follows:
NA
10. Land. – (a) The sponsor holds the following property interest in the following areas of land* which are to be developed or used as part of or in connection with the Airport subject to the following exceptions, encumbrances, and adverse interests, all of which areas are identified on the aforementioned property map designated as Exhibit "A":
The Wichita Airport Authority of Wichita, Kansas, has the Fee Simple Title to all land comprising Mid-Continent Airport, free and clear of all encumbrances and/or restrictions, subject however, to the leases and/or use agreements
The Sponsor further certifies that the above is based on a title examination by a qualified attorney or title company and that such attorney or title company has determined that the Sponsor holds the above property interests.
(b) The Sponsor will acquire within a reasonable time, but in any event prior to the start of any construction work under the Project, the following property interest in the following areas of land* on which such construction work is to be performed, all of which areas are identified on the aforementioned property map designated as Exhibit "A":
NA
(c) The Sponsor will acquire within a reasonable time, and if feasible prior to the completion of all construction work under the Project, the following property interest in the following areas of land* which are to be developed or used as part of or in connection with the Airport as it will be upon completion of the Project, all of which areas are identified on the aforementioned property map designated as Exhibit "A"
NA
*State character of property interest in each area and list and identify for each all exceptions, encumbrances, and adverse interests of every kind and nature, including liens, easements, leases, etc. The separate areas of land need only be identified here by the area numbers shown on the property map.

## U.S. DEPARTMENT OF TRANSPORTATION - FEDERAL AVIATION ADMINISTRATION OMB NO. 2120-0569 PART III - BUDGET INFORMATION - CONSTRUCTION **SECTION A - GENERAL** 1. Federal Domestic Assistance Catalog No. ...... 20.106 2. Functional or Other Breakout.....\_\_\_\_\_\_\_\_ **SECTION B -CALCULATION OF FEDERAL GRANT** Use only for revisions Total Cost Classification Latest Approved Adjustment Amount Amount + or (-) Required 1. Administration expense \$ \$ \$ 13,467.00 2. Preliminary expense 3. Land, structures, right-of-way 4. Architectural engineering basic fees 9,503.00 Other Architectural engineering fees 6. Project inspection fees 477,030.00

FAA Form 5100-100 (9-03) SUPERSEDES FAA FORM 5100-100 (6-73)

7. Land development

8. Relocation Expenses

10. Demolition and removal

14. Total (Lines 1 through 13)

17. Less: Ineligible Exclusions

18. Add: Contingencies

23. Grantee share

24. Other shares

15. Estimated Income (if applicable)

16. Net Project Amount (Line 14 minus 15)

19. Total Project Amt. (Excluding Rehabilitation Grants)

21. Add Rehabilitation Grants Requested (100 Percent)

20. Federal Share requested of Line 19 (90%)

22. Total Federal grant requested (lines 20 & 21)

25. Total Project (Lines 22, 23 & 24)

12. Equipment

13. Miscellaneous

11. Construction and project improvement

9. Relocation payments to Individuals and Businesses

2,200,000.00

2,700,000.00

2,700,000.00

2,700,000.00

2,430,000.00

2,430,000.00

\$ 2,700,000.00

270,000.00

\$

\$

		OWID NO. 2120-0303	
SECTION C - EXCLUS	IONS		
Classification	Ineligible for Participation (1)	Excluded From Contingency Provision (2)	
a.	\$	\$	
b.			
c.			
d.			
e.			
f.			
g. Totals	\$	\$	
SECTION D - PROPOSED METHOD OF FINAN	CING NON-FEDERAL SH	ARE	
27. Grantee Share		\$ 270,000.00	
a. Securities			
b. Mortgages			
c. Appropriations (By Applicant)			
d. Bonds	270,000.00		
e. Tax Levies			
f. Non Cash			
g. Other (Explain)			
h. TOTAL - Grantee share 270,00			
28. Other Shares			
a. State			
b. Other			
c. Total Other Shares			
29. TOTAL		\$ 270,000.00	
SECTION E - REMAR	RKS		
Grantee share will be paid with Airport revenues.			
PART IV PROGRAM NARRATIVE (Atta	ch - See Instructions)		

# PART IV PROGRAM NARRATIVE

(Suggested Format)

	NO. 2120-0569
PROJECT : Airfield Electrical System Replacement	
AIRPORT : Mid-Continent Airport	
1. Objective:	
Portions of the airfield electrical system are in need of replacement at Mid-Continent Airport. This project will replace callights, electrical equipment, guidance signage and reconstruct damaged electrical manholes.	oling, edge
2. Benefits Anticipated:	
The project will maintain a safe airfield to serve the aviation community.	
3. Approach : (See approved Scope of Work in Final Application)	
The project was designed in 2011 with construction to begin in 2012.	
4. Geographic Location:	
Wichita, Kansas	
5. If Applicable, Provide Additional Information:	
NA NA	
6. Sponsor's Representative: (include address & telephone number)	
Victor White, A.A.E.	
2173 Air Cargo Road	
Wichita, KS 67209	
316-946-4700	

# U.S. DEPARTMENT OF TRANSPORTATION FEDERAL AVIATION ADMINISTRATION

# AIRPORT IMPROVEMENT PROGRAM SPONSOR CERTIFICATION

## **DRUG-FREE WORKPLACE**

Wi	chita	Airport Authority	Mid-Continent	3-20	-0088-65	
		(Sponsor)	(Airport)		(Project	Number)
Po wil	rtion I rep		tem are in need of replacement at lectrical equipment, guidance signag			
spo the gra cei	onso Airp ant p rtify t	r that it will comply with the soort Improvement Program (a rograms are described in Tit hey will be, or will continue t	on 47105(d), authorizes the Secreta statutory and administrative required AIP). General requirements on the le 49, Code of Federal Regulations o provide, a drug-free workplace in the Specific assurances on the Drug-	ments in ca drug-free v , Part 29. S accordanc	arrying out vorkplace v Sponsors a e with the	a project under within Federal are required to regulation. The
ası	pect	of project implementation, a	marked not applicable (N/A), the list though it is not comprehensive, not ory and administrative standards.			
				Yes	No	N/A
1.	the use wor		tions to be taken against	$\boxtimes$		
2.		ongoing drug-free awareness ablished to inform employees				
	a. b. c.		n the workplace; ntaining a drug-free workplace; ng, rehabilitation, and employee			
	d.	The penalties that may be in abuse violations occurring in	nposed upon employees for drug at the workplace.			
3.		n or will be given a copy of th	the performance of the work has e statement required within item 1	$\boxtimes$		
4.	by i		notified in the statement required on employment under the grant,			
	a. b.	Abide by the terms of the sta Notify the employer in writing	g of his or her conviction for a tatute occurring in the workplace			

				Yes	No	N/A
5.	receiving no otherwise re convicted en	Il be notified in writing within tentice under item 4b above from a seciving actual notice of such comployees must provide notice, ite, to the FAA. Notices shall indicated grant.	an employee or onviction. Employers of ncluding position title of			
6.	of receiving employee w	ollowing actions will be taken w a notice under item 4b above w ho is so convicted: ppropriate personnel action aga	rith respect to any			
	up to a	nd including termination, consis ments of the Rehabilitation Act	tent with the			
	abuse purpos	e such employee to participate s assistance or rehabilitation prog es by Federal, State, or local he r appropriate agency.	ram approved for such			
7.		effort will be made to continue nrough implementation of items		$\boxtimes$		
add atta	dress, city, c achment. I reto. I certify	d documentation shown below ounty, state, zip code). There have prepared additional docu that, for the project identified achments are correct and com	are no such workplaces to imentation for any above in herein, responses to the f	hat are not tems mark orgoing ite	identified ed "no" an	below or in the dattached it
9	Street Address:	2173 Air Cargo Road				
	City:	Wichita				
	State:	KS				
	Zip code:	<b>550</b> 00	1			
		67209				
		67209	Wichita Airpor			
		67209	Wichita Airpor Name of Sponsor			
		67209	Name of Sponsor Signature of Spor	nsor's Designa	nted Official Re	⊋presentative
		67209	Name of Sponsor Signature of Spor Victor White,	nsor's Designa		
		67209	Name of Sponsor Signature of Spor	nsor's Designa A.A.E. onsor's Desig		
		67209	Name of Sponsor  Signature of Spor  Victor White,  Type Name of Sp	nsor's Designa A.A.E. onsor's Desig	nated Official	Representative
		67209	Signature of Spor Victor White, Type Name of Sp Director of Air	nsor's Designa A.A.E. onsor's Desig	nated Official	Representative

#### STANDARD DOT TITLE VI ASSURANCES

Wichita Airport Authority (hereinafter referred to as the Sponsor) hereby agrees that as a condition to receiving Federal financial assistance from the Department of Transportation (DOT), it will comply with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d et seq.) and all requirements imposed by 49 CFR Part 21, - Nondiscrimination in Federally Assisted Programs of the Department of Transportation -- Effectuation of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the "Regulations") to the end that no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the applicant receives Federal financial assistance and will immediately take any measures necessary to effectuate this agreement. Without limiting the above general assurance, the sponsor agrees concerning this grant that:

- 1. Each "program" and "facility" (as defined in Sections 21.23(e) and 21.23 (b)) will be conducted or operated in compliance with all requirements of the Regulations.
- 2. It will insert the clauses of Attachment 1 of this assurance in every contract subject to the Act and the Regulations.
- 3. Where Federal financial assistance is received to construct a facility, or part of a facility, the assurance shall extend to the entire facility and facilities operated in connection therewith.
- 4. Where Federal financial assistance is in the form or for the acquisition of real property or an interest in real property, the assurance shall extend to rights to space on, over, or under such property.
- 5. It will include the appropriate clauses set forth in Attachment 2 of this assurance, as a covenant running with the land, in any future deeds, leases, permits, licenses, and similar agreements entered into by the sponsor with other parties:
- (a) for the subsequent transfer of real property acquired or improved with Federal financial assistance under this Project; and
- (b) for the construction or use of or access to space on, over, or under real property acquired or improved with Federal financial assistance under this Project.
- 6. This assurance obligates the Sponsor for the period during which Federal financial assistance is extended to the program, except where the Federal financial assistance is to provide, or is in the form of personal property or real property or interest therein or structures or improvements thereon, in which case the assurance obligates the sponsor or any transferee for the longer of the following periods.
- (a) the period during which the property is used for a purpose for which Federal financial assistance is extended, or for another purpose involving the provision of similar services or benefits, or
  - (b) the period during which the sponsor retains ownership or possession of the property.

- 7. It will provide for such methods of administration for the program as are found by the Secretary of Transportation or the official to whom he delegates specific authority to give reasonable guarantee that it, other sponsors, subgrantees, contractors, subcontractors, transferees, successors in interest, and other participants or Federal financial assistance under such program will comply with all requirements imposed or pursuant to the Act, the Regulations, and this assurance.
- 8. It agrees that the United States has a right to seek judicial enforcement with regard to any matter arising under the Act, the Regulations, and this assurance.

THIS ASSURANCE is given in consideration of and for the purpose of obtaining Federal financial assistance for this Project and is binding on its contractors, the sponsor, subcontractors, transferees, successors in interest and other participants in the Project. The person or persons whose signatures appear below are authorized to sign this assurance on behalf of the Sponsor.

DATED 7-24-2012	
	Wichita Airport Authority (Sponsor)
	By(Signature of Authorized Official)

#### CONTRACTOR CONTRACTUAL REQUIREMENTS

#### **ATTACHMENT 1**

During the performance of this contract, the contractor, for itself, its assignees and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

- 1. **Compliance with Regulations**. The contractor shall comply with the Regulations relative to nondiscrimination in federally assisted programs of the Department of Transportation (hereinafter, "DOT") Title 49, Code of Federal Regulations, Part 21, as they may be amended from time to time (hereinafter referred to as the Regulations), which are herein incorporated by reference and made a part of this contract.
- 2. **Nondiscrimination**. The contractor, with regard to the work performed by it during the contract, shall not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor shall not participate either directly or indirectly in the discrimination prohibited by section 21.5 of the Regulations, including employment practices when the contract covers a program set forth in Appendix B of the Regulations.
- 3. Solicitations for Subcontracts, Including Procurements of Materials and Equipment. In all solicitations either by competitive bidding or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials or leases of equipment, each potential subcontractor or supplier shall be notified by the contractor of the contractor's obligations under this contract and the Regulations relative to nondiscrimination on the grounds of race, color, or national origin.
- 4. **Information and Reports**. The contractor shall provide all information and reports required by the Regulations or directives issued pursuant thereto and shall permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Sponsor or the Federal Aviation Administration (FAA) to be pertinent to ascertain compliance with such Regulations, orders, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish this information, the contractor shall so certify to the sponsor or the FAA, as appropriate, and shall set forth what efforts it has made to obtain the information.
- 5. **Sanctions for Noncompliance**. In the event of the contractor's noncompliance with the nondiscrimination provisions of this contract, the sponsor shall impose such contract sanctions as it or the FAA may determine to be appropriate, including, but not limited to:
- a. Withholding of payments to the contractor under the contract until the contractor complies, and/or
  - b. Cancellation, termination, or suspension of the contract, in whole or in part.
- 6. **Incorporation of Provisions**. The contractor shall include the provisions of paragraphs 1 through 5 in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Regulations or directives issued Pursuant thereto. The contractor shall take such action with respect to any subcontract or procurement as the sponsor or the FAA may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, however, that in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, the contractor may request the Sponsor to enter into such litigation to protect the interests of the sponsor and, in addition, the contractor may request the United States to enter into such litigation to protect the interests of the United States.

# CLAUSES FOR DEEDS, LICENSES, LEASES, PERMITS OR SIMILAR INSTRUMENTS

#### **ATTACHMENT 2**

The following clauses shall be included in deeds, licenses, leases, permits, or similar instruments entered into by the Sponsor pursuant to the provisions of Assurances 5(a) and 5(b).

- 1. The (grantee, licensee, lessee, permitee, etc., as appropriate) for himself, his heirs, personal representatives, successors in interest, and assigns, as a part of the consideration hereof, does hereby covenant and agree (in the case of deeds and leases add "as a covenant running with the land") that in the event facilities are constructed, maintained, or otherwise operated on the said property described in this (deed, license, lease, permit, etc.) for a purpose for which a DOT program or activity is extended or for another purpose involving the provision of similar services or benefits, the (grantee, licensee, lessee, permitee, etc.) shall maintain and operate such facilities and services in compliance with all other requirements imposed pursuant to 49 CFR Part 21, Nondiscrimination in Federally Assisted Programs of the Department of Transportation, and as said Regulations may be amended.
- 2. The (grantee, licensee, lessee, permitee, etc., as appropriate) for himself, his personal representatives, successors in interest, and assigns, as a part of the consideration hereof, does hereby covenant and agree (in the case of deeds and leases add "as a covenant running with the land") that: (1) no person on the grounds of race, color, or national origin shall be excluded from participation in, denied the benefits of, or be otherwise subjected to discrimination in the use of said facilities, (2) that in the construction of any improvements on, over, or under such land and the furnishing of services thereon, no person on the grounds of race, color, or national origin shall be excluded from participation in, denied the benefits of, or otherwise be subjected to discrimination, (3) that the (grantee, licensee, lessee, permitee, etc.) shall use the premises in compliance with all other requirements imposed by or pursuant to 49 CFR Part 21, Nondiscrimination in Federally Assisted Programs of the Department of Transportation, and as said Regulations may be amended.

# CERTIFICATE OF TITLE FAA Central Region

To: Manager, Safety and Standards Branch

Subject: <u>Mid-Continent Airport Airfield Electrical System Replacement</u>

AIP Project No. 3-20-0088-65

This certification is made to satisfy (check **both** if applicable):

Part II Section C.10 of the Grant Application (FAA Form 5100-100) for existing airport property

Grant conditions relative to satisfactory title evidence for land being acquired under this project

The <u>Wichita Airport Authority</u> (hereinafter referred to as the "Sponsor"), pursuant to Section 47105(d) of the Federal Aviation Administration Authorization Act of 1994 (and amendments), hereby certifies that satisfactory property interest to the land indicated herein is vested in the Sponsor, as required by obligations of the referenced Grant Agreement with the Federal Aviation Administration.

The Sponsor hereby certifies that it holds the quality of title described below, as of the date of the attorney's title opinion on which this certification is based.

Parcel Number (Per Exhibit A)	Quality of Interest (Fee, Easement*, etc.,)
1	Fee Simple
2	Fee Simple
3	Fee Simple
5	Fee Simple
6	Fee Simple
12	Fee Simple
14	Fee Simple
16	Fee Simple
17	Fee Simple
18	Fee Simple
20	Fee Simple
38	Fee Simple
54	Fee Simple
64	Fee Simple

Parcels must be listed. Avoid simply referencing the Exhibit A Property Map. Attach additional sheets as necessary.

Sponsor hereby certifies that the Sponsor or the Sponsor's attorney have reviewed, evaluated and subordinated to airport use where necessary, all encumbrances and that no outstanding encumbrances exist which might affect the maintenance, operation, or development of the airport.

Sponsor further certifies that if defects in the title require correction after acceptance of this Certificate of Title by the FAA, the Sponsor accepts full responsibility for clearing such defects, encumbrances, or exceptions at its own expense.

This Certificate of Title is based upon a current title opinion dated <u>May 30, 2001</u> by the sponsor's attorney, <u>Gary E.</u> Rebenstorf.

<sup>\*</sup>The Sponsor certifies that grantors of easements constitute all of the owners of the land affected by such easements, and they had such quality of title in and to such land as to enable them to convey the interest purported to be conveyed in and by the easements granted. No other interests or rights exist which are incompatible with or would interfere with the exercise and enjoyment by the Sponsor of the rights and interests conveyed.

Sponsor certifies that the title opinion referenced above corresponds with the "Exhibit A" airport property map dated <u>April 17, 2007</u>, AIP project number <u>3-20-0088-57</u>. Although specific title evidence documents are not submitted herewith, copies of deeds and other appropriate evidence of title for the land are on file with the Sponsor and are available for inspection by the FAA.

It is understood that the FAA reserves the right to require additional information at any time.

Wichita Airport Authority	
Name of Sponsor	
	<u>Director of Airports</u>
Signature and Title of Sponsor Official	al Authorized to Sign Grant Agreement
Date	

# **ASSURANCES Airport Sponsors**

#### A. General.

- 1. These assurances shall be complied with in the performance of grant agreements for airport development, airport planning, and noise compatibility program grants for airport sponsors.
- 2. These assurances are required to be submitted as part of the project application by sponsors requesting funds under the provisions of Title 49, U.S.C., subtitle VII, as amended. As used herein, the term "public agency sponsor" means a public agency with control of a public-use airport; the term "private sponsor" means a private owner of a public-use airport; and the term "sponsor" includes both public agency sponsors and private sponsors.
- **3.** Upon acceptance of this grant offer by the sponsor, these assurances are incorporated in and become part of this grant agreement.

# B. Duration and Applicability.

- 1. Airport Development or Noise Compatibility Program Projects Undertaken by a Public Agency Sponsor. The terms, conditions and assurances of the grant agreement shall remain in full force and effect throughout the useful life of the facilities developed or equipment acquired for an airport development or noise compatibility program project, or throughout the useful life of the project items installed within a facility under a noise compatibility program project, but in any event not to exceed twenty (20) years from the date of acceptance of a grant offer of Federal funds for the project. However, there shall be no limit on the duration of the assurances regarding Exclusive Rights and Airport Revenue so long as the airport is used as an airport. There shall be no limit on the duration of the terms, conditions, and assurances with respect to real property acquired with Federal funds. Furthermore, the duration of the Civil Rights assurance shall be specified in the assurances.
- 2. Airport Development or Noise Compatibility Projects Undertaken by a Private Sponsor. The preceding paragraph 1 also applies to a private sponsor except that the useful life of project items installed within a facility or the useful life of the facilities developed or equipment acquired under an airport development or noise compatibility program project shall be no less than ten (10) years from the date of acceptance of Federal aid for the project.
- **3. Airport Planning Undertaken by a Sponsor.** Unless otherwise specified in the grant agreement, only Assurances 1, 2, 3, 5, 6, 13, 18, 30, 32, 33, and 34 in section C apply to planning projects. The terms, conditions, and assurances of the grant agreement shall remain in full force and effect during the life of the project.
- C. **Sponsor Certification.** The sponsor hereby assures and certifies, with respect to this grant that:

1. General Federal Requirements. It will comply with all applicable Federal laws, regulations, executive orders, policies, guidelines, and requirements as they relate to the application, acceptance and use of Federal funds for this project including but not limited to the following:

### **Federal Legislation**

- a. Title 49, U.S.C., subtitle VII, as amended.
- b. Davis-Bacon Act 40 U.S.C. 276(a), et seq. 1
- c. Federal Fair Labor Standards Act 29 U.S.C. 201, et seq.
- d. Hatch Act 5 U.S.C. 1501, et seq.<sup>2</sup>
- e. Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 Title 42 U.S.C. 4601, et seq. 12
- f. National Historic Preservation Act of 1966 Section 106 16 U.S.C. 470(f).
- g. Archeological and Historic Preservation Act of 1974 16 U.S.C. 469 through 469c.<sup>1</sup>
- h. Native Americans Grave Repatriation Act 25 U.S.C. Section 3001, <u>et seq.</u>
- i. Clean Air Act, P.L. 90-148, as amended.
- j. Coastal Zone Management Act, P.L. 93-205, as amended.
- k. Flood Disaster Protection Act of 1973 Section 102(a) 42 U.S.C. 4012a.<sup>1</sup>
- 1. Title 49, U.S.C., Section 303, (formerly known as Section 4(f))
- m. Rehabilitation Act of 1973 29 U.S.C. 794.
- n. Civil Rights Act of 1964 Title VI 42 U.S.C. 2000d through d-4.
- o. Age Discrimination Act of 1975 42 U.S.C. 6101, et seg.
- p. American Indian Religious Freedom Act, P.L. 95-341, as amended.
- q. Architectural Barriers Act of 1968 42 U.S.C. 4151, et seq.
- r. Power Plant and Industrial Fuel Use Act of 1978 Section 403- 2 U.S.C. 8373.<sup>1</sup>
- s. Contract Work Hours and Safety Standards Act 40 U.S.C. 327, et seq. <sup>1</sup>
- t. Copeland Anti Kickback Act 18 U.S.C. 874.1
- u. National Environmental Policy Act of 1969 42 U.S.C. 4321, et seq. <sup>1</sup>
- v. Wild and Scenic Rivers Act, P.L. 90-542, as amended.
- w. Single Audit Act of 1984 31 U.S.C. 7501, et seq.<sup>2</sup>
- x. Drug-Free Workplace Act of 1988 41 U.S.C. 702 through 706.

#### **Executive Orders**

Executive Order 11246 - Equal Employment Opportunity<sup>1</sup>

Executive Order 11990 - Protection of Wetlands

Executive Order 11988 – Flood Plain Management

Executive Order 12372 - Intergovernmental Review of Federal Programs

Executive Order 12699 - Seismic Safety of Federal and Federally Assisted New Building Construction<sup>1</sup>

Executive Order 12898 - Environmental Justice

#### **Federal Regulations**

- a. 14 CFR Part 13 Investigative and Enforcement Procedures.
- b. 14 CFR Part 16 Rules of Practice For Federally Assisted Airport Enforcement Proceedings.
- c. 14 CFR Part 150 Airport noise compatibility planning.
- d. 29 CFR Part 1 Procedures for predetermination of wage rates.<sup>1</sup>
- e. 29 CFR Part 3 Contractors and subcontractors on public building or public work financed in whole or part by loans or grants from the United States.<sup>1</sup>
- f. 29 CFR Part 5 Labor standards provisions applicable to contracts covering federally financed and assisted construction (also labor standards provisions applicable to non-construction contracts subject to the Contract Work Hours and Safety Standards Act).<sup>1</sup>
- g. 41 CFR Part 60 Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor (Federal and federally assisted contracting requirements).<sup>1</sup>
- h. 49 CFR Part 18 Uniform administrative requirements for grants and cooperative agreements to state and local governments.<sup>3</sup>
- i. 49 CFR Part 20 New restrictions on lobbying.
- 49 CFR Part 21 Nondiscrimination in federally-assisted programs of the Department of Transportation - effectuation of Title VI of the Civil Rights Act of 1964.
- k. 49 CFR Part 23 Participation by Disadvantaged Business Enterprise in Airport Concessions.
- 1. 49 CFR Part 24 Uniform relocation assistance and real property acquisition for Federal and federally assisted programs. 12
- m. 49 CFR Part 26 Participation by Disadvantaged Business Enterprises in Department of Transportation Programs.
- n. 49 CFR Part 27 Nondiscrimination on the basis of handicap in programs and activities receiving or benefiting from Federal financial assistance.<sup>1</sup>
- o. 49 CFR Part 29 Government wide debarment and suspension (nonprocurement) and government wide requirements for drug-free workplace (grants).
- p. 49 CFR Part 30 Denial of public works contracts to suppliers of goods and services of countries that deny procurement market access to U.S. contractors.
- q. 49 CFR Part 41 Seismic safety of Federal and federally assisted or regulated new building construction. <sup>1</sup>

## Office of Management and Budget (OMB) Circulars

- a. A-87 Cost Principles Applicable to Grants and Contracts with State and Local Governments.
- b. A-133 Audits of States, Local Governments, and Non-Profit Organizations
  - These laws do not apply to airport planning sponsors.

<sup>2</sup> These laws do not apply to private sponsors.

<sup>3</sup> 49 CFR Part 18 and OMB Circular A-87 contain requirements for State and Local Governments receiving Federal assistance. Any requirement levied upon State and Local Governments by this regulation and circular shall also be applicable to private sponsors receiving Federal assistance under Title 49, United States Code.

Specific assurances required to be included in grant agreements by any of the above laws, regulations or circulars are incorporated by reference in this grant agreement.

## 2. Responsibility and Authority of the Sponsor.

- a. **Public Agency Sponsor:** It has legal authority to apply for the grant, and to finance and carry out the proposed project; that a resolution, motion or similar action has been duly adopted or passed as an official act of the applicant's governing body authorizing the filing of the application, including all understandings and assurances contained therein, and directing and authorizing the person identified as the official representative of the applicant to act in connection with the application and to provide such additional information as may be required.
- b. **Private Sponsor:** It has legal authority to apply for the grant and to finance and carry out the proposed project and comply with all terms, conditions, and assurances of this grant agreement. It shall designate an official representative and shall in writing direct and authorize that person to file this application, including all understandings and assurances contained therein; to act in connection with this application; and to provide such additional information as may be required.
- 3. Sponsor Fund Availability. It has sufficient funds available for that portion of the project costs which are not to be paid by the United States. It has sufficient funds available to assure operation and maintenance of items funded under this grant agreement which it will own or control.

#### 4. Good Title.

- a. It, a public agency or the Federal government, holds good title, satisfactory to the Secretary, to the landing area of the airport or site thereof, or will give assurance satisfactory to the Secretary that good title will be acquired.
- b. For noise compatibility program projects to be carried out on the property of the sponsor, it holds good title satisfactory to the Secretary to that portion of the property upon which Federal funds will be expended or will give assurance to the Secretary that good title will be obtained.

#### 5. Preserving Rights and Powers.

a. It will not take or permit any action which would operate to deprive it of any of the rights and powers necessary to perform any or all of the terms, conditions, and assurances in the grant agreement without the written approval of the Secretary, and will act promptly to acquire, extinguish or

- modify any outstanding rights or claims of right of others which would interfere with such performance by the sponsor. This shall be done in a manner acceptable to the Secretary.
- b. It will not sell, lease, encumber, or otherwise transfer or dispose of any part of its title or other interests in the property shown on Exhibit A to this application or, for a noise compatibility program project, that portion of the property upon which Federal funds have been expended, for the duration of the terms, conditions, and assurances in this grant agreement without approval by the Secretary. If the transferee is found by the Secretary to be eligible under Title 49, United States Code, to assume the obligations of the grant agreement and to have the power, authority, and financial resources to carry out all such obligations, the sponsor shall insert in the contract or document transferring or disposing of the sponsor's interest, and make binding upon the transferee all of the terms, conditions, and assurances contained in this grant agreement.
- c. For all noise compatibility program projects which are to be carried out by another unit of local government or are on property owned by a unit of local government other than the sponsor, it will enter into an agreement with that government. Except as otherwise specified by the Secretary, that agreement shall obligate that government to the same terms, conditions, and assurances that would be applicable to it if it applied directly to the FAA for a grant to undertake the noise compatibility program project. That agreement and changes thereto must be satisfactory to the Secretary. It will take steps to enforce this agreement against the local government if there is substantial non-compliance with the terms of the agreement.
- d. For noise compatibility program projects to be carried out on privately owned property, it will enter into an agreement with the owner of that property which includes provisions specified by the Secretary. It will take steps to enforce this agreement against the property owner whenever there is substantial non-compliance with the terms of the agreement.
- e. If the sponsor is a private sponsor, it will take steps satisfactory to the Secretary to ensure that the airport will continue to function as a publicuse airport in accordance with these assurances for the duration of these assurances.
- f. If an arrangement is made for management and operation of the airport by any agency or person other than the sponsor or an employee of the sponsor, the sponsor will reserve sufficient rights and authority to ensure that the airport will be operated and maintained in accordance with Title 49, United States Code, the regulations and the terms, conditions and assurances in the grant agreement and shall ensure that such arrangement also requires compliance therewith.
- g. It will not permit or enter into any arrangement that results in permission for the owner or tenant of a property used as a residence, or zoned for residential use, to taxi an aircraft between that property and any location on airport.

- **Consistency with Local Plans.** The project is reasonably consistent with plans (existing at the time of submission of this application) of public agencies that are authorized by the State in which the project is located to plan for the development of the area surrounding the airport.
- 7. Consideration of Local Interest. It has given fair consideration to the interest of communities in or near where the project may be located.
- **8. Consultation with Users.** In making a decision to undertake any airport development project under Title 49, United States Code, it has undertaken reasonable consultations with affected parties using the airport at which the project is proposed.
- 9. Public Hearings. In projects involving the location of an airport, an airport runway, or a major runway extension, it has afforded the opportunity for public hearings for the purpose of considering the economic, social, and environmental effects of the airport or runway location and its consistency with goals and objectives of such planning as has been carried out by the community and it shall, when requested by the Secretary, submit a copy of the transcript of such hearings to the Secretary. Further, for such projects, it has on its management board either voting representation from the communities where the project is located or has advised the communities that they have the right to petition the Secretary concerning a proposed project.
- 10. Air and Water Quality Standards. In projects involving airport location, a major runway extension, or runway location it will provide for the Governor of the state in which the project is located to certify in writing to the Secretary that the project will be located, designed, constructed, and operated so as to comply with applicable air and water quality standards. In any case where such standards have not been approved and where applicable air and water quality standards have been promulgated by the Administrator of the Environmental Protection Agency, certification shall be obtained from such Administrator. Notice of certification or refusal to certify shall be provided within sixty (60) days after the project application has been received by the Secretary.
- 11. Pavement Preventive Maintenance. With respect to a project approved after January 1, 1995, for the replacement or reconstruction of pavement at the airport, it assures or certifies that it has implemented an effective airport pavement maintenance-management program and it assures that it will use such program for the useful life of any pavement constructed, reconstructed or repaired with Federal financial assistance at the airport. It will provide such reports on pavement condition and pavement management programs as the Secretary determines may be useful.
- 12. Terminal Development Prerequisites. For projects which include terminal development at a public use airport, as defined in Title 49, it has, on the date of submittal of the project grant application, all the safety equipment required for certification of such airport under section 44706 of Title 49, United States Code, and all the security equipment required by rule or regulation, and has provided for

access to the passenger enplaning and deplaning area of such airport to passengers enplaning and deplaning from aircraft other than air carrier aircraft.

# 13. Accounting System, Audit, and Record Keeping Requirements.

- a. It shall keep all project accounts and records which fully disclose the amount and disposition by the recipient of the proceeds of the grant, the total cost of the project in connection with which the grant is given or used, and the amount or nature of that portion of the cost of the project supplied by other sources, and such other financial records pertinent to the project. The accounts and records shall be kept in accordance with an accounting system that will facilitate an effective audit in accordance with the Single Audit Act of 1984.
- b. It shall make available to the Secretary and the Comptroller General of the United States, or any of their duly authorized representatives, for the purpose of audit and examination, any books, documents, papers, and records of the recipient that are pertinent to the grant. The Secretary may require that an appropriate audit be conducted by a recipient. In any case in which an independent audit is made of the accounts of a sponsor relating to the disposition of the proceeds of a grant or relating to the project in connection with which the grant was given or used, it shall file a certified copy of such audit with the Comptroller General of the United States not later than six (6) months following the close of the fiscal year for which the audit was made.
- 14. Minimum Wage Rates. It shall include, in all contracts in excess of \$2,000 for work on any projects funded under the grant agreement which involve labor, provisions establishing minimum rates of wages, to be predetermined by the Secretary of Labor, in accordance with the Davis-Bacon Act, as amended (40 U.S.C. 276a-276a-5), which contractors shall pay to skilled and unskilled labor, and such minimum rates shall be stated in the invitation for bids and shall be included in proposals or bids for the work.
- 15. Veteran's Preference. It shall include in all contracts for work on any project funded under the grant agreement which involve labor, such provisions as are necessary to insure that, in the employment of labor (except in executive, administrative, and supervisory positions), preference shall be given to Veterans of the Vietnam era and disabled veterans as defined in Section 47112 of Title 49, United States Code. However, this preference shall apply only where the individuals are available and qualified to perform the work to which the employment relates.
- 16. Conformity to Plans and Specifications. It will execute the project subject to plans, specifications, and schedules approved by the Secretary. Such plans, specifications, and schedules shall be submitted to the Secretary prior to commencement of site preparation, construction, or other performance under this grant agreement, and, upon approval of the Secretary, shall be incorporated into this grant agreement. Any modification to the approved plans, specifications, and

schedules shall also be subject to approval of the Secretary, and incorporated into the grant agreement.

17. Construction Inspection and Approval. It will provide and maintain competent technical supervision at the construction site throughout the project to assure that the work conforms to the plans, specifications, and schedules approved by the Secretary for the project. It shall subject the construction work on any project contained in an approved project application to inspection and approval by the Secretary and such work shall be in accordance with regulations and procedures prescribed by the Secretary. Such regulations and procedures shall require such cost and progress reporting by the sponsor or sponsors of such project as the Secretary shall deem necessary.

### **18. Planning Projects.** In carrying out planning projects:

- a. It will execute the project in accordance with the approved program narrative contained in the project application or with the modifications similarly approved.
- b. It will furnish the Secretary with such periodic reports as required pertaining to the planning project and planning work activities.
- c. It will include in all published material prepared in connection with the planning project a notice that the material was prepared under a grant provided by the United States.
- d. It will make such material available for examination by the public, and agrees that no material prepared with funds under this project shall be subject to copyright in the United States or any other country.
- e. It will give the Secretary unrestricted authority to publish, disclose, distribute, and otherwise use any of the material prepared in connection with this grant.
- f. It will grant the Secretary the right to disapprove the sponsor's employment of specific consultants and their subcontractors to do all or any part of this project as well as the right to disapprove the proposed scope and cost of professional services.
- g. It will grant the Secretary the right to disapprove the use of the sponsor's employees to do all or any part of the project.
- h. It understands and agrees that the Secretary's approval of this project grant or the Secretary's approval of any planning material developed as part of this grant does not constitute or imply any assurance or commitment on the part of the Secretary to approve any pending or future application for a Federal airport grant.

# 19. Operation and Maintenance.

a. The airport and all facilities which are necessary to serve the aeronautical users of the airport, other than facilities owned or controlled by the United States, shall be operated at all times in a safe and serviceable condition and in accordance with the minimum standards as may be required or prescribed by applicable Federal, state and local agencies for maintenance and operation. It will not cause or permit any activity or action thereon

which would interfere with its use for airport purposes. It will suitably operate and maintain the airport and all facilities thereon or connected therewith, with due regard to climatic and flood conditions. Any proposal to temporarily close the airport for non-aeronautical purposes must first be approved by the Secretary. In furtherance of this assurance, the sponsor will have in effect arrangements for-

- (1) Operating the airport's aeronautical facilities whenever required;
- (2) Promptly marking and lighting hazards resulting from airport conditions, including temporary conditions; and
- (3) Promptly notifying airmen of any condition affecting aeronautical use of the airport. Nothing contained herein shall be construed to require that the airport be operated for aeronautical use during temporary periods when snow, flood or other climatic conditions interfere with such operation and maintenance. Further, nothing herein shall be construed as requiring the maintenance, repair, restoration, or replacement of any structure or facility which is substantially damaged or destroyed due to an act of God or other condition or circumstance beyond the control of the sponsor.
- b. It will suitably operate and maintain noise compatibility program items that it owns or controls upon which Federal funds have been expended.
- **20. Hazard Removal and Mitigation.** It will take appropriate action to assure that such terminal airspace as is required to protect instrument and visual operations to the airport (including established minimum flight altitudes) will be adequately cleared and protected by removing, lowering, relocating, marking, or lighting or otherwise mitigating existing airport hazards and by preventing the establishment or creation of future airport hazards.
- 21. Compatible Land Use. It will take appropriate action, to the extent reasonable, including the adoption of zoning laws, to restrict the use of land adjacent to or in the immediate vicinity of the airport to activities and purposes compatible with normal airport operations, including landing and takeoff of aircraft. In addition, if the project is for noise compatibility program implementation, it will not cause or permit any change in land use, within its jurisdiction, that will reduce its compatibility, with respect to the airport, of the noise compatibility program measures upon which Federal funds have been expended.

#### 22. Economic Nondiscrimination.

- a. It will make the airport available as an airport for public use on reasonable terms and without unjust discrimination to all types, kinds and classes of aeronautical activities, including commercial aeronautical activities offering services to the public at the airport.
- b. In any agreement, contract, lease, or other arrangement under which a right or privilege at the airport is granted to any person, firm, or corporation to conduct or to engage in any aeronautical activity for furnishing services to the public at the airport, the sponsor will insert and enforce provisions requiring the contractor to-

- (1) furnish said services on a reasonable, and not unjustly discriminatory, basis to all users thereof, and
- (2) charge reasonable, and not unjustly discriminatory, prices for each unit or service, provided that the contractor may be allowed to make reasonable and nondiscriminatory discounts, rebates, or other similar types of price reductions to volume purchasers.
- c. Each fixed-based operator at the airport shall be subject to the same rates, fees, rentals, and other charges as are uniformly applicable to all other fixed-based operators making the same or similar uses of such airport and utilizing the same or similar facilities.
- d. Each air carrier using such airport shall have the right to service itself or to use any fixed-based operator that is authorized or permitted by the airport to serve any air carrier at such airport.
- e. Each air carrier using such airport (whether as a tenant, non tenant, or subtenant of another air carrier tenant) shall be subject to such nondiscriminatory and substantially comparable rules, regulations, conditions, rates, fees, rentals, and other charges with respect to facilities directly and substantially related to providing air transportation as are applicable to all such air carriers which make similar use of such airport and utilize similar facilities, subject to reasonable classifications such as tenants or non tenants and signatory carriers and non signatory carriers. Classification or status as tenant or signatory shall not be unreasonably withheld by any airport provided an air carrier assumes obligations substantially similar to those already imposed on air carriers in such classification or status.
- f. It will not exercise or grant any right or privilege which operates to prevent any person, firm, or corporation operating aircraft on the airport from performing any services on its own aircraft with its own employees [including, but not limited to maintenance, repair, and fueling] that it may choose to perform.
- g. In the event the sponsor itself exercises any of the rights and privileges referred to in this assurance, the services involved will be provided on the same conditions as would apply to the furnishing of such services by commercial aeronautical service providers authorized by the sponsor under these provisions.
- h. The sponsor may establish such reasonable, and not unjustly discriminatory, conditions to be met by all users of the airport as may be necessary for the safe and efficient operation of the airport.
- i. The sponsor may prohibit or limit any given type, kind or class of aeronautical use of the airport if such action is necessary for the safe operation of the airport or necessary to serve the civil aviation needs of the public.
- **23. Exclusive Rights.** It will permit no exclusive right for the use of the airport by any person providing, or intending to provide, aeronautical services to the public. For purposes of this paragraph, the providing of the services at an airport by a

single fixed-based operator shall not be construed as an exclusive right if both of the following apply:

- a. It would be unreasonably costly, burdensome, or impractical for more than one fixed-based operator to provide such services, and
- b. If allowing more than one fixed-based operator to provide such services would require the reduction of space leased pursuant to an existing agreement between such single fixed-based operator and such airport.
  It further agrees that it will not, either directly or indirectly, grant or permit any person, firm, or corporation, the exclusive right at the airport to conduct any aeronautical activities, including, but not limited to charter flights, pilot training, aircraft rental and sightseeing, aerial photography, crop dusting, aerial advertising and surveying, air carrier operations, aircraft sales and services, sale of aviation petroleum products whether or not conducted in conjunction with other aeronautical activity, repair and maintenance of aircraft, sale of aircraft parts, and any other activities which because of their direct relationship to the operation of

aircraft can be regarded as an aeronautical activity, and that it will terminate any exclusive right to conduct an aeronautical activity now existing at such an airport

**24. Fee and Rental Structure.** It will maintain a fee and rental structure for the facilities and services at the airport which will make the airport as self-sustaining as possible under the circumstances existing at the particular airport, taking into account such factors as the volume of traffic and economy of collection. No part of the Federal share of an airport development, airport planning or noise compatibility project for which a grant is made under Title 49, United States Code, the Airport and Airway Improvement Act of 1982, the Federal Airport Act or the Airport and Airway Development Act of 1970 shall be included in the rate basis in establishing fees, rates, and charges for users of that airport.

before the grant of any assistance under Title 49. United States Code.

# 25. Airport Revenues.

- All revenues generated by the airport and any local taxes on aviation fuel a. established after December 30, 1987, will be expended by it for the capital or operating costs of the airport; the local airport system; or other local facilities which are owned or operated by the owner or operator of the airport and which are directly and substantially related to the actual air transportation of passengers or property; or for noise mitigation purposes on or off the airport. Provided, however, that if covenants or assurances in debt obligations issued before September 3, 1982, by the owner or operator of the airport, or provisions enacted before September 3, 1982, in governing statutes controlling the owner or operator's financing, provide for the use of the revenues from any of the airport owner or operator's facilities, including the airport, to support not only the airport but also the airport owner or operator's general debt obligations or other facilities, then this limitation on the use of all revenues generated by the airport (and, in the case of a public airport, local taxes on aviation fuel) shall not apply.
- b. As part of the annual audit required under the Single Audit Act of 1984, the sponsor will direct that the audit will review, and the resulting audit

- report will provide an opinion concerning, the use of airport revenue and taxes in paragraph (a), and indicating whether funds paid or transferred to the owner or operator are paid or transferred in a manner consistent with Title 49, United States Code and any other applicable provision of law, including any regulation promulgated by the Secretary or Administrator.
- c. Any civil penalties or other sanctions will be imposed for violation of this assurance in accordance with the provisions of Section 47107 of Title 49, United States Code.

### **26. Reports and Inspections.** It will:

- a. submit to the Secretary such annual or special financial and operations reports as the Secretary may reasonably request and make such reports available to the public; make available to the public at reasonable times and places a report of the airport budget in a format prescribed by the Secretary;
- b. for airport development projects, make the airport and all airport records and documents affecting the airport, including deeds, leases, operation and use agreements, regulations and other instruments, available for inspection by any duly authorized agent of the Secretary upon reasonable request;
- c. for noise compatibility program projects, make records and documents relating to the project and continued compliance with the terms, conditions, and assurances of the grant agreement including deeds, leases, agreements, regulations, and other instruments, available for inspection by any duly authorized agent of the Secretary upon reasonable request; and
- d. in a format and time prescribed by the Secretary, provide to the Secretary and make available to the public following each of its fiscal years, an annual report listing in detail:
  - 1) all amounts paid by the airport to any other unit of government and the purposes for which each such payment was made; and
  - 2) all services and property provided by the airport to other units of government and the amount of compensation received for provision of each such service and property.
- 27. Use by Government Aircraft. It will make available all of the facilities of the airport developed with Federal financial assistance and all those usable for landing and takeoff of aircraft to the United States for use by Government aircraft in common with other aircraft at all times without charge, except, if the use by Government aircraft is substantial, charge may be made for a reasonable share, proportional to such use, for the cost of operating and maintaining the facilities used. Unless otherwise determined by the Secretary, or otherwise agreed to by the sponsor and the using agency, substantial use of an airport by Government aircraft will be considered to exist when operations of such aircraft are in excess of those which, in the opinion of the Secretary, would unduly interfere with use of the landing areas by other authorized aircraft, or during any calendar month that
  - a. Five (5) or more Government aircraft are regularly based at the airport or on land adjacent thereto; or

- b. The total number of movements (counting each landing as a movement) of Government aircraft is 300 or more, or the gross accumulative weight of Government aircraft using the airport (the total movement of Government aircraft multiplied by gross weights of such aircraft) is in excess of five million pounds.
- 28. Land for Federal Facilities. It will furnish without cost to the Federal Government for use in connection with any air traffic control or air navigation activities, or weather-reporting and communication activities related to air traffic control, any areas of land or water, or estate therein, or rights in buildings of the sponsor as the Secretary considers necessary or desirable for construction, operation, and maintenance at Federal expense of space or facilities for such purposes. Such areas or any portion thereof will be made available as provided herein within four months after receipt of a written request from the Secretary.

# 29. Airport Layout Plan.

- It will keep up to date at all times an airport layout plan of the airport showing (1) boundaries of the airport and all proposed additions thereto, together with the boundaries of all offsite areas owned or controlled by the sponsor for airport purposes and proposed additions thereto; (2) the location and nature of all existing and proposed airport facilities and structures (such as runways, taxiways, aprons, terminal buildings, hangars and roads), including all proposed extensions and reductions of existing airport facilities; and (3) the location of all existing and proposed nonaviation areas and of all existing improvements thereon. Such airport layout plans and each amendment, revision, or modification thereof, shall be subject to the approval of the Secretary which approval shall be evidenced by the signature of a duly authorized representative of the Secretary on the face of the airport layout plan. The sponsor will not make or permit any changes or alterations in the airport or any of its facilities which are not in conformity with the airport layout plan as approved by the Secretary and which might, in the opinion of the Secretary, adversely affect the safety, utility or efficiency of the airport.
- b. If a change or alteration in the airport or the facilities is made which the Secretary determines adversely affects the safety, utility, or efficiency of any federally owned, leased, or funded property on or off the airport and which is not in conformity with the airport layout plan as approved by the Secretary, the owner or operator will, if requested, by the Secretary (1) eliminate such adverse effect in a manner approved by the Secretary; or (2) bear all costs of relocating such property (or replacement thereof) to a site acceptable to the Secretary and all costs of restoring such property (or replacement thereof) to the level of safety, utility, efficiency, and cost of operation existing before the unapproved change in the airport or its facilities.
- **30. Civil Rights.** It will comply with such rules as are promulgated to assure that no person shall, on the grounds of race, creed, color, national origin, sex, age, or handicap be excluded from participating in any activity conducted with or

benefiting from funds received from this grant. This assurance obligates the sponsor for the period during which Federal financial assistance is extended to the program, except where Federal financial assistance is to provide, or is in the form of personal property or real property or interest therein or structures or improvements thereon in which case the assurance obligates the sponsor or any transferee for the longer of the following periods: (a) the period during which the property is used for a purpose for which Federal financial assistance is extended, or for another purpose involving the provision of similar services or benefits, or (b) the period during which the sponsor retains ownership or possession of the property.

### 31. Disposal of Land.

- a. For land purchased under a grant for airport noise compatibility purposes, it will dispose of the land, when the land is no longer needed for such purposes, at fair market value, at the earliest practicable time. That portion of the proceeds of such disposition which is proportionate to the United States' share of acquisition of such land will, at the discretion of the Secretary, (1) be paid to the Secretary for deposit in the Trust Fund, or (2) be reinvested in an approved noise compatibility project as prescribed by the Secretary, including the purchase of nonresidential buildings or property in the vicinity of residential buildings or property previously purchased by the airport as part of a noise compatibility program.
- b. For land purchased under a grant for airport development purposes (other than noise compatibility), it will, when the land is no longer needed for airport purposes, dispose of such land at fair market value or make available to the Secretary an amount equal to the United States' proportionate share of the fair market value of the land. That portion of the proceeds of such disposition which is proportionate to the United States' share of the cost of acquisition of such land will, (1) upon application to the Secretary, be reinvested in another eligible airport improvement project or projects approved by the Secretary at that airport or within the national airport system, or (2) be paid to the Secretary for deposit in the Trust Fund if no eligible project exists.
- c. Land shall be considered to be needed for airport purposes under this assurance if (1) it may be needed for aeronautical purposes (including runway protection zones) or serve as noise buffer land, and (2) the revenue from interim uses of such land contributes to the financial self-sufficiency of the airport. Further, land purchased with a grant received by an airport operator or owner before December 31, 1987, will be considered to be needed for airport purposes if the Secretary or Federal agency making such grant before December 31, 1987, was notified by the operator or owner of the uses of such land, did not object to such use, and the land continues to be used for that purpose, such use having commenced no later than December 15, 1989.
- d. Disposition of such land under (a) (b) or (c) will be subject to the retention or reservation of any interest or right therein necessary to ensure that such

land will only be used for purposes which are compatible with noise levels associated with operation of the airport.

- 32. Engineering and Design Services. It will award each contract, or sub-contract for program management, construction management, planning studies, feasibility studies, architectural services, preliminary engineering, design, engineering, surveying, mapping or related services with respect to the project in the same manner as a contract for architectural and engineering services is negotiated under Title IX of the Federal Property and Administrative Services Act of 1949 or an equivalent qualifications-based requirement prescribed for or by the sponsor of the airport.
- **33. Foreign Market Restrictions.** It will not allow funds provided under this grant to be used to fund any project which uses any product or service of a foreign country during the period in which such foreign country is listed by the United States Trade Representative as denying fair and equitable market opportunities for products and suppliers of the United States in procurement and construction.
- **34. Policies, Standards, and Specifications.** It will carry out the project in accordance with policies, standards, and specifications approved by the Secretary including but not limited to the advisory circulars listed in the Current FAA Advisory Circulars for AIP projects, dated \_\_\_\_\_\_ and included in this grant, and in accordance with applicable state policies, standards, and specifications approved by the Secretary.
- 35. Relocation and Real Property Acquisition. (1) It will be guided in acquiring real property, to the greatest extent practicable under State law, by the land acquisition policies in Subpart B of 49 CFR Part 24 and will pay or reimburse property owners for necessary expenses as specified in Subpart B. (2) It will provide a relocation assistance program offering the services described in Subpart C and fair and reasonable relocation payments and assistance to displaced persons as required in Subpart D and E of 49 CFR Part 24. (3) It will make available within a reasonable period of time prior to displacement, comparable replacement dwellings to displaced persons in accordance with Subpart E of 49 CFR Part 24.
- **36. Access By Intercity Buses**. The airport owner or operator will permit, to the maximum extent practicable, intercity buses or other modes of transportation to have access to the airport; however, it has no obligation to fund special facilities for intercity buses or for other modes of transportation.
- 37. Disadvantaged Business Enterprises. The recipient shall not discriminate on the basis of race, color, national origin or sex in the award and performance of any DOT-assisted contract or in the administration of its DBE program or the requirements of 49 CFR Part 26. The Recipient shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure non discrimination in the award and administration of DOT-assisted contracts. The recipient's DBE program, as required by 49 CFR Part 26, and as approved by DOT, is incorporated by reference in this agreement. Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the Recipient of its failure to carry out its approved program,

- the Department may impose sanctions as provided for under Part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801).
- **38. Hangar Construction.** If the airport owner or operator and a person who owns an aircraft agree that a hangar is to be constructed at the airport for the aircraft at the aircraft owner's expense, the airport owner or operator will grant to the aircraft owner for the hangar a long term lease that is subject to such terms and conditions on the hangar as the airport owner or operator may impose.

# 39. Competitive Access.

- a. If the airport owner or operator of a medium or large hub airport (as defined in Section 47102 of Title 49, U.S.C.) has been unable to accommodate one or more requests by an air carrier for access to gates or other facilities at that airport in order to allow the air carrier to provide service to the airport or to expand service at the airport, the airport owner or operator shall transmit a report to the Secretary that-
  - (1) Describes the requests;
  - (2) Provides an explanation as to why the requests could not be accommodated; and
  - (3) Provides a time frame within which, if any, the airport will be able to accommodate the requests.
- b. Such report shall be due on either February 1 or August 1 of each year if the airport has been unable to accommodate the request(s) in the six (6) month period prior to the applicable due date.

Wichita, Kansas July 23, 2012 10:00 a.m., Monday Conference Room, 12th Floor

# MINUTES - BOARD OF BIDS AND CONTRACTS\*

The Board of Bids and Contracts met with Martha Strayer, Administrative Assistant, Public Works Engineering in the Chair; Fanny Chan, Senior Accountant, Finance, representing the Director of Finance, Elizabeth Goltry Wadle, Budget Analyst, Budget Office, Clarence Rose, Senior Buyer, representing Purchasing, Jason Earl Management Fellow, representing the City Manager's Office, and Janis Edwards, Deputy City Clerk, present.

Minutes of the regular meeting dated July 16, 2012, were read and on motion approved.

Bids were opened on July 20, 2012, pursuant to advertisements published on:

WICHITA AIRPORT AUTHORITY/ENGINEERING DIVISION: Airfield Lighting Improvements.

Wichita Electric Company Inc. - \$1,150,254.00 \*
\*Pending FAA Approval

The Purchasing Division recommended that the contract be awarded as outlined above, same being the lowest and best bid.

On motion the Board recommended that the contract be awarded as outlined above, same being the lowest and best bid.

On motion the Board of Bids adjourned.

	Martha Strayer, Administrative Assistant, Department of Public Works
Janis Edwards, CMC	_ sp
Deputy City Clerk	

#### FORMAL BID REPORT.

TO: Robert Layton, City Manager

**DATE:** July 23, 2012

WICHITA AIRPORT AUTHORITY BIDS - VICTOR WHITE, DIRECTOR OF AIRPORTS July 20, 2012

Runway & Taxiway Airfield Lighting Improvements – Wichita Airport Authority/Engineering Division

Wichita Electric Company, Inc. (Pending FAA Approval) \$1,150,254.00

ITEMS TO BE PURCHASED AS ADVERTISED IN THE OFFICIAL CITY NEWSPAPER.

Melinda A. Walker

Purchasing Manage



**Bid Results** 

Registration Solicitations **Document Inquiry** 

Login

Help

This page summarizes vendor responses by the bid total. Awarded vendors will be notified of their respective purchase orders/contracts,

Vendor

Group

Line

Solicitation: FB240082

Airfield Lighting **Improvemens** 

Close Date/Time: 7/20/2012 10:00 AM CST

Solicitation Type: Formal Bid

Award Method: Aggregate Cost

Department: Airport Engineering

Return to the Bid List

Responses: 3

**Vendors** 

Complete

**Bid Total** 

City Comments

WICHITA ELECTRIC COMPANY INC

Partial

\$1,150,254.00

Award 7-24-12 Wichita Airport Authority/Engineering Division- Pending

FAA Approval

ATLAS ELECTRICILLO

Partial |

\$1,181,929.85

DACO CONSTRUCTION CO INC

Partial

\$1,649,846.04

BIDS ARE WITHIN ENGINEERS ESTIMATE

Top of the Page

Engineer's Estimate \$2,035,581.25



